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Interaction capabilities of Second Life

*A framework to determine Second Life's suitability
for a 3D virtual world application*

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Limited version
(see page i for more information)

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About this limited version:

This version contains limited information about Second Life and the projects encountered at IBM. Some of the information collected was obtained confidential and is therefore not published in this version.

A full version is available for those who sign a confidentiality paper from IBM.

Please contact Anton Nijholt or Betsy van Dijk from Human Media Interaction at the University of Twente for more information.

Regards,
Ruben van den Berg

Abstract

In this master thesis a framework is proposed. The framework can be used to determine the suitability of Second Life for a 3D virtual world application. The framework consists of three components and their relations. The first component describes the basic interaction capability and communication functionality. The second component describes more complex supporting functionalities. The third component is a repository of 3D virtual world applications.

The framework can be used to formulate an advice. The advice is elicited by comparing the contents of the framework with requirements for a 3D virtual world application. A positive advice supports continuation of development in the 3D virtual world. A negative advice motivates to take appropriate steps, e.g. reformulate requirements or study alternative 3D virtual worlds for suitability of the application.

The 3D virtual world Second Life is studied, because IBM is interested in its capabilities. An early determination of an application's suitability could prevent IBM from spending valuable development resources.

The framework is validated with a 3D virtual world application, the treatment of children with selective mutism.

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1. Introduction

The main topic of this thesis is the question if we can decide whether Second Life provides sufficient support for a 3D virtual world application. The result of this thesis is a framework that helps to investigate the required interaction capabilities, by which we can decide whether the application can be successfully implemented.

To introduce the thesis, this chapter presents four sections. The first section presents background. The second discusses the objective. The third presents the approach. The fourth presents the report structure.

1.1. Background

The subject of this research is capabilities of 3D virtual worlds. 3D virtual worlds are three-dimensional, computer simulated environments on the Internet. They can be explored, used for simulation of a particular activity, and allow its users to interact and inhabit a virtual world (Nijholt 2000; Book 2006; Wikimedia 2007). To support this, 3D virtual worlds possess various capabilities.

The Internet already contains different capabilities to support various forms of user interaction, e.g. provide information via web-pages or support text-, voice-, or video-chat. A 3D virtual world provides a richer way of interacting. It merges existing user interaction capabilities from the two-dimensional Internet and complements this with new, e.g. three-dimensional representation of users and objects. In fact, a 3D virtual world can also provide users with a place to live, e.g. a 3D virtual home. Creating a 3D virtual home can have some advantages. For example, have a 3D home away from home when travelling or having virtual switches linked to equipment in the real world, e.g. the heating system.

Closely related to 3D virtual worlds studied in this thesis are 3D Massive Multi-player Online Games (MMOGs). 3D MMOGs are a type of 3D virtual worlds that focus primarily on multi-player gaming. Like a 3D virtual world they make use of existing user interaction capabilities the Internet supports and new ones unique for 3D virtual worlds. A popular example of a MMOG is World of Warcraft (WoW), with over 8.5 million registered gamers world-wide. In WoW a user engages in different quests with other players. Depending on the level of experience a user has obtained, the need for collaboration increases. This also makes it a social experience that also seems to improve the user's social skills in the real world (IBM and Seriosity 2006).

In 3D virtual worlds, users are able to have various social relations with each other, e.g. hierarchies in a gaming environment or friendships with people from around the world. Having social relations is also possible on the 2D web-based Internet, but a 3D virtual world provides a more realistic experience. It allows its users to express themselves more closely in relation to the real world, e.g. visually see other users and make gestures.

3D virtual worlds are also used by companies that can hold meetings in 3D meeting rooms with employees from around the world or display 3D product to customers.

A 3D virtual world can also be used by companies to combine these advantages. For example provide expert services to customers. Experts do not have to physically travel the world to meet with relations in remote locations.

Second Life is chosen to focus this research on. There exist several different 3D virtual worlds, e.g. Active Worlds (www.activeworlds.com), There (www.there.com) or Second Life (www.secondlife.com). Main arguments to study Second Life are its popularity (30,000+ users 24/7), users are free to create contents, and the increasing presence of companies and research institutes, e.g. IBM, Philips, the TU-Delft and RU Groningen. An incomplete list of organizations in Second Life can be found at Business Communicators of Second Life Wiki. (Zimmer 2007)

Second Life is used for multiple 3D virtual world applications. A 3D virtual world application is an application inside a 3D virtual world that utilizes the capabilities of the 3D virtual world. Examples of such applications are virtual shops, virtual education centers or virtual therapy centers (Nood and Attema 2006).

Whether Second Life is a suitable platform for certain applications is dependent on multiple factors, e.g. accessibility, reliability, and usability. These factors are much dependent on the interaction capabilities offered within Second Life, which are studied in this research. Interaction capabilities are the abilities of a person or thing to interact, e.g. the ability to communicate.

IBM is interested in the capabilities of Second Life for two reasons. First, an early determination whether Second Life lacks the suitability for an application could prevent IBM from spending valuable resources. Second, the current possibilities give insight into the state-of-the-art of this rising Internet Technology. This could allow IBM to anticipate on possible developments.

1.2. Objective

The problem encountered is that it is often unknown whether Second Life supports the requirements for a certain 3D virtual world application. The main objective of this research is to develop a framework to determine whether Second Life is suitable to support a certain application. In general a framework is especially designed to be reused in different projects. It involves bringing together several components that are already developed and provide significant functionality (Lethbridge and Laganriere 2001). This results in the main research question:

Can a framework be developed, based on interaction capabilities, which determines the suitability of Second Life for a 3D virtual world application?

The framework must contain interaction capabilities known to exist in Second Life. The contents of the framework can then be used to formulate an advice. The aim IBM has with this framework is to provide such an advice to the applicant of an application. If the advice is positive it is possible to implement the requirements in Second Life. With a positive advice the applicant can continue the development process. If the advice is negative, it contains a list of requirements not found to exist in Second Life. With a negative advice the applicant can take appropriate steps, e.g. revise the requirements or study alternative 3D virtual worlds for suitability of the application.

To help answering the main research question we will investigate which interaction capabilities are possible in 3D virtual worlds, and check which capabilities are currently supported in Second Life.

Which interaction capabilities are currently implemented in Second Life?

Based on the results we propose a framework that may be used to determine whether a certain application is suitable for Second Life.

1.3. Approach

The approach taken to find a solution is to look at existing applications in Second Life. By eliciting interaction capabilities from these applications, contents for the framework can be obtained.

Data was obtained from four sources, namely literature, websites, experts at IBM and a number of IBM's Extreme BlueTM projects. Experts at IBM were already engaged in projects for Second Life allowing them to provide their expertise. Extreme Blue projects attempt to find innovating solutions on the edge of what is currently possible

(www.ibm.com/nl/extremeblue). In the summer of 2007 a number of these internship projects focused on interaction capabilities or applications in Second Life.

Two qualitative methods were used to obtain data, namely interviews and a questionnaire.

Experts were interviewed. This allowed for an easy discussion on multiple applications.

The Extreme Blue projects were first sent a questionnaire, followed by alternative communication methods, e.g. Second Life meetings, conference calls, emails or instant messages. This was done for two reasons. First, the projects are scattered around the world, e.g. Pune (India), Dublin (Ireland) and Austin (U.S.A.). Second, they are focused on a specific subject. A questionnaire obtained an impression of their work. Based on the data retrieved, succeeding communications were held to obtain more specific data.

The obtained data was categorized. Based on observations made from the data and inspired by work from Turner and Turner (Turner and Turner 2002) three components for the framework were proposed. The first are basic interaction capabilities, e.g. the computer mouse and display. The second are supporting interaction capabilities, e.g. the ability to navigate and to have discourse. The third captures the 3D virtual world application and its requirements. By comparing the desired requirements (component 3) with the interaction capabilities provided by Second Life (component 1 and 2), we can decide whether Second Life can successfully support the new application, or whether the requirements of the application need to be adapted to make it fit.

After formulating a framework, it needs to be validated. Validation of the framework was done by determining Second Life's suitability for a new 3D virtual world application. Based on the result an advice was written. Feedback based on the advice provided the answer to the main research question.

1.4. *Report outline*

The remainder of this thesis is structured as follows. Chapter two provides an introduction to the 3D virtual world Second Life. Chapter three presents a categorization of interaction capabilities used to structure the data and direct the search. Chapter four presents interaction capabilities elicited from obtained data. Chapter five presents the framework. Chapter six presents a validation of the framework. Chapter seven concludes the thesis.

2. Introduction to Second Life

Second Life is a 3D virtual world developed by Linden Labs (www.lindenlabs.com). It provides a virtual environment where its users, called residents, can interact with each other and together engage in a range of activities. Examples of such activities are socializing, running businesses, collaborating and attending meetings and presentations (Ondrejka 2004; Nood and Attema 2006; Rymaszewski, Au et al. 2006).

The goal of this chapter is to provide an introduction to Second Life. To do so the following sections introduce Second Life's basic concepts, some history, and IBM's interest in Second Life.

2.1. *Second Life's basic concepts*

Philip Rosedale *"I'm not building a game, I'm building a new country."* (Terdiman 2004) With these words Philip Rosedale made it clear that he wants to create an environment that allows for more than just gaming or providing information. In his vision Second Life is an environment that is to demonstrate a viable model for a virtual economy and virtual society.



According to Philip Rosedale, Second Life is an environment to provide its users with a platform to create their own virtual experiences. Such experiences could be gaming related, but it doesn't start and end there. Second Life attempts to be a platform that simulates a society, completely with governments, economies and warfare. Societies are built by its residents and so is Second Life. (Rosedale 2006)

Second Life offers individual persons a platform to meet other persons, to access information services and shops, and to participate in social communities. As such Second Life is of interest for companies and other social institutions to present their offerings and provide meeting points.

In the following section the basic concepts of Second Life are elaborated in more detail. The basic concepts introduced are avatars, building, places, the economy, sharing & collaboration and architecture.



Figure 1 Avatars in Second Life

2.1.1. Avatars

Every user is represented by a virtual character, also known as an avatar. The basic avatar is a humanoid, but users can also create their own appearance. User's avatars usually reflect not who they are, but who they want to be. Some examples are displayed in Figure 1.

A user can improve or change his avatar in Second Life by finding, purchasing or creating replacement shapes, skins or clothing. Changes to an avatar can be made anytime the user wants. Thus the user needs only one avatar in Second Life for different purposes, e.g. a representative look for formal occasions or a dwarf warrior for role playing games.

An avatar in Second Life is not a static representation, as often encountered on the web. For example, the avatar shows a walking animation when moving through Second Life. The avatar can also be used to express emotions or gestures, e.g. anger or waving respectively.

In Second Life users can attach objects to their avatars. Next to objects that give the avatar its look they can also have a functional purpose. For example, a jet-pack attached to the user's avatar is used to support it in flight or in a place called Paris 1900 the user can attach a parachute to his avatar and glide down the Eiffel tower.

2.1.2. Building

Everything in Second Life is created by its users (LindenLabs 2003; Rosedale and Ondrejka 2003; Rymaszewski, Au et al. 2006). The basic building blocks are called prims. These include a cube, a prism, a pyramid, a cylinder, a cone, a sphere, a torus, a tube, a donut, a tree and a bush. Complex objects can be created by changing the color, texture or shape and combine them with other prims. Examples are chairs, vehicles or buildings.

Objects can be built using the building tool provided with the software. A user can only create new objects on land owned by the user or in one of the many public sandboxes. A sandbox is a part of land owned by another user or company that is opened up to the general public.

Users can also add behavior to the objects created. By adding behavior to an object it becomes more than simply a visual representation. For example, behavior allows for the object to become interactive, e.g. to mediate sounds, images or other information. Behavior also allows the object to become dynamic, e.g. move around the virtual world as if it were an avatar controlled by a user. Behavior is embedded in an object by using the scripting language provided by Linden Labs.

2.1.3. Places

In Second Life a user can visit various places. Examples are three-dimensional recreations of cities and museums or meeting places for various social interactions. Figure 2 shows three such places. The left shows a recreation of Amsterdam. The middle shows the International Spaceflight Museum. The right shows a Second Life Campus meeting.

