## UNIVERSITY OF TWENTE COMPUTER SCIENCE

## TRAIN COMPOSITION

## USING MOTION AS A COMMON CONTEXT

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# Abstract

The research we conducted and describe in this thesis involves the autonomous discovery of the composition of a train. Using wireless sensor nodes equipped with 3D accelerometers, we aim to use motion as the common context for the correlation of the wagons behind a train.

The draft of the European Rail Track Management System (ERTMS) level 3 specifies a train should be able to be aware of the composition of the train. Since freight trains do not have any form of electrical connection between the freight wagons, either electrical connections should be made or a wireless solution should be developed to be able to detect the train composition. The goal of our research is the development of a wireless system capable of sensing and reporting the train composition.

The lack of electrical connections introduces one of our challenges: energy consumption. Since freight trains are scheduled for maintenance every six to twelve months and there is a lack of a continuous power supply, the train composition system should be energy efficient. An energy efficient system implies using a minimal amount of computational power. Our research is based on building a system using energy efficient wireless sensor nodes.

In the first part of our research, we establish the means we are able to use for identifying two wagons behind the same train. Based on previous research, we use correlation of the filtered data from an accelerometer. We show it is possible to use the Pearson product-moment correlation coefficient, but besides that, we show the use of an optimized version of this correlation coefficient.

For our algorithm, we implemented two methods. Our first solution uses the Pearson correlation coefficient over a growing correlation window. This approach enables very fast response times at the expense of computational power. Our second solution implements the optimized version of the correlation coefficient. Using the optimized version, less computational power is required per node, but the response time has a lower bound of 5 seconds.

Simulation results show that both approaches are applicable; the wireless sensor nodes are able to perform the necessary calculations and determine the train composition within a given time window of 15 seconds. Our fast approach is able to deliver the train composition after just two seconds, given trains with not near identical acceleration characteristics.

Our simulation results also show that the bandwidth of the radio chip of the wireless sensor nodes is capable of handling the necessary communication for our algorithm. The LogNormal Shadowing model used in the network layer of our simulator shows that heavy shadowing does not interfere with the correct operation of our algorithm.

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## **Chapter One**

# Introduction

Relating two objects can be easy for an outsider who is able to observe these two objects and identify a common feature between these two objects. On the other hand, when these two objects need to relate autonomously this can be a challenge. In this chapter, we will show the challenges that rise when two random train wagons need to decide whether they relate or not and we will explain why two trains wagons want to relate in the first place.

## 1.1 Context Aware Pervasive Systems

Embedding small processing devices in objects and activities as means of improving the use or quality of these objects and activities is a generally accepted way of improving everyday life. The processing devices embed in objects and activities are referred to as pervasive systems [1] or ubiquitous computing [2]. For these devices to be able to assist in everyday tasks one of the challenges is finding out where a device is located. Besides that, the discovery of nearby devices as well as nearby resources are also important aspects. The discovery of location, neighbors and resources is also referred to as context awareness [3].

In this work we research the possibility of a context aware pervasive system as a means of discovering whether two objects are moving together. The example used throughout this research is the discovery of the composition of a train i.e. whether or not it is possible for wagons behind a train to acknowledge they are behind the same train and distinguish them from wagons behind other trains. In the following section, we explain why it is relevant a train is able to autonomously detect the composition of the train.

## 1.2 Train Safety Systems

The rail system in Europe currently consists of multiple different safety systems: the countries still rely mostly on a country-specific system. International European trains have multiple systems onboard to be able to pass borders and enter another country and hence another safety system. Currently, several running projects in different European countries are in place to deploy a uniform system [4], European Rail Train Management System (ERTMS), under supervision of the European Railway Agency [5]. The eventual goal is the adoption of the system in all participating countries. The draft for the latest version of ERTMS is known as ERTMS level 3. ERTMS level 1 and level 2 still consider track sections instead of trains as sections, thus implying that a small light train takes an even amount of space as a large and heavy train. ERTMS level 3 introduces the possibility to consider a train as a moving block, keeping a certain amount of space in front as well as the back of the train thus forming a safety zone around the train.

By representing trains as moving blocks, short and light trains will form smaller blocks than heavier trains, which have a much longer brake path. Thus, the introduction of the train as moving block allows more trains to be run on the same track when compared to a system that uses static safety zones. The level 1 and level 2 ERTMS systems use a straightforward approach for determining if a train, consisting of a locomotive with zero or more wagons behind it, has left a certain zone: each zone is able to count how many wagons enter the zone and how many wagons eventually leave a zone. When the number of wagons that entered a zone equals the number of wagons that left the zone, that zone is empty. ERTMS level 1 uses signals located at the side of track for the indication of the availability of the next zone, whereas ERTMS level 2 communicates this information by radio using GSM-Rail (GSM-R). Freight train wagons use a simple steel hook to couple one wagon with another. Unfortunately, sometimes a wagon is lost during transport, which ERTMS level 1 and level 2 will easily detect.

Since ERTMS level 3 treats a locomotive with wagons as one moving block, it is imperative that a train can guarantee its integrity i.e. no wagons are lost. There is no backup system along the tracks anymore, thus a dangerous situation occurs when a train loses a wagon and does not report the loss of this wagon. The next train will not slow down and crashes into the lost wagon. A system that accurately monitors the state of the train is therefore a crucial part of ERTMS level 3.

Another requirement is the limited amount of time a train has in which the train is able to detect and report the composition of the train. For example, when a train enters a station with four wagons, but leaves the station with three wagons, it is imperative the train is able to report there are only three wagons in the new train composition. The system is then able to draw the conclusion that one wagon is still at the station and that the moving block that the train represents is smaller than before. Since there is one wagon blocking the track at the station, this information should be available as soon as possible after the train signals it starts departing the station.