The recreation of real world places opens up new opportunities for tourism. A user can visit such a recreation before deciding if the spot is worth visiting in the real world.

Places are also used as meeting points. Users can meet like-minded people in for example campus environments, disco's or gaming environments.

ABN-AMRO created a place for like-minded people to meet. On their island investor meetings are organized and young professionals are attracted.

The investor meetings are twice a month. Experts from ABN-AMRO provide interactive presentations. Afterwards participants can “sit down” and talk with each other.

By setting up a branch in Second Life ABN-AMRO hopes to attract young professionals. On a special island “cool” events are organized and recruiters are active to spot potential new employees. (AMRO 2006; Reuters 2006-a)



Figure 2 Places to visit in Second Life



Figure 3 Starwood hotel

2.1.4. Economy

Second Life has its own in-world economy. Users can pay real-world currency to be exchanged for the virtual Second Life currency called the Linden Dollar (L\$). Currently the L\$ is exchanged at a rate of approximately 260 L\$ to one US Dollar (Rymaszewski, Au et al. 2006; SecondLife 2007-b).

With the L\$ a user can for example buy virtual property or virtual objects, import textures or buy stocks in virtual companies.

Users can make money in Second Life, e.g. by selling virtual goods in a virtual store. By creating a new object the user owns the intellectual property rights of the object. Owning objects allows the user to sell it to others.

Earned L\$'s can then be exchanged for US\$ to be spend in the real world. On November 26th 2006 Business Week reported on the first Second Life millionaire. Ailin Graef (in Second Life known as Anshe Chung) is a virtual land baroness. She became the first person to make a million US \$'s by trading virtual land (Hof 2006).

2.1.5. Sharing and collaboration

In Second Life users from around the world can come together. This allows for sharing of expertise, e.g. providing a service desk for customers, or working together on creative projects, e.g. designing a scale model of a new building.

An example of bringing together experts and working on a creative project is the Starwood hotel (see Figure 3). The concept was two folded. First, obtain valuable information about the design from Second Life residents. Second, provide the design team with a virtual platform to design the new hotel. The real-world hotel is under construction and should be ready in 2008. (Jana 2006; Edo and C 2007)

Users can also share contents from the web. Audio and video streams can be presented in-world. Watching the streams together in-world could stimulate discussion and allow for educational purposes. Second Life is also be used to create contents for the web, e.g. screenshots and machinima. Machinima are movies made inside the virtual world (SecondLife 2007-a).

2.1.6. Architecture

Second Life consists of two sides, a client side and server side. The client program is made open source, the server side is not. (SecondLife 2007-c)

To communicate with the Second Life world a client program is required. The client program provides a window to a 3D virtual world, like a browser presents the 2D web. The server side runs instances of the Second Life platform called sims. The size of a sim is about 16 acres, i.e. 256x256 meters. One sim communicates in a grid with four surrounding sims. This technology allowed Second Life to become scalable and supported its huge growth potential.

There are two types of sims. The first is located on what is called a continent. A sim on a continent goes over fluently into the next. The second are islands. Islands are located in the seas around the main land and (thus) provide more privacy. (Rosedale and Ondrejka 2003)

2.2. *Second Life, some history*

The company behind Second Life is Linden Labs. Linden Labs was founded by Philip Rosedale in 1999. The name finds its origin in Linden Street, the company's original home base.

Rumors go around that Linden Labs started out as a hardware company geared towards research and development of haptic interfaces. A haptic interface supports the user via touch by applying force, vibrations and/or motions to the user. A virtual world was required to go with the hardware and so they built Linden World.

Linden world was launched in March 2002. It didn't have an economy and consisted of only six to nine sims. On the 21st of November 2002 the first (closed) beta opened and the name was changed into Second Life. In June 2003 Second Life opened to the public.

Over the next four years Second Life grew into a huge 3D virtual world consisting of 13,309 sims and approximately one million regular users in October 2007 (<http://neighbours.maxcase.info/>). Currently over 30,000 user are in-world any time of the day.

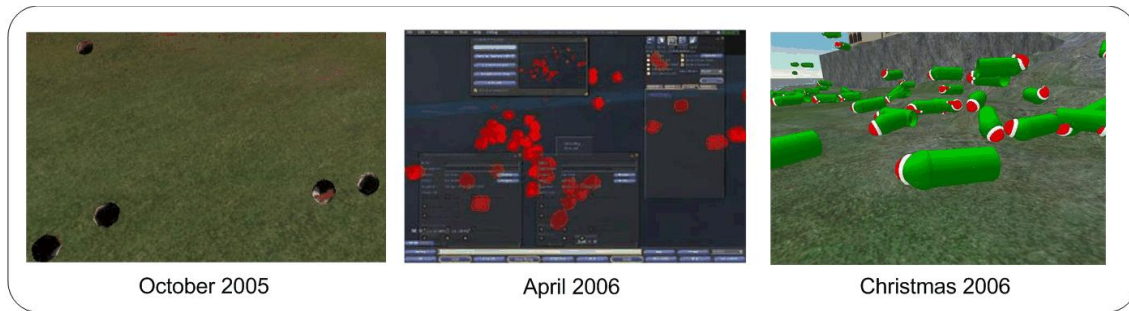


Figure 4 Second Life griever attacks

2.2.1. Grievors

Second Life is not free of hazards. For example, grievors are people who want to harm other's experience or the 3D virtual world. They crashed the entire grid in October 2005 (see Figure 4).

Grievors managed to crash the grid by spamming the sims with self-replicating objects, also called object spam. The number of objects in a sim influences its performance, especially objects provided with behavior.

Overcrowding a sim with object spam slowed it down, eventually resulting in a grid wide crash on October 25th 2005. New attacks were recorded around April 15th and December 26th 2006. (Ludlow and Wallace 2003 - present)

2.2.2. Early business

Around mid 2006 the Second Life population reached one million registered users. This started to attract different companies. The website from Second Life Business Communicators provides an impression of the real world companies that created a presence in Second Life in the last 18 months. (Zimmer 2007)

A few of the first companies to create a virtual presence in Second Life were Adidas and Toyota. Adidas opened a shoe store to present its new shoes in a three-dimensional setting. Toyota opened a store to give away a virtual edition of the Scion XB (Au 2006). These companies were looking for ways to reach their customers and deliver their products, utilizing the three dimensional social experience Second Life provides.

Following the media attention on the Internet Reuters announced on October 16th 2006 the opening of a permanent press office to report on (economic) activities occurring in and around the 3D virtual world of Second Life. (Tomesen 2006)

2.3. *Second Life & IBM*

The question to answer is: “*Why is IBM in Second Life?*” IBM sees possibilities in the short, mid and long term.

First of all, for the short term, IBM is interested in 3D virtual worlds like Second Life because of the innovative projects, the “cool factor” to bring to its customers, and new interaction techniques and metaphors. For example, Sears and Circuit City opened their stores in Second Life in collaboration with IBM. (McMahon 2006; Reuters 2006-b; Hughes 2007)

Sears opened a virtual shop on one of the IBM islands. The concept is to provide a preview to what business could present in Second Life. A good example of this is the Sears customizable kitchen (see Figure 5, center). It allows customers to preview a kitchen in 3D. The social aspects of the Second Life environment allow the creator of the kitchen to obtain (expert) feedback from friends and companies in a freely accessible environment.

Circuit city opened a virtual shop right next to Sears. They present their products, e.g. flat screen TV’s, digital media or computers. Though the 3D doesn’t seem to add much value compared to a regular website at first. Walking around in an intuitive looking, shop is already experienced by as a big plus. Secondly a demo showing the size of flat-screen TV in relation to the distance from the sofa gave many an ‘*oh now I get it*’ response (see Figure 5, right).

For the midterm, Second Life allows for IBM employees and relations to connect via an intra-verse. Such an intra-verse provides means to hold meetings with colleagues and provide services to customers in a distributed, but intuitive way. Second Life can provide an intuitive experience to its users, because the visual representation resembles that of the real world. Since one of the key strengths of a 3D virtual world is its ability to provide a collaborative workspace, IBM is most interested in this developing technology.

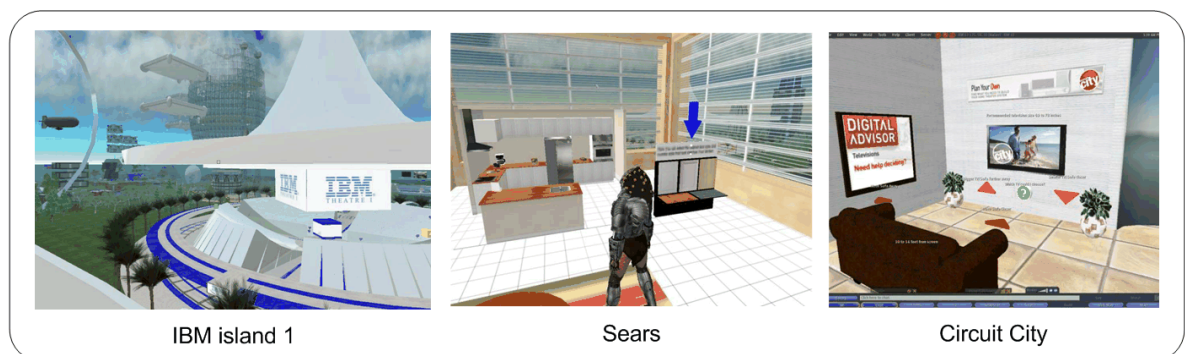


Figure 5 IBM in Second Life

For the long-term, IBM is able to provide and support soft- and hardware, needed to power this new *web*. By selling soft- and hardware and the services that enable them to function properly IBM thinks it is able to financially profit from this technology in the future. (Baizhen and Chatterjee 2007)

IBM is also utilizing its resources to develop standardization for 3D virtual worlds like Second Life. The common conception is that multiple types of 3D virtual worlds need to talk to one another. This allows for example a user's avatar to be transferred between 3D virtual worlds, e.g. from Second Life to Active Worlds. IBM wishes to be part and guide the process with its knowledge, to set the standards. (Reynolds 2007)

2.4. *Conclusions*

Chapter two answered the question what is Second Life? It introduced Second Life as a 3D virtual world that has shapeable avatars and allows for building to create places where users can meet. It has its own economy and supports sharing and collaboration in different activities.

Second Life grew from a testing environment, probably for haptic interfaces, to one of the largest 3D virtual worlds with over 30,000 active users 24 / 7. The real economy has provided ground for new business opportunities, e.g. selling virtual goods or providing a platform for 3D product display for real-world companies.

IBM's sees in Second Life a 3D virtual world that might one day become a significant part in the interaction on the Web. For the short, mid and long term it sees various business opportunities ranging from innovative and collaborative projects to support for hard- and software.

The huge amount of interest from both users and organizations, and the open character of the platform make Second Life an ideal candidate for research. Second Life supports capabilities for interaction that can be utilized by a 3D virtual world application. The next chapter provides insight into these interaction capabilities existing in Second Life.

3. Categorization of interaction capabilities

This chapter provides a short literature study about interaction capabilities. The result is a categorization. This categorization has two purposes. First, it supports a directed search for interaction capabilities existing in the virtual world of Second Life discussed in the previous chapter. Second, it allows us to neatly arrange the different types of interaction capabilities. The result of the search is presented in chapter four. Part of the categorization is further used in the framework discussed in chapter five.

This chapter consists of five sections. The first section describes interaction. The second presents a short introduction to the field of Human Computer Interaction. The third section elaborates on 3D Collaborative Virtual Environments. The fourth section elaborates on interaction capabilities. The fifth section concludes this chapter.

3.1. *Interaction and interaction capabilities*

To study the interaction capabilities existing in an environment like Second Life we first need some understanding of the meaning of interaction.

The Cambridge advanced learner's dictionary (Woodford and Jackson 2003) provides a clear and unambiguous definition of interaction:

Interaction: “when two or more people or things interact, i.e. communicate with or react to each other” (Woodford and Jackson 2003)

Two people communicate when one person sends a message to which the other person responds. Examples of messages are verbal utterances and facial expressions. A thing reacts to something when it perceives an event and reacts to it, e.g. a sliding door perceives a moving object, e.g. a person, in front of the door and responds by sliding the door open.