Passenger trains are equipped with numerous wired links between wagons, which can be used to monitor if all wagons of a certain train are still present. Freight trains on the other hand do not have such luxury i.e. when a train rides at night in the Netherlands, the machinist manually puts up a light at the last wagon since the wagons are not interconnected and do not have electrical provisions. A system for guaranteeing train integrity should therefore preferably operate wirelessly. Besides that, a train wagon is scheduled for maintenance every year, this implies that the new system should be able to run for at least a year without any human intervention. The system also needs to be able to operate in all kinds of weather and should be able to withstand dust and water.

#### 1.3 Related Work

Previous studies [6], [7] and [8] show it is possible to distinguish between two objects moving together and two objects moving separately using small sensor nodes. Based on these studies we chose an accelerometer as sensor for our research. In all three studies the accelerometer proves to be able to provide data which allows the correlation of related moving objects. Besides that, alternative sensors such as radar [9], [10], [11], ultra sone [12] or infrared [13] are of limited use in the harsh environment the sensor nodes have to operate. Schoemaker [8] also focuses on the automatic discovery of the train composition with the emphasis on the question whether or not is possible to use wireless sensor nodes for the problem. His research shows it is possible correlating train wagons using sensor nodes equipped with accelerometers, but does not go into detail about a possible algorithm that is able to run on these sensor nodes.

#### 1.4 Problem Statement

Based on the specifications of ERTMS level 3 and previous research, the research described in this thesis focuses on three problems:

- Correlation of wagons using accelerometers
- Train composition discovery algorithm
- Feasibility of using the algorithm on wireless sensor nodes

The specifications of the ERTMS level 3 and the environment in which this safety system is deployed lead to the following requirements for possible solutions to the aforementioned problems:

- Safety: a possible solution needs to be failsafe, since safety is the main purpose of the system.
- Responsiveness: a train should be able to report the composition of the train within a certain time window when the train starts accelerating from standstill e.g. when departing a station.
- Maintenance period: the wagons of the train are scheduled for maintenance approximately every year, thus a safety system should be able to operate autonomously for at least a year.

### 1.5 Challenges

The research of the aforementioned three problems each faces different challenges. In this section, we discuss the challenges we expect per problem.

#### 1.5.1 Correlation using accelerometers

Before we can research the correlation based on the output of accelerometers we need to gather a dataset. The recording of the datasets faces three challenges:

- Reliability: we will use the recorded datasets throughout each stage of the research we conduct, therefore the datasets need to be recorded in a profound way and the datasets need to be analyzed for any inconsistencies.
- Representativeness: the recorded datasets should be an accurate reflection of the acceleration levels a wagon experiences during operation. Without cooperation of a company with freight trains, we do not have direct access to trains and wagons.
- Sample rate: the rate at which the dataset is recorded should be at least two times the frequencies, following the Shannon/Nyquist theorem, we expect in the accelerating levels [14], [8]. The high frequency components in the recorded accelerations levels are a possible means of correlation, therefore the recording of the expected highest frequency components is a prerequisite for our datasets. Since the computational power of sensor nodes is limited, we need to determine the highest possible sample rate on the sensor nodes.

## 1.5.2 Train composition discovery algorithm

The challenges present for the development of the train composition discovery algorithm are:

- Latency: the trains have to report within a certain time window what their composition is, since ERTMS level 3 has no other means of knowing what part of the track is taken by the train in question. Therefore, the train discovery algorithm has also a small time window in which to decide which wagons belong to a train and which wagons do not.
- Context: two scenarios are identified during which a train has to discover the composition:
  - The train is accelerating while another train is standing still nearby
  - The train is accelerating while another train is also accelerating

The first scenario is relatively straight forward, since there are obvious differences in acceleration levels between the two trains, but the second scenario includes our worst case: two trains starting to gain speed, whilst driving in the same direction. Since the trains are driving in the same direction, the nodes on the wagons of both trains will be within radio range of each other for a longer period of time. Besides that, when the trains are accelerating at roughly the same levels the distinction between both trains is much smaller than in all other possible cases. When the trains are driving in different directions, either the nodes are not within radio range shortly after the trains start accelerating or the situation is comparable to the situation when two trains depart at the same time in the same direction.

- Reliability: the security systems within the ERTMS level 3 system rely heavily on the information a train reports, thus the information a train reports should be accurate. The train composition discovery algorithm should therefore be able to report reliable information with regard to the composition of the train. Our algorithm should be able to eliminate false positives as well as false negatives with regard to wagons belonging to a certain train, while still supplying the train composition information in a timely fashion.
- Efficiency: the algorithm is to be implemented on a wireless sensor node system, thus the algorithm should be as efficient as possible with regard to the usage of resources e.g. number of calculations and amount of communication between two nodes.

## 1.5.3 Usability on wireless sensor nodes

The last challenges involve the usability of the train composition discovery algorithm on wireless sensor nodes. We define the following challenges:

- Feasibility: the train composition discovery algorithm should be able to run on wireless sensor nodes in all possible circumstances. It is therefore necessary to determine the required processing power as well as memory consumption on each wireless sensor node participating in the train composition discovery algorithm. Besides that, the network capabilities of the wireless sensor nodes should be able to handle the necessary communication for the train composition discovery algorithm, thus we need to relate the amount of data flowing through the network to the available network capacity.
- Energy-efficiency: since the train wagons are only scheduled for maintenance every year, the wireless sensor nodes should be able to run on an energy source e.g. a battery, which is replenished only once a year. The power consumption of the operation of train composition discovery algorithm on the wireless sensor nodes should therefore be kept to a minimum. Alternatives such as solar power and wind energy are difficult to implement considering the harsh environment in which the wireless sensor nodes are operating.

Reliability: the train composition discovery algorithm is used in a system, which guarantees
railway safety, therefore the performance of the wireless sensor nodes should be flawless
or flaws should be detected and dealt with. For example, the vibrations absorbed by the
wagons and thus the sensor nodes can cause hardware failure e.g. communication
between the central processing unit and the accelerometer is lost.

#### 1.6 Approach

We base our research on the assumption; it is possible to distinguish trains using motion as a common context. Before we implement a system based on this hypothesis, we prove the validity of our assumption. We divide the process of validating our hypothesis up to implementing a train composition algorithm into several steps. In the following paragraphs, we outline each of the steps taken. The steps taken in our approach are:

- Definition of our hypothesis
- Validation of the hypothesis
  - o Record representative datasets
  - o Analyze recorded datasets
- Construction of a distributed train composition algorithm
- Measure performance of the distributed train composition algorithm
- Optimize distributed train composition algorithm for wireless sensor nodes
- Validation of optimized train composition algorithm
- Proof of concept

Based on previous research, our research focuses on the hypothesis:

'It is possible to use motion as a common context to distinguish trains'

After launching our hypothesis the next step, is the validation of this hypothesis. We divide the validation of the hypothesis in two parts. First, to discover means of correlating two wagons behind the same train using accelerometers, data is recorded on trains using wireless sensor nodes equipped with an accelerometer. Our measurements show the maximum sample rate at which the node is able to record data is 160Hz, which is more than sufficient for the frequency components in the acceleration levels we expect to see.

After we verify that the recorded datasets are complete and show expected results, we analyze the data to expose parts of the datasets that can be used to distinguish wagons behind the same train from all other wagons. Our spectrum analysis shows the frequency spectrum that is usable for our algorithm covers the lower frequencies, which is what we expected based on related studies [8], [14]. In our data analysis we show how we are able to distinguish two wagons moving together from all other wagons by applying low pass filters on the datasets and correlating the low pass filtered data over a moving window.

The next step in our research is the composition of a distributed algorithm for the discovery of the train composition. We use the results we obtained in the previous step, data acquiring and analyzing, to construct the train composition algorithm. In this stage, we still use Matlab for the implementation of our algorithm.

After constructing the train composition algorithm, the following step is testing the performance of the algorithm by simulating a shunting yard with multiple trains, using the data we recorded in the first step of the research. The simulation also gives insight in the number of calculations that each node performs and the amount of data that each node sends and receives during the discovery of the train composition.

We continue our steps with the implementation of the train composition discovery algorithm onto the sensor nodes as our proof of concept. By analyzing the data from the simulations, we can abstract which functionality is required on the nodes and determine what the costs are for this functionality in terms of processing power, energy consumption and network capabilities. The implementation of the algorithm on the node will use fixed-point calculations, which we optimize for maximum energy efficiency while maintaining a fast responsiveness of our train composition algorithm. We compare the results of our optimized algorithm running on wireless sensor nodes with our implementation in Matlab constructed for our feasibility analysis.

#### 1.7 Outline

The first step of our research is to establish representative datasets, which we can use throughout the rest of our research. The collection of the datasets is explained in the next chapter. We will explain the hardware we used and explain the way we recorded the datasets.

The analysis of the datasets is shown in Chapter Three. First, we discuss the raw recorded data. Using spectrum analysis, we show which parts of the datasets are of interest for the train composition discovery algorithm. In the last part of Chapter Three, we examine the interesting parts we defined during the spectrum analysis and show in what ways these parts can be used in the train composition discovery algorithm.

Chapter Four explains the train composition discovery algorithm we developed.

The next chapter, Chapter Five, we discuss the simulation we implemented of the train composition discovery algorithm. We start by explaining how the simulation is setup. In the second part of this chapter, we show and discuss the results of the simulation.

The realization of the train composition discovery algorithm is discussed in Chapter Six. The requirements in terms of processing power and memory consumption as well as network capabilities and power consumption are discussed using the wireless sensor node we discussed in Chapter Two as reference.

The conclusions and future recommendations conclude this thesis.

## **Chapter Two**

# **Data Collection**

The previous chapter discusses which scenarios a system should be able to cope with. Based on these scenarios, datasets are recorded which allow analysis and simulation of a possible solution for all these scenarios. This chapter explains what kind of datasets are required and how these datasets were gathered and verified.

## 2.1 Requirements datasets

The discovery of the train composition can occur during the following scenarios:

- Train 1 standing still, while train 2 accelerates from standstill
- Train 1 and train 2 accelerating from standstill in same direction

For the verification of a system, reference datasets are also needed. These datasets should contain:

- Measurements from two nodes on same wagon
- Measurements from different trains on same track

Since it is not yet known if it is possible or needed to correlate based on high frequency components in the data measured, the datasets are sampled with the highest possible frequency. A lower sample rate can be simulated by re-sampling the original datasets.

Besides that, the data of all three directions, corresponding to the three axis of our accelerometer, should be recorded separately, which enables correlation on either one of the axis or possibly a combination of these axis.

The last requirement is the ability to align the datasets when measured on two wagons behind the same train.

## 2.2 Train and track

Taking measurements on a freight train has the disadvantage of low availability e.g. explicit permission and cooperation of a company using freight trains is needed. Therefore the measurements for this research are conducted on a regular passenger train the "Dieselmaterieel `90 (DM`90)" a.k.a. "Buffel" (figure 2.1). The DM`90 is a diesel train consisting of two wagons. Two or more trains can be coupled to compose a larger train. Additional advantages of a passenger diesel train are the extra noise in the measured datasets introduced by the diesel motor as well as passengers moving through and in and out of the train. Usually, this noise is unwanted, but a reliable representative dataset is required since the system needs to be able to cope with all circumstances for security's sake. Since sensor nodes have to endure a harsh operating environment, the accelerometers on a freight train wagon will produce noise in the output signal as well.