Interaction capabilities: “the abilities to interact”

The interaction capabilities of a person or thing can then be described as the abilities to interact. Take for example the iPodTM from AppleTM. The iPodTM has interaction capabilities, because it can respond to the user's actions. An example is the ability to instruct the iPodTM to influence the musical playback or the ability to navigate the iPod'sTM menu in search for a particular song title.

3.2. *Human Computer Interaction*

According to Myers Human Computer Interaction (HCI) has been spectacularly successful and has fundamentally changed computing. (Myers 1998) Well known examples are the computer mouse, the graphical interface and Hypertext (by which documents are linked to related documents). These examples find their origin in research dating back to the 1960's.

Although HCI has improved the use of the computer since the early 1960's, no satisfying definition for the field has been formulated so far. The "problem" is that HCI is a multidisciplinary field, which combines the theories and practices from cognitive and behavioral psychology, ergonomics, anthropology, sociology, computer science, engineering and graphical design, among others (Hewett, Baecker et al. 1992; Rex Hartson 1998; Dix, Finlay et al. 2004). An often cited working definition comes from Hewett et al.:

Human-computer interaction (HCI): *"a discipline concerned with the design, evaluation and implementation of interactive computing systems for human use and with the study of major phenomena surrounding them"*. (Hewett, Baecker et al. 1992)

The focus of HCI is to generate an understanding of interaction, i.e. the communication a user can have with a computer. The goal of HCI is to improve usability, i.e. ease of use, usefulness and user experience. With this understanding technologies and tools can be designed and built that facilitate interaction. The important thing about this interaction is that a user interacts with the computer to accomplish something, e.g. a particular task or activity (Wulff and Mahling 1990; Rex Hartson 1998; Rozanski and Haake 2003; Dix, Finlay et al. 2004). Therefore, the way to interact and the tasks or activities a user can perform are taken as a starting point for a categorization. More specifically, the way to interact and tasks or activities found in Collaborative Virtual Environments.

3.3. *Collaborative Virtual Environments*

This section provides a short introduction to Collaborative Virtual Environments (CVE). As mentioned in chapter one, Second Life is a 3D virtual world. Such environments are often simply referred to as 3D CVEs (Menchaca, Balladares et al. 2005; Jäkälä and Pekkola 2007).

CVEs are virtual environments where people have the ability to meet and interact with each other and with the contents in the environment. Churchill and Snowden give the following definition for a CVE:

Collaborative Virtual Environment: “a computer-based, distributed, virtual space or set of places. In such places, people can meet and interact with others, with agents or virtual objects. CVEs might vary in their representation richness from 3D graphical spaces, 2.5D and 2D environments, to text-based environments”. (Churchill, Snowdon et al. 2002)

3D CVEs facilitate access to information located in public data networks to people without deep technical knowledge of computing. This is done by presenting the information more intuitive and natural than software applications whose interfaces are based on two-dimensional graphics. There is more sense of a task and less sense of the computer as intermediary. (Rex Hartson 1998; Normand, Babski et al. 1999; Menchaca, Balladares et al. 2005)

CVEs can be found in different forms, i.e. different graphical representations. We can differentiate among text-based, two-dimensional, and three-dimensional CVEs. Text-based CVEs support synchronous communication between users and access to a shared database. The database may contain text descriptions of users and objects. Two-dimensional graphical environments complement the text-based showing a background image, some rooms or locations to visit and avatars representing the users. Three-dimensional graphical environments are no longer primarily meant to communicate with other users, but more to explore, to explain or to simulate a particular activity. (Nijholt 2000)

During the exploration or simulation users can interact with contents in the environment, e.g. agents and interactive objects. Computer agents are programs that operate under autonomous control, perceiving their environment, persisting over a prolonged period of time, adapting to change, and being capable of taking on another ones goals (Russell and Norvig 2003). A detailed discussion on computer agents falls outside the scope of this research.

CVEs are not to be mistaken with another type of collaboration software called Computer Supported Collaborative Work (CSCW) or Groupware. CSCW falls outside the group of CVEs, because they do not provide a virtual space that contains data representations *and* users. Examples of groupware are Lotus Notes, desktop conferencing bulletin boards and email. (Churchill and Snowdon 1998)

3.4. *Interaction capabilities*

There are two ways to classify interaction capabilities. The first one is the basic categorization as can be found in the handbooks of HCI, such as Preece et al. (Preece, Rogers et al. 1994). Here we encounter input & output devices and interaction styles. The input & output devices relate to the hardware used to interact with the environment. The interaction styles are software solutions to interact with a 2D environment, but are also encountered in 3D environments. Examples are drop-down menus or command lines.

Another classification is based on task-oriented functions, such as work by Tromp et al. (Tromp, Steed et al. 2003). Here we encounter navigation & exploration, finding other users, finding interactive objects, and collaboration. Each task is further divided into subtasks. For example, finding other users is concerned with locating others, recognizing others, establishing contact and positioning embodiment for interaction. When looking at the task finding other users, we are not interested in the way to find the other user. This is captured by the question how to navigate & explore. Therefore, the focus of finding other users is put on the appearance, more specifically their embodiment. For similar reasons, the focus of finding interactive objects is put on interactive objects in general. Finally, a more general notion of collaboration is the ability to communicate. Non-verbal communication is encompassed by embodiment. So for now the focus of collaboration is put on the ability to verbally communicate, more specifically to have discourse.

For our purpose we need a categorization that supports a structured search for interaction capabilities existing in Second Life. To get a complete picture we will use both the basic categorization, as well as the task-oriented functions. Therefore, we propose the following categories: basic interaction, embodiment, interactive objects, navigation & exploration, and discourse as a primary function to collaborate. The following sections elaborate on these categories.

3.4.1. Basic interaction

The user can interact with a 3D CVE by issuing instructions, e.g. instruct the user's avatar to move forward or instruct an interactive object to perform an operation. The way in which the user issues instructions can vary from pressing buttons to typing in strings of characters. (Preece, Rogers et al. 2002)

Interaction with a 3D CVE to instruct can be roughly divided into three categories, input/output devices, 2D interaction styles and 3D interaction techniques. Input/output devices form the physical boundary between the user and the computer generated environment. Input devices allow the user to enter instructions to the computer system, e.g. a keyboard and computer-mouse. Output devices allow the user to perceive responses from the computer system, e.g. the computer monitor and speaker system. 2D

interaction styles implement the way to interact with a 2D environment, e.g. drop-down menus or command line input. A 3D interaction technique is more a way of using an input/output device to perform a generic task in a human-computer dialogue. The interpretation of the user's physical movements can be used to interact, e.g. to express emotions or to navigate. (Rex Hartson 1998; Bowman, Kruijff et al. 2001)

3.4.2. Embodiment

3D CVEs are by default social environments where people can engage in various social activities, e.g. play games, find like-minded people or work on a joined project. To engage in such activities 3D CVEs often support their users to have a virtual representation of themselves. This allows them to control and manipulate the environment through it, *to find each other* and is often also used to express gestures and emotions. (Benford, Bowers et al. 1995; Jäkälä and Pekkola 2007)

Embodiment, or a user's avatar, represents the user's virtual body. In the real world our bodies provide communication information about various aspects, e.g. activity, attention, mood, and identity. In a 3D CVE, embodiment allows the user to not only experience the virtual environment from the outside looking in, but also to convey this key communication information. Embodiments help to co-ordinate and manage interaction with the virtual environment and are a means of sensing various objects in the world. (Thalmann 1993; Benford, Bowers et al. 1995; Guye-Vuillème, Capin et al. 1999; Normand, Babski et al. 1999; Thalmann 2000)

Benford et al. divides embodiment into the appearance of the virtual body and the manipulation & control of it by the user. Appearance of the virtual body is concerned with the identity of the user in the CVE. Examples are humanoid appearances resembling the real user or fantasy appearances used to be anonymous or be part of an experience like a role-playing game. The manipulation and control of the appearance by the user is concerned with the functions, behaviors and the relation to the physical body of the user. In our case we are not interested in how the user instructs the embodiment since this is already captured by the basic interaction. We are here focusing on the results of such instructions, e.g. the non-verbal communications like gestures, emotional expression, and gaze directions. (Benford, Bowers et al. 1995; Thalmann 2000)

3.4.3. Interactive objects

Most CVEs are not meant to be a static environment in which users can only walk around and observe the environment and communicate with other visitors. Some of the content presented in the environment is often also interactive. For example a user could instruct an object to change its color or sit behind a piano.

Kallmann and Thalmann classify interactive objects as *reactive*, *smart*, and *intelligent* (Kallmann and Thalmann 2002). The difference depends on the complexity of their behaviors. Reactive interaction objects are those that simply respond to an instruction

only. For example, a user instructs an object to change its color or orientation. Smart interaction objects have the ability to describe in detail its functionality and its possible interactions, being also able to give all the expected low-level manipulation actions. As such it is able to provide the expected behavior of its users (Kallmann and Thalmann 2002). Take for example a user's avatar opening a door. A reactive door would simply open upon receiving such an instruction. The smart door interacts with the avatar, telling it where to position and how to animate its movements, e.g. the grasping of the door knob. Intelligent interactive objects have the ability to act rational, i.e. perceive events and select an action that is expected to maximize its performance (Russell and Norvig 2003). Take for example an animated agent, with whom a user can play chess or have a conversation.

3.4.4. Navigation & exploration

“Navigation is the process by which people control their movement using environmental cues and artificial aids such as maps so that they can achieve their goals without getting lost” (Darken and Sibert 1993). Navigation is the act of directing a ship, aircraft, etc. from one place to another (Cambridge Online Dictionary). Navigation in a 3D CVE can thus be described as the act of directing an avatar from one place to another, optionally using environment cues and artificial aids.

Navigation is one of the most important tasks in 3D CVEs, because it allows the user to obtain a more advantageous position to perform other tasks. Therefore it is probably also the most encountered task in 3D CVE research, some examples are Bowman et al, (Bowman, Kruijff et al. 2001), the COVEN project (Normand, Babski et al. 1999), and Vinson et al. providing navigation support (Vinson 1999) and assistance (van Dijk, op den Akker et al. 2001).

Bowman et al. divides navigation into travel and way-finding (Bowman, Kruijff et al. 2001). Travel is the movement of the viewpoint from one location to another. Examples of support for travel in 3D CVEs are walking or teleportation. Way-finding can be described as the (cognitive) process of defining a path through an environment, thereby using and acquiring spatial knowledge to build up a cognitive map of an environment. Examples of way-finding support in 3D CVEs are maps, compasses, grids and landmarks.

3.4.5. Discourse

Next to non-verbal communication, discussed in the previous subsection, inhabitants of 3D CVEs are also able to communicate verbally, more specifically using discourse. A discourse consists of a collocated group of sentences. A user does not necessarily need to have a discourse with another human user, but could also communicate with interactive objects, e.g. to play a game or ask for directions. Two types of discourse are distinguished, namely monologue and dialogue. (Normand, Babski et al. 1999; Jurafsky

and Martin 2000) Since a monologue is not interactive only a dialogue is discussed further.

A dialogue is a conversation where each participant takes turns being a speaker and a hearer. Examples of dialogues are face to face conversations, telephone calls, or chat sessions, where these are complemented by at least one of the following aspects, turn-taking, grounding and implicature. Turn-taking is the turning point in the conversation where the speaker becomes the hearer and vice versa. Grounding is the phenomenon that both speaker and hearer must constantly establish common ground, i.e. the set of things that are mutually believed by both speakers. For example, the utterance “*hm-mm*” is used as a continuer, i.e. a short utterance to acknowledge the previous utterance in some way of cuing the other speaker to continue. Implicature means a particular class of licensed inferences. This relates to the way the interpretation of an utterance relies on more than just the literal meaning of the sentence. For example, by providing response to a question that implicates the answer but does not explicitly state so. (Jurafsky and Martin 2000; Preece, Rogers et al. 2002)

3.5. *Conclusions*

In this chapter five categories of interaction capabilities are introduced to study Second Life. The categories were derived the basic categorization as found in the handbooks of HCI and from a hierarchical task analysis by Tromp et al. (2003). The five categories are: interaction, embodiment, interactive objects, navigation & exploration, and discourse. Some categories have two or more subcategories. A complete list is show in Table 1.