Figure 2.1: Dieselmaterieel `90 (DM`90)

Figure 2.2: Sensornode in train

The datasets are all recorded on a part of the track between Almelo station and Hengelo station (figure 2.3). This track features a couple of start and stop moments as well as some track changes and curved tracks at the passing stations, thus a wide variety of possible movement of the train is covered. For each dataset, we collected data for at least 10 minutes.



Figure 2.3: Railway layout between Almelo station and Hengelo station [15]

#### 2.3 Hardware

The hardware used for the data collection is the same hardware that should be able to run the final developed system. By using the same hardware a realistic dataset is obtained. Any imperfections this hardware has, will also affect the dataset.

The main component of the used data logging hardware is an Ambient  $\mu$ Node 2.0 [16]. The  $\mu$ Node is equipped with a serial interface, which enables logging of the measured data to a PC. The movement of the train is measured by the STMicroelectronics LIS3LV02DQ accelerometer. The maximum sample rate of this sensor is 640Hz. By mounting the hardware in a hard plastic case, the level of vibration of the hardware itself is reduced to a minimum. The plastic case is mounted firmly onto a fixed part of the train wagon (figure 2.2).

#### 2.4 Software

The Operating System used on the  $\mu$ Node is AmbientRT [17]. A driver for the accelerometer was already available, but this driver used a poll mechanism on the accelerometer. Since the accelerometer is also capable of triggering an interrupt when new data is available, the driver is adjusted to enable an interrupt driven system.

In order to reach the highest possible sample rate, the maximum performance of the  $\mu$ Node is determined. The maximum performance is determined by examining the tasks performed when running the data logging software with regard to the maximum number of clock cycles used for each task. AmbientRT offers a tool which allows a feasibility analysis of a program run on the  $\mu$ Node when fed with the maximum number of clock cycles per task and the frequency at which these tasks are executed. Any dependencies with regard to blocking of input/output or data structures can also be taken into account.

One of the reasons the sample rate is limited to 160Hz, is the logging of the data via the serial connection, set at 115.2 kbps. For example, a timer tick is equivalent to  $1/32768^{th}$  second, reading the registers from the accelerometer cost 45 timer ticks, whereas putting the readouts on the serial interface cost 50 timer ticks, thus introducing a large overhead for each registration of accelerometer data(table 2.1). At a sample rate of 160Hz there are  $32768 / 160 \sim 204$  timer ticks between each sample moment. The analysis of the data logging software proved that the maximum feasible sample rate is 160Hz, giving the minimum required occurrence of each task. A small additional buffer is introduced to prevent blocking of tasks on shared resources. For example, putting the data on the serial bus after reading out the accelerometer data, would block a subsequent readout of the accelerometer data for a relative long period when both tasks are using the same buffer.

Task	Timer ticks
Read data accelerometer	45
Copy small buffer into large buffer	3
Empty serial buffer	50
Handle sync event	10
Transmit radio packet	130
Receive radio packet	10

#### Table 2.1: Cost of tasks expressed in timer ticks

A node is able to either become a master or to become a slave. Via a button press, a node is started, which then becomes a master. A master node broadcasts a sequential numbered stamp to other nodes every two seconds. When a node is still neutral, meaning it is neither a master nor a slave, it will become a slave when the node receives a broadcast from a master. The stamp is recorded upon reception. Using this method it is possible to sync the logged data afterwards. The only delay introduced is the transmission delay, which is relatively small compared to the sample rate.

## 2.5 Measuring

During the first actual data measurement, some shortcomings of the data logger were discovered. The datasets are therefore divided into three sets, each newer sets solves the shortcomings of the previous set. Each dataset consists of the measurements of two nodes (figure 2.4). These nodes are placed in two different wagons behind the same train. Besides the described datasets the aforementioned reference set for verification with two nodes on the same wagon is also recorded, but not further described. Each node is mounted in the same direction where the x-axis represents the driving direction, the y-axis records the sideways movement and the z-axis points downwards. The nodes are mounted by hand so the axes are not aligned perfectly.



Figure 2.4: Test setup two nodes in a train

## 2.5.1 Dataset 1

The analysis of the first dataset showed a major problem: one of the sensor nodes suffered from radio interference. The disturbance on the radio was not triggered in the faculty building, despite the presence of multiple devices operating in various frequency bands i.e. mobile phones, wireless lan, similar sensor nodes etc. Since the nodes were equipped with a copper wire as antenna it is possible that variations in the wire length are responsible for higher interference levels on one of the nodes. Besides that, it is also possible that the casing the nodes are mounted in have influence on the radio interference levels, since different cases are used for the nodes. The last possible explanation is the location in the train e.g. radio devices located near the position of one of the nodes.

The interference on the radio stops the logging of the data from the accelerometer, thus the recording of the dataset fails. The accelerometer triggers an interrupt when it has new data only when the previous data has been read out successfully. The radio interference caused the sensor node to miss this interrupt, creating a deadlock situation.

#### 2.5.2 Dataset 2

The software running on the sensor nodes received an update, which addressed the radio interference as well as the deadlock situation, for the measurement of dataset 2. The software part responsible for the radio communication is set to discriminate between radio packets of the system and all other radio data and ignoring the latter completely. Besides that, after the reception and handling of radio data, an additional check of new accelerometer data is performed. The extra check of new accelerometer data ensures that new data is read out even when the interrupt generated by the accelerometer is missed due to handling of radio data. Although the results of the second measurement still showed radio interference on one of the nodes, the node was now able to produce a useful dataset.

#### 2.5.3 Dataset 3

The third dataset is recorded on the same track as dataset 2, giving the characteristics of two trains operating on the same track. Analysis of the second dataset showed that the acceleration levels of the wagons are never above 1g, for all axis. Before starting the third measurement, the range of the minimum and maximum acceleration levels of the accelerometer has been lowered from  $\pm 6g$  to  $\pm 2g$ . This increased the resolution of the measurements.

### 2.6 Verification datasets

The first software tool written for the analysis of the datasets a tool, checks the number of measurement points between two timestamps. Since the sample rate is set at 160Hz, one would expect 320 sample points between two timestamps, unfortunately one of the nodes showed a lower number of 314 samples per two seconds. The accelerometers have been switched between the  $\mu$ Nodes to ensure this could be attributed to the internal clock of the accelerometer, which proved to be a correct assumption.

Since one of the nodes from dataset 1 suffered from radio interference, which caused the node to stop recording data, it is imperative to make sure the device did record enough data samples between two timestamps. Dataset 2 as well as dataset 3 did show some missing timestamps due to the radio interference, but when calculating the average number of samples between the timestamps that did get recorded, it showed no loss of data samples. For example when timestamp 3 is lost, if the average number of data samples between timestamp 2 and 4 still equals the average number of data samples between two sequential timestamps, the recording of the dataset is still considered to be successful.



Figure 2.5: Re-sampling of the data sets

A second tool extracts the data from the sets, according to the parameters supplied. The parameters allow selection of axis and start and stop timestamp. Using the first tool, it is possible to make sure the chosen start and stop timestamps were readily available. The second tool compensates the aforementioned problem with the small difference in clock frequency of the accelerometers as well. The recorded data is re-sampled to 155Hz. The time between to sequential timestamps is divided in steps of  $1/155^{\text{th}}$  second and the data is mapped onto these steps. When a data sample coincides with a time step, this data sample is taken, otherwise the two closest data samples are taken, of which the weighted average, i.e. the closest data sample has most influence, determines the value for the corresponding time step (figure 2.5). Since the accelerometers operate at a slightly higher frequency than 155Hz namely between 157 and 160Hz, a relative low amount of samples is lost this way.

# **Chapter Three**

# Data Analysis

After gathering and verifying the data sets that represent train and wagon movement accurately, the data is analyzed in Matlab. The goal of the data analysis is the discovery of means to discriminate between two wagons behind the same train and all other variations.

## 3.1 Raw data

Considering the almost unlimited ways of finding patterns in the raw data, the data is first examined more closely. The first step is to look at the raw output of the accelerometers. When combining the data with the known path the wagons have taken a preliminary outcome for the possible ways of correlating the wagons is established. Further analysis by examining the frequency spectrum of the measured signals gives insight in the interesting frequency bands in the signal with regard to the correlation.

### 3.1.1 Output accelerometers

An impression of the measured data is displayed in figures 3.1, 3.2 and 3.3. The displayed data is the raw data obtained for dataset 3 measured in the front wagon. The train has already gained maximum acceleration at the time the measurement started. Approximately halfway, the train arrives at Borne station, thus braking and eventually stopping. After a short stop, the train starts moving towards Hengelo station. Whilst nearing Hengelo station, the train performs a couple of track changes, during which the train starts braking as well. The measurement is stopped a few seconds before the train actually stands still.



Figure 3.1: Raw data x and y axes dataset 3

The raw data of the x-axis in figure 3.1 clearly shows the train gaining speed, braking, pausing and gaining speed again. The difference between the relative straight forward track between Almelo de Riet and Borne and the track changes and curved tracks when nearing Hengelo is also visible.

The sideways movement of the moving train can be distinguished in the data of the y-axis. When the train is standing still at Borne, the amplitude of the y-axis signal is smaller than when the train is moving. When the train is making track changes at the end of the measurement when nearing Hengelo station, the amplitude of the signal on the y-axis increases as well.



Figure 3.2: Raw data z-axis dataset 3

The raw data of the z-axis, figure 3.2, allows a visible discrimination between driving and standing still. To compensate the lack of correct alignment of the three axes on the different wagons, it is possible to use the magnitude of the three axes. Results in [18] show the possibility of using the magnitude on  $\mu$ Nodes as well. Figure 3.3 shows the magnitude of the raw data of the three axes. Since the data of the z-axis contains the largest amplitudes and highest acceleration levels, the magnitude of the three axis shows great similarities to the raw data of the z-axis.



Figure 3.3: Magnitude of raw data three axes

#### 3.1.2 Frequency spectrum

By analyzing and comparing the frequency spectrum of the measured signals, it is possible to discover similarities between two wagons behind the same train. Figures 3.4-3.11 show the result of frequency spectrum analysis of relative small subsets of the datasets. The chosen subsets all start when a train starts leaving station Borne, taken from dataset 3. The subsets are analyzed using a window size of 256 samples with an overlap of 250 samples and a 256 point FFT. The Shannon/Nyquist rule states only frequencies up to half of the sampling rate can be reconstructed, thus the resulting frequency spectrum ranges from 0 to ~ 77 Hz considering the sampling rate of 155Hz of all the measurements.





Figure 3.4: Frequency spectrum analysis dataset 3 wagon 1, x-axis

Figure 3.5: Frequency spectrum analysis dataset 3 wagon 2, x-axis

The frequency spectrum analysis of the x-axis, figures 3.4 and 3.5, show very low frequency components. Since the x-axis is not calibrated to output 0 when the train is in a steady state, the offset it has results in the strong presence of the zero frequency when making a FFT analysis. The measured low acceleration levels indicate that there are possibly other low frequency components in the signal besides the zero element. The frequency spectrum analysis shows activity in the 0 to approximately 2 Hz range, which follows the previous theory. Based on these results correlating the signal after applying a low pass filter is a possible means of relating two wagons.