Based on these categories a directed search for interaction capabilities is presented in the next chapter as well as the interaction capabilities existing in Second Life. Part of the categorization is used in the framework presented in chapter five.

Table 1 Categorization of interaction capabilities

| Category | Type |
|--------------------------|-------------------------|
| Interaction | Input/output device |
| | Interaction styles |
| | Interaction techniques |
| Embodiment | Appearance |
| | Manipulation & control |
| Interactive objects | Reactive objects |
| | Smart objects |
| | Intelligent objects |
| Navigation & exploration | Travel |
| | Way finding |
| Discourse | Dialogue / conversation |

4. Interaction capabilities in Second Life

The previous chapter listed five categories, namely interaction, embodiment, interactive objects, navigation & exploration, and discourse. They are used in this chapter to structure and direct the search for interaction capabilities. This chapter presents the interaction capabilities existing in Second Life. The result of this chapter is used to provide contents for the framework presented in chapter five.

Interaction in Second Life is an effect that occurs when two or more actors have an effect on one another through the Second Life environment. Actors for Second Life are the human user, an external system or an in-world application.

The interaction capabilities, discussed in this chapter, were found by interviewing experts, studying Extreme Blue projects and searching literature and websites.

This chapter consists of four sections. The first section presents the interaction capabilities that were found by interviewing experts, studying literature and websites. The second section presents interaction capabilities encountered when studying Extreme Blue projects. The third section presents three new interaction categories encountered during our search. The fourth section concludes this chapter.

4.1. *Retrieved from interviews with experts, literature and websites*

The first part of the search for interactive capabilities existing in Second Life focused on interviews with experts, literature and websites. The following sections present the results from this search, structured in the categories presented in the previous chapter.

4.1.1. Basic interaction

The category basic interaction consists of three subcategories, namely input & output devices, 2D interaction styles and 3D interaction techniques.

Second Life was initially designed to be a desktop virtual environment. A desktop virtual environment utilizes the basic input and output devices to support interaction with the user. The desktop input devices are the keyboard, mouse and microphone. The desktop output devices are the computer monitor and the speaker system.

After the Second Life client became open source in the beginning of 2007 developers acquired additional resources to add and experiment with input and output devices. Examples of such devices are a neurological interface (Cheng 2007) to perform basic navigation, a haptic interface (Pascale, S.Mulatto et al. 2007) used to touch objects, and

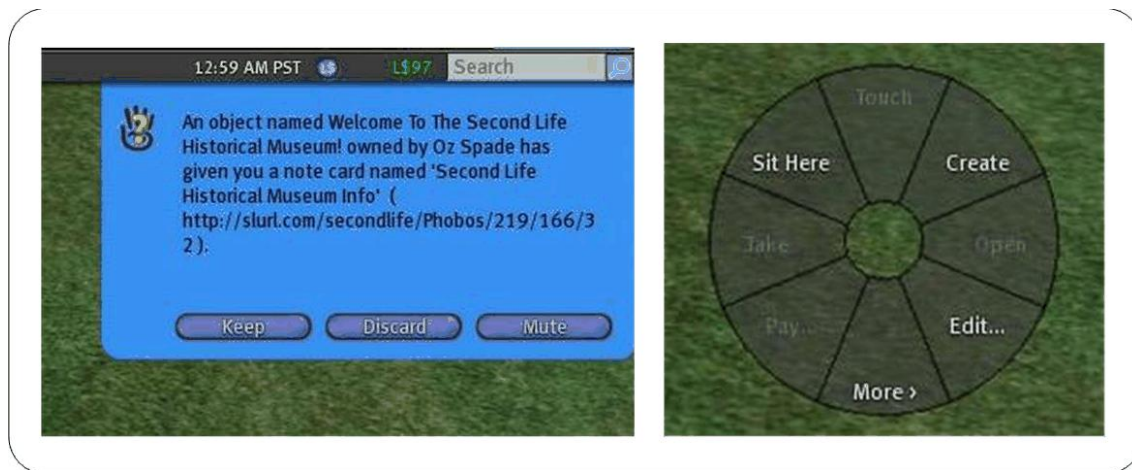


Figure 6 Typical Second Life Pop-up menu with buttons (left) and a Second Life HUD (right)

a fitness device (www.sl-fitness.com) used for navigating a virtual bike and have a work-out.

Second Life supports the following 2D interaction styles that allow the user to interact with the environment or its contents: pop-up menus with buttons, command line, direct manipulation, and the Second Life Heads Up Display (SL-HUD). Users can receive information from the environment and provide choices to the environment through (blue) pop-up menus and buttons, a command line and the Second Life-HUD. The blue pop-up menus are used to provide small messages and supports buttons from which the user can chose an option. Figure 6 displays a typical Second Life pop-up menu. The command line is primarily used for chatting (see 4.1.5 Discourse), but it can also be used to issue commands, e.g. to make the avatar perform a gesture. The Second Life HUD provides a pie shaped disc with multiple options for the user to choose from. It is only visible to the user and is not depicted in world. Figure 6 displays a typical Second Life HUD.

Second Life does not provide a wide range of 3D interaction techniques. The only example currently known is the fitness device. The fitness device analyses the user's movement, i.e. the user cycles and the speed is measured. The speed is then mapped on to the user's avatar allowing it to move. The orientation of the steering is mapped on the user's avatar allowing it to change directions.

Content of the category basic interaction existing in Second Life is found in Table 2.

Table 2 Basic interaction

| Category | Type |
|---------------------------|--|
| Input devices | Keyboard, mouse, microphone, neurological interface, haptic interface, fitness device; |
| Output devices | Computer monitor, speaker system; |
| 2D interaction styles | Pop-up menu's with buttons, command line, direct manipulation, SL-HUD; |
| 3D interaction techniques | Analyze user's speed |

4.1.2. Embodiment

The category embodiment consists of two subcategories, namely appearance and manipulation & control.

A user is represented in Second Life by means of a three-dimensional avatar, as mentioned in section 2.1.1. Avatars can be found in many different shapes, sizes, colors and so on, depending on the function or role of the avatar. For example, a user might play a medieval themed role-playing game and appear in it as a knight with a shining armor. A user can change his appearances at any time. Three examples are displayed in Figure 1 on page 6.

Manipulation and Control of the embodiment in Second Life is supported by being able to make specific movements and express non-verbal communication. Examples of specific movements are dances. Examples of non-verbal communication are gestures and emotional expressions, e.g. laughing or cheering. Figure 7 depicts three examples of non-verbal communication for a humanoid avatar. From left to right, “hey”, “shrug” and “bored”. The avatar can depict a set of standard gestures provided with the client or the user can make and add new ones.

Contents of the category embodiment existing in Second Life can be found in Table 3.

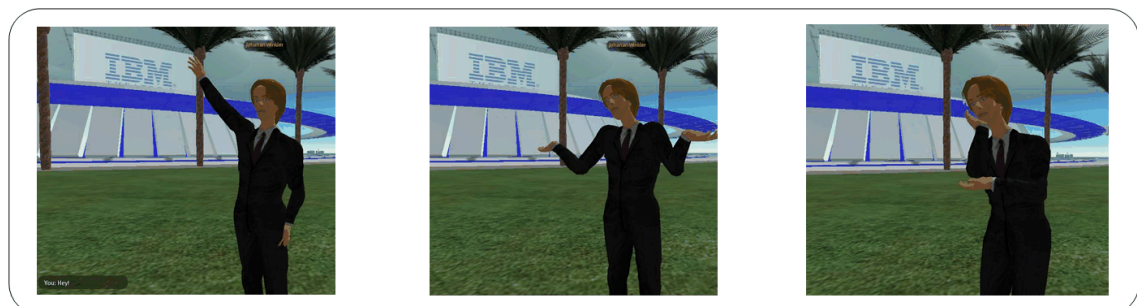
**Figure 7 Avatar gestures**

Table 3 Embodiment interaction capabilities

| Category | Type |
|------------------------|--|
| Appearance | Humanoid avatar, animal avatar, fantasy avatar, (partially invisible) avatar; |
| Manipulation & control | Specific movements, e.g. dances; Non-verbal communication expressions, e.g. laugh, cheer, hey, shrug, act bored, et cetera; |

4.1.3. Interactive objects

The interactive objects category consists of three subcategories, namely reactive objects, smart objects, and intelligent objects.

Reactive objects in Second Life respond to instructions, e.g. a mouse click or a choice on the HUD, they receive from a user. Some examples are requests to change an objects appearance, e.g. the color of a product in a store, or the appearance of a kitchen in a demonstration room.

Smart objects in Second Life allow for the joined animation of objects. Examples of smart objects in Second Life are a smart motor cycle, a smart spot at the beach or a smart dancing spot in a disco. The smart motor cycle, for example, communicates with the user's avatar, instructing it to take a position (see Figure 8 on page 25). Without interference of the user, its avatar animates driving a motor cycle.

Intelligent objects in Second Life respond to events that occur. An event can be an avatar entering a specific zone or a chat communication matching a cue in the object's event list. For example, a user's avatar is walking into a shop. The intelligent object then registers the avatar to be near a projection wall and automatically starts playing a promotional video.

Contents of the category interactive objects existing in Second Life can be found in Table 4.

Table 4 Interactive objects interaction capabilities

| Category | Type |
|---------------------|---|
| Reactive objects | Change appearance, change orientation, change location; |
| Smart objects | Object - avatar interaction, e.g. a smart piano, a smart spot at the beach, or a smart dancing spot in a disco; |
| Intelligent objects | Sensors detecting movement, sensors detecting chat conversations; |

4.1.4. Navigation & exploration

The category navigation & exploration consists of two subcategories, namely travel and way-finding.

Travel in Second Life is supported by means of walking, running, jumping, flying, teleporting, changing the camera perspective, ride on an interactive object or be prevented from entering certain areas. Users can walk, run, jump or fly to travel relative small distances. To cross larger distances in a split second users can teleport their avatar. Teleportation can be done both on a short distance as well as on a large distance. Users may also send request to teleport a friend to their location. Users may also use interactive objects (see 4.1.3 Interactive Objects) to transport them to another location. Examples are cars, motorcycles, airplanes, yet packs or bubbles. Figure 8 displays some of these travel devices. Travel prevention ensures security to keep out unwanted guests. Travel prevention can be used to restrict users from entering parts of a location, e.g. a room or building, or a complete island.

Way-finding in Second Life is supported by two or three-dimensional maps of a location or island, two or three-dimensional signs, labels, roads, tunnels, and red location beacon. Examples of a two and a three dimensional map are shown in Figure 9. Two or three-dimensional maps of a location of island provide the user with an overview of an area. 2D or 3D signs can be used to point directions or function as signs of shops, museums or other buildings. Labels can be used to name objects. Labels are in two dimensions only. They provide can a short description, e.g. a name or purpose, or direction. Roads and tunnels are used to structure city like environments. The red location beacon is used to indicate the position of a searched location. The beacon is shown as a static red light vertical into air and is only seen by the user himself.

Content of the category navigation & exploration existing in Second Life is found in Table 5.

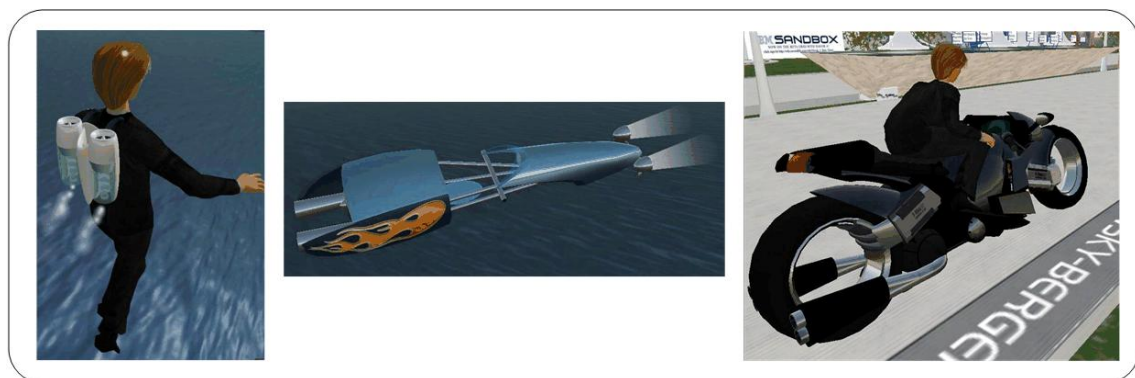


Figure 8 Different interactive objects to travel



Figure 9 A two and a three dimensional map in Second Life

Table 5 Navigation & exploration interaction capabilities

| Category | Type |
|-------------|---|
| Travel | Walk, run, jump, fly, teleport, change camera perspective, ride an (interactive) object, travel prevention; |
| Way finding | 2D or 3D maps, 2D or 3D signs, labels, roads, tunnels, red location beacon; |

4.1.5. Discourse

The category discourse is focused only on the ability to have a dialogue or conversation.