The higher frequencies also show a pattern in the spectrum analysis, but the pattern is visible in different frequency ranges for each of the wagons, thus correlating the wagons based on other frequencies then the aforementioned low frequencies is highly unlikely.



Figure 3.6: Frequency spectrum analysis dataset 3 wagon 1, y-axis



Figure 3.7: Frequency spectrum analysis dataset 3 wagon 2, y-axis

Figures 3.6 and 3.7 also display strong low frequency components in both the signals. The yaxis is not calibrated to output 0 as well, thus the zero frequency is present due to the standard offset. Besides that, high frequency components are also visible, especially in the frequency spectrum analysis of wagon 2. The visible patterns in red for wagon 2 are present in yellow in the spectrum analysis of wagon 1, but unfortunately these patterns are also shifted in frequency range, as was seen on the analysis of the x-axis. Therefore, the focus for correlation on the y-axis also lies on the lower frequency components.



Figure 3.9: Frequency spectrum analysis dataset 3

Figure 3.8: Frequency spectrum analysis dataset 3 wagon 1, z-axis

igure 3.9: Frequency spectrum analysis dataset : wagon 2, z-axis

The frequency spectrum analysis of the measurement data of the z-axes, show similar results as seen on the analysis of the x- and y-axes. The lower frequencies are also of more interest for the correlation of two wagons. The frequency spectrum analysis of the magnitude of the three axis again displays the strong presence of low frequency components, whereas the presence of the higher frequency components is shifted when comparing one wagon to another.



## 3.2 Correlation filtered data

The previous section showed the strong presence of low frequencies in the raw output of all the axes including the magnitude of the axes, this section therefore focuses on the data when ran through a low pass filter. The filter is implemented in Matlab using a 2<sup>nd</sup> order Butterworth filter (figure 3.12). The Butterworth filter is an Infinite Impulse Response filter of which we know from previous experience to also run on a  $\mu$ Node. Although the 2<sup>nd</sup> order Butterworth filter does not have a very steep roll off, i.e. the frequencies above the cut-off frequency are gradually filtered out, there are no ripples in the filter meaning the filter only gains strength for each higher frequency.





Figure 3.12: Magnitude (dB) and phase response 2<sup>nd</sup> order lowpass Butterworth filter



Figure 3.14 shows the filtered data of the x-axes of three accelerating wagons. Two of these wagons are behind the same train. The dataset of the other train is measured on the same part of track. It is clear to see that the upper and lower graphs belong to the wagons behind the same train. The data of the third wagon does show great similarities when compared to the wagons behind the other train. The main difference between the two trains is the duration of the maximum acceleration before the train has gained speed and gradually lowers the acceleration levels and the roll-off between the moment of standstill and the point at which the train start accelerating.

The datasets of the wagons behind the same train are identical with regard to duration of the maximum acceleration levels and the roll-off between standstill and the start of the acceleration. When the train has gained speed and the acceleration levels start dropping gradually, the wagons still show an acceleration level which is on average the same, but both wagons experience peak levels that are unique for each wagon.



Figure 3.14: Data x-axes accelerating wagons, lowpass filtered at 2Hz

The results of the correlation of the filtered datasets is shown in figures 3.15 and 3.16. The data is filtered at 10, 5 and 2 Hz. For reference the original unfiltered data has also been correlated. The chosen correlation window is 5 seconds, 755 samples. When choosing the window size, we have to choose between having a fast response time (small window size) or a more accurate correlation value (large window size). In the next sections, we will motivate our choice of a correlation window of 5 seconds.



Figure 3.15: Correlation lowpass filtered data x-axes two wagons behind same train



Figure 3.16: Correlation lowpass filtered data x-axes two wagons behind different trains

When comparing the different frequencies at which the low-pass filter is set, the lowest setting, 2Hz, results in the best distinction between high correlation, value 1, and no correlation, value 0. Unfortunately, the wagons behind two accelerating different trains display high correlation during a large window. A possible explanation for this phenomenon is that the used parts of the datasets involve the same part of the track and the same type of trains, which follow the same train schedule, thus the trains show very similar behavior. On the other hand, at the point where the trains perform the highest acceleration, which is the most crucial point of the ride, since it is the starting point at the station, a clear distinction between the two trains is observed.



Figures 3.17, 3.18 and 3.19 show the correlation of the low-pass filtered data of two wagons in three different situations with increasing correlating window sizes.

Figure 3.17: Correlation with varying window sizes. Two wagons behind same accelerating train



Correlation using varying window sizes. Axis: x. Low-pass filtered at 2Hz

Figure 3.18: Correlation with varying window sizes. Two wagons behind accelerating different trains

The results in figures 3.17 and 3.18 suggest a correlation window of 455 samples, 3 seconds, already provides a sufficient distinction between intended correlation and coinciding correlation. When taking the varying correlation window sizes applied to two completely different wagons, figure 3.19, into account it is clear that the correlation window size should be at least 620 samples, 4 seconds, but preferably 775 samples, 5 seconds. With a window size of 775 samples, the correlation between an accelerating wagon and a stationary wagon is close to zero thus giving a relatively large distinction between related wagons and non-related wagons.



Figure 3.19: Correlation with varying window sizes. One accelerating wagon, one stationary wagon



Figure 3.20: Correlation two wagons behind accelerating train, y-, z- and xyz-axes

Figures 3.20, 3.21 and 3.22 display the correlation of the other axes, including the correlation of the magnitude of three axes. The correlation of the z-axis and the magnitude of the axes are too low in the case of the two wagons behind the same train, figure 3.20, to be the only factor to rely on for the discovery of the train composition. When taking the correlation of two wagons behind two accelerating different trains also into account, figure 3.21, during the department of the station no reliable distinction between two trains can be established. The correlation of the data of the y-axes shows a similar pattern as the correlation of the data of the x-axes when comparing the results of two wagons behind the same train and the two wagons behind accelerating different trains, but when the train starts accelerating the correlation level of the two wagons behind the same train is lower for the data of the y-axes than for the data of the x-axes. This renders the correlation based on the data of the x-axes.