Holding a dialogue or having a conversation in Second Life supports the exchange of messages between two or more users. Conversation is supported through chat, voice-chat, instant message, and e-mail. A user can chat with other users standing in their direct vicinity. A user may also “whisper”, such that the range is reduced even further. If the user’s system is supported with a microphone and sound speakers, the user can also use voice-chat to exchange verbal messages. Instant messages differ somewhat of regular chat. To exchange instant messages users have to register as friends or belong to a group. An instant message is not bound by a specific distance or time. This allows them to be send anytime and from anywhere inside Second Life. Users can exchange e-mail messages from inside Second Life to the outside world. A reply can be received at the user’s registered e-mail address.

Contents of the category discourse existing in Second Life can be found in Table 6.

Table 6 Discourse interaction capabilities

| Category | Type |
|--------------|---|
| Conversation | Chat, voice-chat, instant messages, e-mail; |

4.2. *Retrieved from Extreme Blue projects*

Confidential

4.2.1. Additions to interaction

Confidential

4.2.2. Additions to embodiment

Confidential

4.2.3. Additions to interactive objects

Confidential

4.2.4. Additions to navigation & exploration

Confidential

4.2.5. Additions to discourse

Confidential

4.3. *Three additional categories*

During our search in Second Life we encountered three types of interaction capabilities that could not be positioned properly in the categorization made in the previous chapter. The three types are the ability the ability Second Life has to communicate with an external system, the ability a user has to make transactions, and to construct new contents. Each category is elaborated in more detail in the following sections.

4.3.1. External system communication

The behavior of interactive capabilities is not restricted to the user and Second Life, but also supports the object to interact with external systems, e.g. a web server. This behavior is supported by Second Life through the HTTP and XML-RPC (www.xmlrpc.com) protocols. (SecondLife 2007-d) Examples of this are the support for streaming media (both audio and video) and the ability to forward a user to an external website.

Confidential

Contents of the category external system communication existing in Second Life can be found in Table 7.

Table 7 External system communication

| Category | Type |
|-------------------------------|--|
| External system communication | HTTP, XML-RPC, forward to external website, live stream video and audio, <i>Confidential, Confidential</i> ; |

4.3.2. Transactions

In section 2.1.4 it was explained that Second Life contains an economy and its own currency, the Linden Dollar. With this system in place users can trade products. To do so, Second Life supports transactions. Transaction are encountered in three ways, namely a user may buy, sell freely exchange products.

Contents of the category transactions existing in Second Life can be found in Table 8.

Table 8 Transactions

| Category | Type |
|--------------|---|
| Transactions | Buy products, sell products, freely exchange virtual products |

4.3.3. Construction

Many 3D CVEs are constructed before they are visited by the users, e.g. World of Warcraft. Some 3D CVEs are not necessarily pre-constructed. They allow their users to construct new contents in real-time. Active Worlds and Second Life are such examples. Users can extend and change the world by building homes and environments on “unoccupied” area. (Normand, Babski et al. 1999; Nijholt 2000; Book 2006)

The category construction is focused on the ability a user has to create new contents in the virtual world. In this sense Second Life can be seen as a platform that provides its users the ability to create their own experience, e.g. games, shops, or museums.

In Second Life users can create objects, join objects, add textures, and add behaviors. The objects that can be created are called prims (from primitive), which is a basic three-dimensional geometric object. Examples of prims available in Second Life are the cube, the cylinder, the prims, and the sphere. Two special prims exist as well, namely grass and trees. The primitive objects can be joined to make more complex shapes, e.g. buildings, vehicles or chairs. Textures are regular image files that can be added on the sides of creations. By adding behavior an object can obtain interactive capabilities. For example, in a 3D virtual world like Second Life no laws of physics exist unless they are defined. So unless someone adds the behavior that makes the object respond to gravity, the object hovers in the air and a user can walk straight through it. For the same reason a

user can fly or teleport in a 3D virtual world. Behavior can be added to an object by making use the Linden Scripting Language (LSL). For more information on the LSL see the LSL portal at: http://wiki.secondlife.com/wiki/LSL_Portal.

Figure 10 depicts three examples. From left to right, 3D products that can forward you to an external website, a 3D product customizable in-world, and a streamed movie trailer. The blue pop-up menu in the center picture allows the user to select a color for the 3D product.

Contents of the category construction existing in Second Life can be found in Table 9.



Figure 10 Content presentation at Circuit City

Table 9 Construction interaction capabilities

| Category | Type |
|----------------|---|
| Create objects | Cube, prism, pyramid, cylinder, half cylinder, sphere, half sphere, cone, half cone, torus, tube, donut, grass, trees; |
| Join objects | Create complex shapes, e.g. buildings, vehicles and chairs. |
| Textures | Regular images files |
| Behavior | Laws of physics, listen/respond to events, communicate with external systems, (streaming) audio, (streaming) video, ... |

4.3.4. Construction from Extreme Blue projects

Confidential

4.4. Conclusions

Chapter four presented interaction capabilities encountered in Second Life. The first section presented interaction capabilities found in literature, on web sites and from interviews. The second section presented interaction capabilities from Extreme Blue projects at IBM's summer internship in 2007. The third section presented three additional interaction capability categories, namely external communication, transactions, and construction.

Due to the dynamic nature of Second Life new interaction capabilities are created by users. The current list is only a snapshot, taken in the summer of 2007.

The next chapter presents a framework to determine the suitability of Second Life for a 3D virtual world application under development. The content of the framework consists of the interaction capabilities presented in this chapter.

5. Framework

In the previous chapter, interaction capabilities of Second Life were presented. To build meaningful applications we have to combine the basic interaction capabilities into more complex functions. For example, the basic function of the keyboard is to allow the user to provide input to the environment. This kind of input can be utilized for various interaction capabilities, e.g. to navigate, to have discourse or to construct. In turn, these more complex functions can support the required functionality within the application. The categorization made in chapter 3 does not show these relations. Therefore, to analyze whether a 3D virtual world, such as Second Life, is suitable for a certain application we propose to use a more hierarchical framework based on three levels, namely basic interaction capabilities, supporting interaction capabilities and applications.

This chapter consists of five sections. The first presents the framework. The second discusses a few applications encountered in Second Life. The third discusses the components compatibility. The fourth elaborates on the use of the framework. The fifth section concludes this chapter.

5.1. *The framework*

Three components are proposed for the framework. The distinction between components is inspired by an affordance-based framework for collaborative virtual environments evaluation by Turner and Turner (Turner and Turner 2002). They follow the assumption that we interact with the world on three levels, and that each of these levels communicate with the user by showing affordances, i.e. the way these things can be used and approached. Their framework makes a distinction between basic usability, support for user tasks, and fitness for underlying purpose. The basic usability relates to the basic interaction styles and devices that are functional devices such as menu's, sliders, the mouse, and other widgets that constitute the basic interface elements. The affordance is on the level of understanding how to select an option from menus, move a mouse, and rotate a knob. The supporting user tasks are more complex interaction patterns such as navigating through the virtual world, searching an object or person, etc. Important are the perception of objects and the world, and the notion of presence. The fitness for underlying purpose, or cultural motivated tasks, relate to tasks such as starting a conversation with a person, building a new world, do a transaction such as buying a product. These tasks have to be learned by cultural exchange.

In a similar way, our components are, from right to left in Figure 11, the basic interaction capabilities (component 1); the supporting interaction capabilities (component 2); a repository of 3D virtual world applications (component 3).

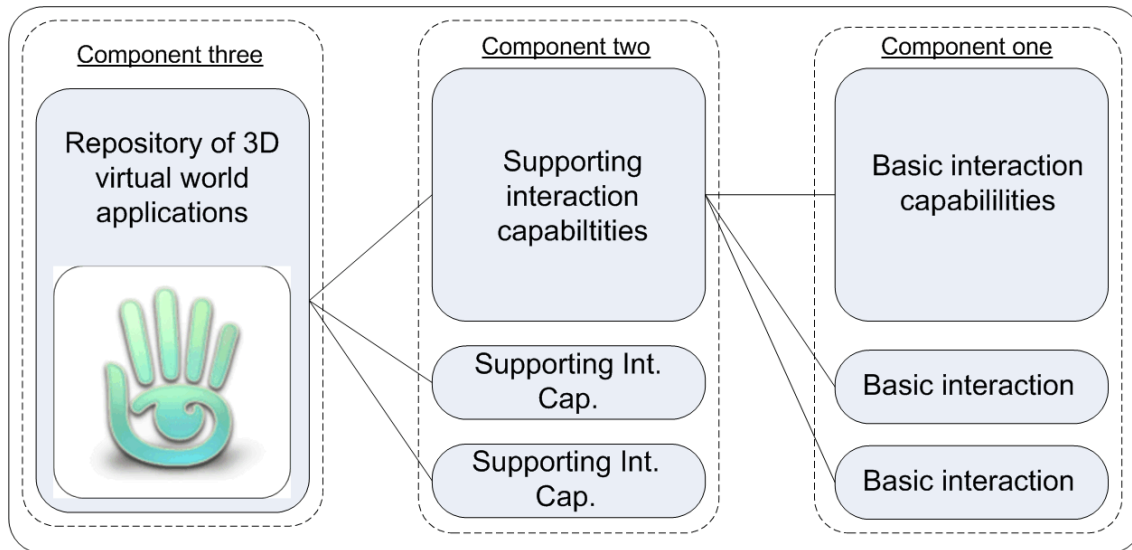


Figure 11 Proposed framework

5.1.1. Component 1 – Basic interaction capabilities

Component 1 describes the basic interaction capabilities between external actors and Second Life. It mediates between Second Life and external actors. It can be utilized by supporting interaction capabilities of component two.

Two actors can be distinguished to have external interaction with Second Life, namely a human user and an external system. Hence the following categories provide contents for this component, namely Basic interaction and external system communication, described in 4.1.1, 4.2.1, and 4.3.1. The basic interaction capabilities of component one are listed in Table 10.

Table 10 Component 1 – Basic interaction capabilities

| Category | Type |
|---|---|
| Basic interaction – input / output | Keyboard, Mouse, Microphone, <i>Confidential</i> , brain sensor, home trainer. |
| | Monitor, speaker system, |
| Basic interaction – 2D interaction styles | Pop-up menus with buttons, command line, SL-HUD, direct manipulation; |
| Basic interaction – 3D interaction techniques | <i>Confidential</i> |
| External system communication | HTTP, XML-RPC, Forward to external website, live stream video and audio, forward to external website, <i>Confidential</i> , <i>Confidential</i> ; |

5.1.2. Component 2 – Supporting interaction capabilities

Component 2 describes the supporting interaction capabilities in Second Life, that use the basic interaction capabilities of component 1. Supporting interaction capabilities provide support for applications to realize their goals. As such they can be utilized by a Second Life application of component 3.

Examples of component 2 interaction capabilities are chat and avatar representation in discourse and embodiment respectively. The supporting interaction capabilities of component 2 are listed in Table 11

Table 11 Component 2 – Supporting interaction capabilities

| Category | Type |
|--|---|
| Navigation & exploration – travel | Walk, run, jump, fly, teleport, change camera perspective, ride an interactive object, real-time user movement; |
| Navigation & exploration – way finding | 2D maps, 3D maps, signs, labels; |
| Embodiment – appearance | Humanoid avatar, animal avatar, fantasy avatar, (partially) invisible avatar; |
| Embodiment – manipulation & control | Specific movements, e.g. dances, non-verbal communication expressions, e.g. laugh, cheer, hey, shrug, act bored, et cetera, natural looking gestures; |
| Discourse – conversation | Chat, instant message, voice chat, email; |
| Interactive objects – reactive | Change appearance, play sound, play animation; |
| Interactive objects – smart | Object – avatar interaction, e.g. a smart motor, a smart spot at the beach, or a smart dancing spot in a disco; |
| Interactive objects – intelligent | Sensors detecting movement, sensors detecting chat conversations; |
| Construction – create objects | Cube, prism, pyramid, cylinder, half cylinder, sphere, half sphere, cone, half cone, torus, tube, donut, grass, trees, immersive sphere; |
| Construction – join objects | Create complex shapes, e.g. buildings, vehicles and chairs. |
| Construction – textures | Regular image files; |
| Construction – behavior | Respond to laws of physics, listen/respond to events, live steam video and audio, <i>Confidential</i> , <i>Confidential</i> ; |
| <i>Confidential</i> | <i>Confidential</i> |
| Transactions | Buy products, sell products, freely exchange virtual products |

5.1.3. Component 3 – Repository of 3D virtual world applications

Component 3 describe the 3D virtual world applications. Applications in Second life can be made by utilizing the interaction capabilities of the first two components. The third component then becomes a repository of previously realized applications and their used interaction capabilities.

Since applications come in various sizes it is possible to utilize smaller applications to support larger ones. For example, a universal translator discussed in section 5.2.2 could be an important requirement for a much larger international support center application. The repository of applications contains multiple applications types. Possible categories are shops, museums, educational centers, support centers, meeting spots, simulators, games, mixed reality, et cetera. Several of these already exist in Second Life as was introduced in Chapter 2. To give an idea of such applications two examples are elaborated in the next section.

5.2. *Example applications in Second Life*

This section presents two example applications in Second Life. They were encountered during the search for interaction capabilities.

Confidential

The universal translator application is an application that supports discourse between users.

5.2.1. *Extreme Blue project*

Confidential

5.2.2. Universal translator

A more complex form of conversation is a universal translator developed by an IBM employee for Second Life (Smart 2007). The universal translator is built on top of the basic chat system and communicates with an external translation services, e.g. Google translate (<http://translate.google.com>) and Babel Fish Translation (<http://babelfish.altavista.com>). It translates text chat in user's native language to English and vice versa.

Analog to the description in the previous section the application of the universal translator uses the interaction capabilities listed in Table 12 and Table 13.

Table 12 Universal translator - component 1 interactive capabilities

| Category | Type |
|---|--|
| Basic interaction – input / output devices | Keyboard – to enter textual information |
| | Mouse – to select options in the pop-up menu |
| | Monitor – to visually display of contents, i.e. chat text and info |
| Basic interaction – 2D interaction techniques | Pop-up menu – to configure the translator |
| External system communication | HTTP & XML-RPC to communicate with external systems of Google Translate and Babelfish. |

Table 13 Universal translator – component 2 interactive capabilities

| Category | Type |
|--|--|
| Discourse | Chat – allows the user to input <u>written</u> text to be processed |
| Interactive object – reactive | Text received from the user is forwarded to an external source. The received result is printed as chat to the environment. |
| Construction – create object, behavior | An object is created to host the translator |
| | Behavior supports the function of the reactive object |

5.3. *Component compatibility*

To use the contents of the framework it is required to know if an interaction capability from one component can be used to support another. Not knowing if the two can support each other might result in an advice that cannot be implemented or does not work properly.

The 3D interaction techniques discussed in section 4.2.1 can be used for basic navigation, i.e. mimic the user's displacement and rough gestures, only. However, they are currently not suitable to support precise body language. Future development of the interaction capability might add more functionality, e.g. interpreting emotional expressions of the users to improve the user's appearance. A second example, is the universal translator, see section 5.2.2, that cannot be used to translate voice chat, because it cannot interpret the verbal utterance of a user. Therefore it is not compatible with the discourse interaction capability voice-chat. Since it can also not convert a text to speech at the moment it is also not compatible with a speaker system. Table 14 displays the compatible categories and types for the current version of the universal translator.

Table 14 Universal translator - compatibility

| Type | Category | Compatible for / utilizes category | Type |
|----------------------|-------------------------|------------------------------------|---------------|
| Universal translator | Application - discourse | Discourse | Chat |
| | | Construction | Create object |
| | | | Add behavior |
| | | External system communication | HTTP |
| | | | XML-RPC |

5.4. *Use of the framework*

The goal of the framework is to support an assessment of requirements for a 3D virtual world application.

The content of the framework provides a reference to known interaction capabilities and applications that already exist in Second Life. Requirements for a 3D virtual world application can be compared to the content of the framework. Based on the existence or the absence of required interaction capabilities or applications an advice can be made. Absence of required interaction capabilities or applications results in a negative advice. Encountered absent interaction capabilities are elaborated where possible.

The advice consists of a list of the requirements. An example of such a list is presented in Table 15. It shows four columns. The first contains a reference number to an application's requirement. The second contains title for the requirement. The third contains the category name or existing application to which the requirement belongs. The final column states if the requirement receives a positive or negative assessment.

A positive advice supports continuation of the development in Second Life. A negative advice motivates the applicant of the application to take appropriate steps, e.g. revise the requirements or assess another 3D virtual world.

Table 15 Example advice list

| R-no. | Requirement | Category | - ? - | |
|-------|---------------------------------|--------------|-------|---|
| RQ1 | Voice-chat universal translator | Application | | N |
| RQ2 | Stream home video's | Construction | Y | |

5.5. *Conclusions*

This chapter presented a framework to determine if requirements for a 3D virtual world application can be met in the 3D virtual world of Second Life. A conclusion could determine the suitability of the 3D virtual world of Second Life for the development of the 3D virtual world application. A list of the presented components and their contents can be found in Appendix C.

The proposed framework consists of three components and emphasizes compatibility relations among them. Basic interaction capabilities of component 1, e.g. the keyboard and monitor, can be utilized by supporting interaction capabilities of component 2, e.g. to navigate. Supporting interaction capabilities of component 2, e.g. to chat and add behavior, can be used to create applications of component 3, e.g. a universal translator.

The final section elaborated on the use of the framework. The next chapter validates the framework based on the proposed use.

6. Validation

Chapter 4 presented interaction capabilities existing in Second Life. Chapter 5 presented a framework containing these interaction capabilities. This chapter presents a validation of the framework based on its proposed use described in section 5.4.

The objective of the framework, stated in chapter 1, is to determine the suitability of Second Life for a 3D virtual world application. The framework provides support to determine whether Second Life is suitable to support a certain application. The requirements of the application can be compared to known interaction capabilities existing in Second Life.

The goal is to validate if the framework is able to meet its objective. The validation is based on a qualitative research performed with one respondent, namely an applicant of an application. The applicant is consulted on advice resulting from the framework to determine if it provides sufficient information to take appropriate steps.

To obtain qualitative data the following validation process was created. The validation consists of four steps, see Figure 12. The first step formulates the validation criteria. The second step elicits expected results from the criteria. The third step formulates an advice. The final step derives conclusions of the validation.

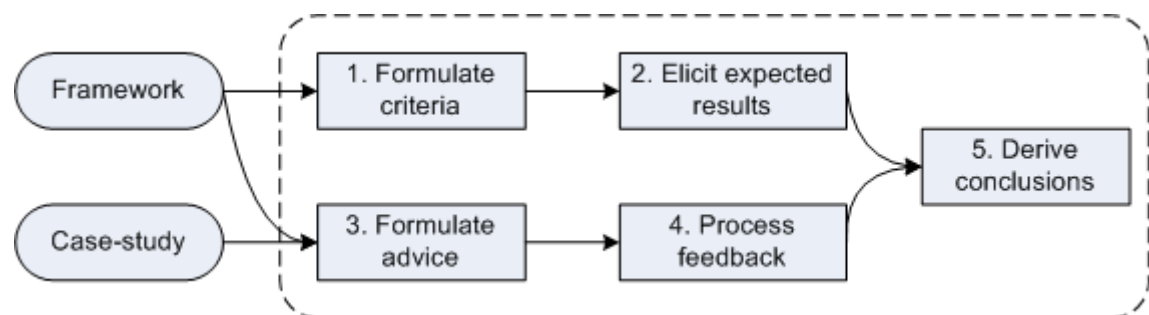


Figure 12 Validation process

6.1. *Validation criteria*

The validation criteria tell if the framework provides a satisfying advice. The criteria are checked based on the interpretation of subjective responses from two respondents.

The aim of the framework and its use, described in section 1.2 and 5.4 respectively, state two steps.

First an assessment is made of the requirements based on the contents of the framework. This provides the first criterion:

1. The framework must be able to determine the suitability of Second Life for a 3D virtual world application.

Second an advice is formulated based on this assessment. This provides the second criterion:

2. The advice must support a choice for a next step in the development of the 3D virtual world application.

6.2. *Expected results*

The framework contains three components with interaction capabilities and known applications existing in Second Life. Hence, the assessment of a 3D virtual world application is expected to show which requirements are supported by Second Life.

As mentioned in section 5.1 compatibility issues might exist between components. It is expected that this knowledge adds constructively to the formulation of the advice.

The requirements analysis provides requirements that might not be captured by the current framework. These requirements could provide ground for improvements to the framework.

6.3. *Advice for Selective Mutism application*

The advice consists of five sections. The first section elaborates on the case, a 3D virtual world application for children with selective mutism. The second section presents the requirements analysis. The third section presents a comparison between the contents of the framework and requirements of the application. The fourth section elaborates on existing complications. The fifth section presents the conclusions of the advice.

The creation of the advice is used to validate the first criterion. Feedback on the advice is used to validate the second criterion.

6.3.1. 3D virtual world application for children with selective mutism

The case describes an idea for an application to utilize the possibilities of the 3D virtual world Second Life. The case is focused on the research done by Maretha de Jonge, a PhD behavioral specialist at the University Medical Centre Utrecht.

Selective mutism is a complex childhood anxiety disorder characterized by a child's inability to speak in select social settings, such as school. These children understand language and are able to talk normally in settings where they are comfortable, secure and relaxed. For more information on the subject of selective mutism see www.selectivemutism.org.

Most children with selective mutism are described as very shy, perfectionist, headstrong and somewhat inflexible. Their silence impedes their social functioning, emotional and educational development. Often it makes their parents and teachers feel powerless.

The goal of the project is to create a playful, tempting environment for children to practice with skills that help them to learn to overcome fear in daily life. De Jonge images something 'Second Life' like, where they can wander around in the 3D virtual world, practice basic skills, download materials, play games and maybe even "meet" other children or people to communicate and practice with.

6.3.2. Requirements analysis

From the case description and an interview with de Jonge requirements were elicited. Here we elaborate only on those requirements that, based on the assessment, were found to be not possible in Second Life at the moment. A complete elaboration of the requirements can be found in Appendix D. All requirements are listed in Table 16.

Requirements

The main goal is to motivate the child to speak with these characters. A character can be artificial or controlled by a participant, e.g. a teacher or therapist. Hence it is required for the child to verbally communicate with (artificial) characters and for the (artificial) characters to reply verbally.

FR1a – support verbal communication between child and artificial characters

The 3D virtual world allows its users to navigate through an environment. According to de Jonge however, children in the target group are unable to use conventional input devices like a keyboard or a mouse. An input device enabling the child to control its avatar is thus required.

FR2 – support an input device that allows the child to control its avatar

In order to motivate the child to speak, the characters (and thus the participants) must reply verbally. The participants in the exercise cannot use their own voices, for this may confuse the child's experience and make it realize the true identity of the participants.

FR5 – participants' voices must be transformed to fit the theme

In a 3D virtual world uncontrolled distractions and hostilities exist as well. For example a child may encounter other users on different islands. Other users are parents, teachers, other children, but also unknown visitors of the 3D virtual world that have no reason for being in the therapeutic environment.