Besides that, when comparing the correlation around the 1000 sample point mark, the two wagons behind the two accelerating different trains start correlating again in contrary to the two wagons behind the same train, which indicates the y-axis is an unreliable source for correlation.



Figure 3.21: Correlation two wagons behind accelerating different trains, y-, z- and xyz-axes



Figure 3.22: Correlation one accelerating wagon and one stationary wagon, y-, z- and xyz-axes

Since only the lower frequencies contain useful information, a lower sample rate should be sufficient for the correlation analysis as well. Figures 3.23 and 3.24 show the correlation of the datasets when re-sampled at 35Hz using the method described in section 2.6. When comparing these figures with figures 3.15 and 3.16 it is clear that datasets recorded at a sample rate of 35Hz produce the same correlation result as datasets recorded at higher sample rates.



Figure 3.23: Correlation two wagons behind accelerating train, data resampled at 35Hz



Figure 3.24: Correlation two wagons behind accelerating different trains, data resampled at 35Hz

## 3.3 Conclusions

The results of the data analysis show that a distinction between two wagons behind the same train and two unrelated wagons can be made based on the correlation of the acceleration levels of the wagons in the driving direction of the train. The high frequency components of the acceleration data contain too much noise to be useful for correlation. A spectrum analysis does show similar patterns between two related wagons in the higher frequencies, but unfortunately these patterns occur in different frequency bands for each of the wagons, rendering a correlation based on these patterns impractical. The low frequency spectrum proved useful for the correlation. When low-pass filtering the acceleration data of the driving direction at 2Hz two wagons behind the same train can be distinguished. The amount of time the distinction is evident is relatively small when two wagons behind the same train are compared to a wagon behind another train, which drives on the exact same location using approximately the same amount of acceleration.

Although there exists a small window in which the distinction is made, it should be noted that this window is very small under rare conditions. Using the acceleration data of the driving direction of the wagons for simulations is considered the best option based upon two observations. First of all it is highly unlikely that two trains depart at the exact same time with a near identical amount of acceleration. The circumstances under which the acceleration data is recorded for data analysis were artificial. The trains in which the data is recorded were all of the same build sporting the same train composition. Besides that, the recorded data is synchronized before performing a correlation analysis. Thus, having a synchronous departure combined with different train characteristics and the amount of acceleration provided by the driver leading to similar acceleration levels seems unlikely in practice.

Secondly, when using wireless sensor nodes, two trains will usually be out of radio range within a short amount of time after departing a shunting yard or station, which renders the fact that these two trains perform the same operation at the same time insignificant. Combining these two facts results in a very low possibility only a small distinction window is available. Simulations will prove if the small window is a problem to reckon with.

The correlation of the acceleration levels of the other axes also showed high levels of correlation for two wagons behind the same train, but comparing these correlation results with the results of non-related wagons revealed that there is no clear distinction between these sets. Although the correlation of the y- and z-axes as well as the magnitude of the axes does not provide a clear distinction, the outcome of a correlation analysis of these axes is still considered to be a possible means of correlating two related wagons. For example by using fuzzy logic [19], which weighs multiple inputs to generate a decision.

# **Train Composition Algorithm**

In the previous chapter, we prove our assumption, that we can distinguish trains from each other using movement as common context, is valid. The next step in our research is constructing a train composition algorithm based on our assumption and the results of our data analysis. The discovery of the train composition is divided in three steps. In this chapter, we describe how we implemented these steps in our algorithm. Our research lays the emphasis on the second step of the process, the correlation. For the first and the last step, we provide possible implementations.

### 4.1 Pairing

The train composition algorithm works in a distributed fashion. A pair of two sensor nodes calculates their correlation on which the locomotive will eventually base the train composition. In the following two sections, we describe the initialization and operational phases.

### 4.1.1 Wake-up

As soon as a node detects movement, the node wakes up. For this a ball-switch [8], [20] can be used. We assume all nodes behind the same locomotive are able to detect initial movement of the locomotive and are able to wake-up within a certain relatively small time window. When a node has detected the movement and the node is in an active state, the node starts the network interface and announces its presence to other nodes using a broadcast on the network. Nodes that are also still in the first step of the process can respond to this broadcast message upon reception. When two nodes have acknowledged their existence, these nodes form a pair.

Nodes that did not respond to the broadcast message are either not within radio range or on a different train. Based on our assumption that nodes are able to wake-up within a certain time window after the initial movement of the locomotive, the window for sending and acknowledging a broadcast message can be set at a fraction larger than the maximum time a node requires for waking up after the locomotive starts moving. The trains we used for the recording of our datasets showed only very small, less than 5 ms, delay in the detection of train movement, but depending on the type of connection between wagons this number may vary.

#### 4.1.2 Master/slave

Nodes forming a pair exchange data from their accelerometers to be able to decide if these two nodes are behind the same locomotive or not. Based on our conclusions from chapter three, the data of the axes measuring the driving direction is used. The data is sampled at 35Hz and filtered using a low-pass filter at 2Hz.

We propose using a master and slave setup for the calculation of the correlation of the two nodes. This reduces the amount of calculations needed by performing the calculations on the master only. The nodes agree upon the master and slave role after acknowledging they form a pair. A slave sends his data to the master, which calculates the correlation. The master sends the correlation results back to the slave. After the slave has acknowledged the reception of the correlation results, the master and slave switch roles. The switching of roles balances the energy consumption between the two nodes. For reduction of overhead each network packet instigates, we propose to send data from slave to master in a bulk packet containing the last 35 samples. The downside of this approach is the fact that the rate, at which we can draw conclusions based on the outcome of our correlation calculations, is also limited to one per second. Simulation results will show this will not limit our ability to detect the train composition in an early stage after the train starts accelerating.