FR9a – the application should restrict the child from leaving the therapeutic environment

6.3.3. Comparison

Each requirement is assessed based on the contents of the framework. As a result the statement is made whether or not the requirement is possible in Second Life (last column). The list of requirements is presented in Table 16. No applications from component 3 were encountered that could be used to realize this application.

6.3.4. Elaboration on existing complications

Four requirements are, to our knowledge, currently not existing in Second Life. They are elaborated here and if possible alternatives are proposed.

FR1a – Support verbal communication with (artificial) characters. This requirement is currently partly possible. A child and a participant can interact verbally. A child and an artificial character cannot.

The problem resides in the absence of speech recognition that can sufficiently interpret the verbal input provided by a child.

FR2 – Support child friendly interaction. Currently no child friendly input device has been encountered for interaction with Second Life. However, a child friendly input device that utilizes the same commands existing in a keyboard, e.g. arrows, and computer mouse, e.g. direct manipulation, should be able to interact with Second Life.

FR5 – Support participant's voice transformation. There is currently no evidence for an implementation that transform a participant's voice within Second Life. A solution for this could be found by utilizing an out-world application, before the vocal data is transferred into Second Life.

FR9a – Prevent a child from leaving the therapeutic environment. When first using Second Life a user is confined to an orientation island followed by a help island. The interaction capability is therefore present in the Second Life platform. Unfortunately, developers for Second Life applications currently cannot confine a user to their islands.

Table 16 Comparison table of case-study requirements analysis

| R-no. | Requirement | Category | - ? - | |
|-------|--|--|-------|---|
| FR1a | Verbal communication with artificial character | Discourse – conversation | | N |
| | | Interactive object - intelligent | | |
| FR1b | Verbal communication with participant's character | Discourse – conversation | Y | |
| FR2 | Support child-friendly interaction | Basic interaction – input / output | | N |
| FR3 | 3D (animated-) cartoon theme | Construction – create / join objects, add textures | Y | |
| FR4 | Participant representation as character | Embodiment – appearance | Y | |
| FR7 | Unnoticeable participant representation | Embodiment – appearance | Y | |
| FR5 | Participant's voice transformation | Discourse – conversation | | N |
| | | Interactive object – reactive | | |
| FR6a | Record statistics | Application – project Agora | Y | |
| FR6b | Present statistics | Interactive object – reactive | Y | |
| | | Construction – behavior | | |
| FR8 | Control the therapeutic stimuli | Interactive object – reactive | Y | |
| | | Construction – behavior | | |
| FR9a | Prevent a child from leaving the therapeutic environment | Navigation & exploration – travel | | N |
| FR9b | Prohibit unwanted actors from entering the therapeutic environment | Navigation & exploration – travel | Y | |

6.3.5. Advice – conclusion

Based on the absence in Second Life of the four requirements listed in the previous section it is not recommended to start developing this application for therapeutic purposes with a child in Second Life.

In order to continue development in Second Life the four requirements need to be reformulated to fit the current state-of-art or solved by technological improvement.

In short:

- FR1a is advised to be reformulated. The verbal communication with an artificial character needs to be removed. Support using another input device, e.g. a mouse or keyboard, with an artificial character is possible. As a result only a participant is able to verbally communicate with the child.

- FR2 could be solved by testing child friendly input devices on Second Life. An example of a child friendly input device is shown in Figure 13 and was developed by Chester Creek Technologies (<http://chestercreektech.com/>).
- FR5 could be solved by using an alternative application. An example of a vocal transformation application is Morphvox made by Screamingbee (<http://www.screamingbee.com/>). The site also contains an audio demonstration (see <http://www.screamingbee.com/community/Multimedia.aspx>).
- FR9a is advised to be put on hold. It could be solved if Second Life starts to support the functionality. Until then it is advised not to be part of the requirements list.



Figure 13 A conventional and child friendly keyboard Section

6.4. *Feedback*

The applicant of the application stated in her response that she obtained insight into the possibilities Second Life can provide for her application.

To her knowledge there are currently no requirements missing in the advice.

Finally she stated that she is continuing to monitor Second Life as a possible candidate for her application. However, she is currently pursuing alternatives, because of the existing issues that need to be resolved first.

The complete response from the applicant, including the submitted questions, can be found in Appendix D.5.

6.5. *Conclusions*

The objective of this chapter was to validate the use framework and value of the resulting advice.

Based on the criteria in 6.1 and the feedback in 6.4 the following conclusions are derived:

1. The framework must be able to determine the suitability of Second Life for a 3D virtual world application.
2. The resulting advice supported the choice for a next step in the development of the 3D virtual world application for Selective Mutism.

Based on the criteria it was expected that the assessment would provide constructive information about the compatibility between components.

As expected the component compatibility proved an important issue. Concerning the case described above it was shown that a user is unable to have a verbal conversation with an artificial character, and is able to have it with a character controlled by another participant.

Also an additional interactive capability was found during the assessment of the requirements, namely the ability to record data in Second Life. The recording of data allows for storing data, e.g. statistics, in Second Life.

Non-functional requirements of an application may form an important factor when considering Second Life. The current framework is unable to provide information on non-functional requirements, such as accessibility, reliability, and usability. Non-functional requirement might add substantial information to an advice, further supporting the application choice for a next step.

7. Conclusions

7.1. Result

The main research question for this research was:

Can a framework be developed, based on interaction capabilities, which determines the suitability of Second Life for a 3D virtual world application?

The framework proposed in this thesis supports this. It was shown that the resulting advice supports the choice for the next step in the development of the application.

The proposed framework consists of three components, namely basic interaction capabilities, supporting interaction capabilities and applications. The three components clearly differentiate different interaction capabilities into a hierarchy consisting of elementary and more complex capabilities. Furthermore, the relations between components illustrate the manner in which interaction capabilities can be used, i.e. compatibility issues might exist between components, such that some might not support or utilize others.

7.2. Discussion

Interaction capabilities are presented that were encountered during literature study, expert interviews and consulting of Extreme Blue projects at IBM. All the sources consulted proved to be useful. The literature and experts provided valuable information to obtain initial knowledge. The Extreme Blue projects were especially useful because they were in fact creating new interaction capabilities or utilizing existing ones for Second Life in the way the 3D virtual world is meant to be used, i.e. user created.

The chosen approach, available time and the continuing development of Second Life prevented us from listing all interaction capabilities.

The obtained data was categorized based on the interaction categories from literature. Where needed the categories were complemented with new ones for interaction capabilities that didn't fit existing categories.

The resulting framework consists of three components and their relations, i.e. the compatibility between different implementations. The three components are based on the observation that basic interaction capabilities are used in the supporting interaction capabilities, which in turn are used by applications.

An applicant of a new 3D virtual world application for Second Life provided positive feedback. According to the applicant the provided advice supports her choice for a next step in the development of the application. Due to time constraints unfortunately only one advice was written to validate the framework. Creating multiple advices for various 3D virtual world applications would improve the value of its validation.

7.3. *Recommendations*

Second Life is a developing 3D virtual world where users create their own content. Hence the obtained interaction capabilities are a snapshot. One of the most important aspects of using this framework is the content that resides in it. Hence keeping the knowledge of existing interaction capabilities and their dependencies up to date is a key factor for the continued use of the framework.

According to our own expected results in chapter 6 non-functional requirements are currently not discussed in the framework. Non-functional requirements might add substantial information about the feasibility of the 3D virtual world application. It should be considered to add this component.

Next to Second Life other 3D virtual worlds could support a 3D virtual world application under development. Listing their interaction capabilities and using them with the framework could determine the suitability of these 3D virtual worlds as well. This would eventually allow an advice that provides support for multiple 3D virtual worlds at the same time, which could be used to select the most appropriate one.

The current validation was based on one advice only. Using the framework on multiple 3D virtual world application would improve the value of its validation. Hence it is recommended to use this framework in more cases to strengthen the current validation statement.

Turner and Turner (Turner and Turner 2002) propose to use their framework for different evaluation methods per level. The basic level is to be evaluated using simple usability tests. The supporting level is to be evaluated using tutors, discussion, observers and questionnaires. The cultural level is to be evaluated using trials and training scenarios. Analog to this our framework might provide a structure to evaluate the different requirements at different levels for a 3D virtual world application.

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A. Extreme BlueTM projects

Contact list

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Questionnaire

Dear Extreme Blue student,

My name is Ruben van den Berg. I'm intern student at IBM's Centre for Advanced Studies (CAS) in Amsterdam the Netherlands. I'm currently working on my master-thesis, researching applications in 3D virtual worlds like Second Life. Goal of my research is to design a framework to identify points of attention in the development of applications that provide added value to 3D virtual worlds.

By means of a local Extreme Blue project I was pointed to yours. Since your Extreme Blue project is related to virtual worlds, you can help me with data from your project. I would like to ask you a few questions. If you have any questions related to this questionnaire please feel free to ask.

Please feel free to increase the space for your answers and don't hesitate to elaborate. Thank you very much for your time and good luck with your own Extreme Blue project @ IBM!

Questions based on your current project

1. Please describe the goal of your project, i.e. what are you (and your team) going to deliver?
2. Is your project going to be implemented & distributed in the near future or is it a proof of concept?
3. Who is your client in the project?
4. Why is your client interested in this project?
5. What is offered by the virtual world, e.g. Second Life, to your project, that cannot be found in a web-based Internet environment?

6. Are you encountering or foreseeing possible technical complications to your Extreme Blue project (application)?
7. During the first stage of your Extreme Blue project, what other applications, i.e. solutions to your given problem, did you consider before your current Extreme Blue project was chosen?
8. Next to current interactive functionalities like, chatting, voice, or power point slides, did you consider or develop other interactive functionalities for your project?
9. Who can I contact for further information on your project?

B. EB project

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C. Framework contents

Appendix C lists all the currently know interaction capabilities existing in Second Life. Table 17 lists the known component 1 interaction capabilities. Table 18 lists the known component 2 interaction capabilities. Table 19 lists known applications for component 3. The Table 20 lists some examples of existing relations between the three components.

Table 17 Component 1 - Basic interaction capabilities

| Category | Type |
|---|--|
| Basic interaction – input / output | Keyboard, Mouse, Microphone, <u>Confidential</u> , brain sensor, home trainer. |
| | Monitor, speaker system, |
| Basic interaction – 2D interaction styles | Pop-up menus with buttons, command line, SL-HUD |
| Basic interaction – 3D interaction techniques | <u>Confidential</u> |
| External system communication | HTTP, XML-RPC, Live stream video and audio, forward to external website, <u>Confidential</u> , <u>Confidential</u> ; |

Table 18 Component 2 – Supporting interaction capabilities

| Category | Type |
|--|---|
| Navigation & exploration – travel | Walk, run, jump, fly, teleport, change camera perspective, ride an interactive object, real-time user movement; |
| Navigation & exploration – way finding | 2D maps, 3D maps, signs, labels; |
| Embodiment – appearance | Humanoid avatar, animal avatar, fantasy avatar, (partially) invisible avatar; |
| Embodiment – manipulation & control | Specific movements, e.g. dances, non-verbal communication expressions, e.g. laugh, cheer, hey, shrug, act bored, et cetera, natural looking gestures; |
| Discourse – conversation | Chat, instant message, voice chat, email; |
| Interactive objects – reactive | Change appearance, play sound, play animation; |
| Interactive objects – smart | Object – avatar interaction, e.g. a smart motor, a smart spot at the beach, or a smart dancing spot in a disco; |

| | |
|-----------------------------------|--|
| Interactive objects – intelligent | Sensors detecting movement, sensors detecting chat conversations; |
| Construction – create objects | Cube, prism, pyramid, cylinder, half cylinder, sphere, half sphere, cone, half cone, torus, tube, donut, grass, trees, immersive sphere; |
| Construction – join objects | Create complex shapes, e.g. buildings, vehicles and chairs. |
| Construction – textures | Regular image files; |
| Construction – behavior | Respond to laws of physics, listen/respond to events; |
| <i>Confidential</i> | <i>Confidential</i> |
| Transactions | Buy products, sell products, freely exchange virtual products |

Table 19 Component 3 – Applications

| Category | Type |
|-------------------------|----------------------|
| Application – discourse | Universal translator |
| <i>Confidential</i> | <i>Confidential</i> |

Table 20 Compatibility issues between components

| Type | Category | Compatible with | Type |
|----------------------|--|---|----------------------------------|
| Request for teleport | Navigation & exploration | Navigation & exploration – travel | Teleporting |
| | | Basic interaction – 2D interaction styles | Pop-up messages / menus |
| Universal translator | Discourse – conversation | Discourse – conversation | Chat |
| | | Construction – create object | Prim |
| | | Construction – add behavior | Communicate with external system |
| Brain sensor | Basic interaction – input / output devices | Navigation & exploration – travel | Walking |

D. Validation case – Selective Mutism

D.1. Introduction to selective mutism

The validation case describes an idea for an application to utilize the possibilities of the 3D virtual world Second Life. The case is focused on the research done by Maretha de Jonge, a PhD behavioral specialist at the University Medical Centre Utrecht.

Selective mutism is a complex childhood anxiety disorder characterized by a child's inability to speak in select social settings, such as school. These children understand language and are able to talk normally in settings where they are comfortable, secure and relaxed. For more information on selective mutism please visit www.selectivemutism.org.

Most children with selective mutism are described as very shy, perfectionist, headstrong and somewhat inflexible. Their silence impedes their social functioning, emotional and educational development. Often it makes their parents and teachers feel powerless.

D.1.1. Proposed therapy

According to de Jonge the knowledge about treatment of selective mutism is limited and not easily available for those who need it, e.g. parents and teachers. Also, the treatment protocols for anxiety and other childhood disorders are experienced as somewhat boring and not suitable for younger children. For example, the behavioral therapy protocols use school book methods, making them only usable for children being able to read and write.

The question de Jonge asks is that nowadays there are solutions on CD-ROM's or the Internet to take language courses or to mend your car, but why there are no playful and creative based therapy solutions to help these children overcome their shyness?

With this in mind de Jonge developed the idea to create a web-based application for children, parents, teachers and therapists to help these children. The idea has the following goals:

1. Provide reliable information about selective mutism and other anxiety disorders (extreme shyness).
2. Create the opportunity to exchange ideas and experiences between children and between adults.
3. Make de Jonge's therapy program available for professionals and provide information and tips for parents and teachers.

4. Create a database for research, in specific evaluating therapy effects.
5. Create a playful, tempting environment for children to practice with skills that help them to learn to overcome fear in daily life. De Jonge images something ‘Second Life’ like, where they can wander around in the 3D virtual world, practice basis skills, download materials, play games and maybe even “meet” other children or people to communicate and practice with.

The first four goals can be developed on the current web-based Internet and supporting services. For the fifth goal de Jonge wonders if 3D virtual world technology can be used to create an environment for the children to develop their skills.

D.2. Requirements analysis

To obtain more information on the application an interview session was held. As a result of the interview the following use case diagrams and requirements were elicited for the application.

Use cases

Figure 14 displays an initial use case diagram. It shows five actors and four use cases.

The five actors are a child, a parent, a teacher, a therapist, who will be interacting with the application, and an unknown visitor who must not have access to the environment. The child is the actor that undergoes the therapy. The parent actor is the child’s parent or legal guardian. The teacher actor is the teacher working with the child at local school. The therapist actor is the professional therapist who treats the child for the selective mutism disorder. The unknown visitor is an unwanted user that can disturb the therapy process with its presence.

The four use cases are “do exercises”, “participate in exercises”, “monitor progress” and “control exercises”. The most important use case of the application is the child being able to *do exercises* in the environment. The exercises are the actual therapy provided to the child, e.g. a game where the child is playing with fictional characters. Secondly, both the teacher and the therapist are to be able to participate in the exercises. Participation is based on interacting with the child through the application. The therapist needs to be able to control the exercises, e.g. set difficulty levels. Finally all the actors need to be able to monitor the child’s progression in therapy, e.g. results from doing exercises.

A result of the use cases and the further contents from the interview with de Jonge resulted in the following functional requirements.

Do exercises

The exercises consist of verbal communication a child has with characters in the 3D virtual world. Two types of characters are required, artificial and controlled by a user, e.g. a teacher or therapist. A character is always represented as an avatar.

FR1 – represent characters as avatars

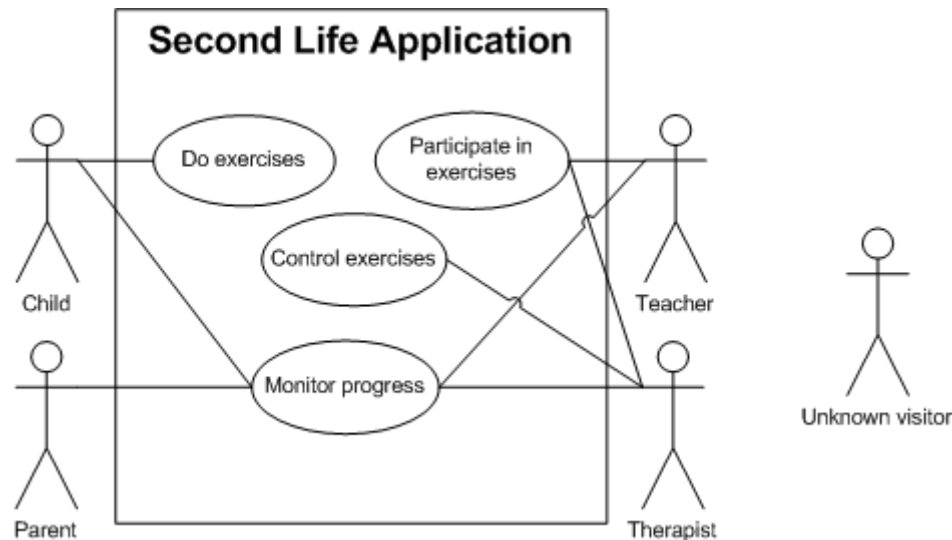


Figure 14 Use case diagram for case on selective mutism

The main goal is to motivate the child to speak with these characters. A character can be artificial or controlled by a participant, e.g. a teacher or therapist. Hence it is required for the child to verbally communicate with characters and for the characters to reply verbally.

FR2a – support verbal communication between child and artificial characters

FR2b – support verbal communication between child and participants

The 3D virtual world allows its users to navigate through an environment. According to de Jonge however, children in the target group are unable to use conventional input devices like a keyboard or a mouse. An input device enabling the child to control its avatar is thus required.

FR3 – support child (age < 7 years) friendly interaction with Second Life

In order for the child to be attracted to the exercises De Jonge wants the child to do exercises in a child-friendly environment. The idea is to have a three-dimensional animated cartoon theme comparable to fairytales like Cinderella.

FR4 – display a three-dimensional animated cartoon theme, e.g. a fairytale setting

Participate in exercises

The participants, e.g. teacher or therapist, are to be able to participate and guide the child in the exercises. In line with the therapy the child must not be aware of the presence of a real person behind the characters in the exercises. Hence the teachers and therapists need to appear as approachable characters in line with the animated cartoon setting.

FR5 –participants are to be represented as characters in the exercise, e.g. dog or robot.

In order to motivate the child to speak, the characters (and thus the participants) must reply verbally. The participants in the exercise cannot use their own voices, for this may confuse the child's experience and make it realize the true identity of the participants.

FR6 – participants' voices must be transformed to fit the theme

Monitor progress

All the actors taking part in the therapy need to be able to monitor the child's progress.

FR7a – record statistics

FR7b - display statistics comprehensible to all actors

Each supervising actor, i.e. the parent, teachers and therapist, needs to be able to visually and auditory observe the child during exercises. Children suffering from selective mutism disorder refuse to speak when they feel uncomfortable. According to de Jonge it is important for the observers to be present, but to remain unnoticed by the child.

FR8 – observers' avatars must be unnoticeable to the child

Control therapy (environment)

In the real world a child is exposed to various distractions and hostilities that may influence its state of mind. In order for the child to be prepared for the real world, part of the therapy is to expose the child to such stimuli. Control of this process must only be done by the professional behind the therapy, i.e. the therapist.

FR9 – the therapist may control the stimuli to which the child is exposed

In a 3D virtual world uncontrolled distractions and hostilities exist as well. For example a child may encounter other users on different islands. Other users are parents, teachers, other children, but also unknown visitors of the 3D virtual world that have no reason for being in the therapeutic environment.

FR10a – the application should restrict the child from leaving the therapeutic environment

FR10b – the application should support the prohibition of unwanted participants from entering the therapeutic environment

All the requirements are listed in Table 21. The first column lists the requirements numbers. The second column lists the requirements. The last two columns, i.e. below -?-, state if the requirement is currently known to exist in Second Life.

Table 21 Requirements for application

| No. | Requirements | - ? - | |
|-------|--|-------|---|
| FR1 | Represent characters as avatars | Y | |
| FR2a | Verbal communication with artificial character | | N |
| FR2b | Verbal communication with participant's character | Y | |
| FR3 | Support child-friendly interaction | | N |
| FR4 | 3D (animated-) cartoon theme | Y | |
| FR5 | Participant representation as character | Y | |
| FR8 | Unnoticeable participant representation | Y | |
| FR6 | Participant's voice transformation | | N |
| FR7a | Record statistics | Y | |
| FR7b | Present statistics | Y | |
| FR9 | Control the therapeutic stimuli | Y | |
| FR10a | Prevent a child from leaving the therapeutic environment | | N |
| FR10b | Prohibit unwanted actors from entering the therapeutic environment | Y | |

D.3. Elaboration on existing complications

Four requirements are, to our knowledge, currently not existing in Second Life. They are elaborated here and alternatives are proposed.

FR2b – Support verbal communication with (artificial) characters. This requirement is currently partly possible. A child and a participant can interact verbally. A child and an artificial character cannot, because Second Life is unable to interpret the verbal input provided by a child.

FR3 – Support child friendly interaction. Currently no child friendly input device has been encountered for use with Second Life. However, a child friendly input device that utilizes the same commands existing in a keyboard, e.g. arrows, and computer mouse, e.g. direct manipulation, should be able to interact with Second Life.

FR6 – Support participant's voice transformation. There is currently no evidence for an implementation that transforms a participant's voice within Second Life. A solution for this could be found by utilizing an out-world application, before the vocal data is transferred into Second Life.

FR10a – Prevent a child from leaving the therapeutic environment. When first using Second Life a user is confined to an orientation island followed by a help island. The functionality is therefore present in the Second Life platform. Unfortunately, developers of Second Life applications currently cannot confine a user to their islands.

D.4. Advice – conclusion

Based on the absence of the four requirements listed in the previous sub-section it is not recommended to start developing this application in Second Life at this moment.

In order to continue development in Second Life the four requirements need to be reformulated to fit the current state-of-art or solved by technological improvement.

In short:

- FR2a is advised to be reformulated. The verbal communication with an artificial character needs to be removed. Support using another input device, e.g. a mouse or keyboard, with an artificial character is possible. As a result only a participant is able to verbally communicate two ways with the child.
- FR3 could be solved by testing child friendly input devices on Second Life. An example of a child friendly input device is shown in Figure 13 and was developed by Chester Creek Technologies (<http://chestercreektech.com/>).
- FR6 could be solved by using an alternative application. An example of a vocal transformation application is Morphvox made by Screamingbee (<http://www.screamingbee.com/>). The site contains an audio demonstration (see <http://www.screamingbee.com/community/Multimedia.aspx>).
- FR10a is advised to be put on hold. It could be solved if Second Life starts to support the functionality. Until then it is advised not to be part of the requirements list.



Figure 15 A conventional and child friendly keyboard

D.5. Questions to and response from the applicant

1. Does the advice provide you with insight into the support Second Life can provide your application? Please elaborate.

“Yes. I knew very little about the possibilities of Second Life for use in education or therapeutic applications and the information and advice is very helpful and gave me insight in the way it might help my ideas for a new application”.

2. Are you missing requirements? Please list them and if needed specify?

“Not that I can see at the moment?”

3. Are you going to continue to explore Second Life as a possible 3D virtual world for you application? Please elaborate.

“Yes. There are however, some issues that cannot be resolved at the moment or are not available yet. Therefore, I am also exploring other possibilities. We discussed some of these possibilities already and that was very helpful for me too.”