#### 4.2 Correlation

#### 4.2.1 Correlation algorithm

We implement the correlation of two nodes using the Pearson product-moment correlation coefficient  $\rho_{X,Y}$ , which can be found in equation (1). For the implementation of the calculation of this correlation coefficient, let x and y be two sensors nodes on a train, that have established a master/slave relation. Our window size is represented as n. The data send between each master and slave, is represented by  $x_i$  and  $y_i$  with j=1 for the oldest data point recorded by both nodes.

$$\rho_{X,Y} = \frac{cov(X,Y)}{\sigma_X \sigma_Y} \tag{1}$$

$$\sigma_X = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_i - \bar{x})^2}$$
(2)

$$cov(X,Y) = \frac{1}{n} \sum_{i=1}^{n} (x_i - \bar{x})(y_i - \bar{y})^2$$
 (3)

As can be seen in equations (1), (2) and (3) a straightforward implementation requires a relatively large amount of computations on each pair of nodes for computing the correlation coefficient upon reception of the data from the slave.

Marin-Perianu et al. [7] propose an optimization to the correlation calculation algorithm. The proposed algorithm stores intermediate values thus reducing the amount of calculations necessary for the computation of each correlation coefficient. Equations (4)-(13) show which steps are taken for the calculation of the correlation coefficient as well as the intermediate values. In our algorithm, k is fixed at 35 and  $n=k^*m$  thus m depends on window size n. When the window size is n, the optimized algorithm requires the availability of the last m intermediate values, thus the number of intermediate values stored by both the master and slave relates to the largest window size used in our algorithm. Each calculation of the correlation coefficient is referenced by i, which starts at 1. The intermediate values we calculate and use are calculated using the following equations:

$$S_i^x = \sum_{j=(i-1)k+1}^{ik} x_j$$
 (4)

$$S_i^y = \sum_{j=(i-1)k+1}^{ik} y_j$$
(5)

$$\sigma_x = \sum_{j=(i-1)k+1}^{ik} x_j^2$$
(6)

$$\sigma_{y} = \sum_{j=(i-1)k+1}^{ik} y_{j}^{2}$$
(7)

$$S_i^{xy} = \sum_{j=(i-1)k+1}^{ik} x_j y_j$$
(8)

$$\overline{X}_{i} = \overline{X_{i-1}} + \frac{S_{i}^{x} - S_{i-m}^{x}}{n}$$
(9)

$$\overline{Y}_{i} = \overline{Y_{i-1}} + \frac{S_{i}^{\mathcal{Y}} - S_{i-m}^{\mathcal{Y}}}{n}$$
(10)

The variance and covariance calculations using the intermediate values are performed as follows:

$$var_{i}(X) =$$

$$var_{i-1}(X) + \frac{\sigma_{i}^{X} - \sigma_{i-m}^{X}}{n} - (\overline{X_{l}^{2}} - \overline{X_{l-1}^{2}})$$

$$var_{i}(Y) =$$

$$var_{i-1}(Y) + \frac{\sigma_{i}^{Y} - \sigma_{i-m}^{Y}}{n} - (\overline{Y_{l}^{2}} - \overline{Y_{l-1}^{2}})$$

$$cov_{i}(X,Y) =$$

$$\frac{cov_{i-1}(X,Y) + \frac{S_{i}^{XY} - S_{i-m}^{XY}}{n} - (\overline{X_{l}}\overline{Y_{l}} - (\overline{X_{l}}))$$

$$(11)$$

$$(12)$$

$$(12)$$

$$(12)$$

$$(13)$$

Since the nodes in our algorithm take turns in calculating the correlation coefficient, each master node will send the intermediate values as well as the correlation coefficient to the slave. Unfortunately, the optimization does not work when using a growing window size, which we implement in our algorithm as discussed in the next section. While the window is still growing, we use the normal calculation of the correlation coefficient. For better-balanced resource consumption, the slave node calculates the mean, sum, sum of squares and variance of each dataset transmitted to a master. The outcome of these computations is send along with the dataset.

#### 4.2.2 Window size

Based on our conclusions in chapter three, for determining if two nodes are behind the same train, a correlation window of 3 seconds (105 samples) is sufficient for the detection of two wagons behind the same train. On the other hand, a window smaller than 5 seconds (175 samples) leads to false positives, i.e. nodes behind different trains assume they are behind the same train. The disadvantage of the correlation window is the delay with which the final train composition is deduced, thus a smaller window size is preferred.

To facilitate a window of 3 seconds as well as a window of 5 seconds, our algorithm is able to calculate the correlation of two nodes over a growing window. The disadvantage of this approach is the inability to use the optimization as proposed by Marin-Perianu et al. [7] while the correlation window is still growing. Our algorithm starts the decision process when the window size has reached 3 seconds. Although this leads to false positives, our data analysis has shown no false negatives, thus the algorithm is already able to eliminate nodes not behind the same train. The elimination of non-correlating nodes in an early stage of the algorithm offers improved energy efficiency. The fact that non-correlating nodes are eliminated in an early stage and the fact that the number of computations needed for smaller windows is less, compensate for the lack of optimization of the calculation of the correlation coefficient.

#### 4.3 Train composition

Our algorithm is limited to the correlation of the node pairs. For the discovery of the train composition we identify two scenarios. Results from our simulation will give a good estimation for the point in time at which we are able to deduce the actual train composition i.e. when all nodes behind the same train are correlating and there is no correlation between nodes from different trains. After the train composition is determined, we propose to send a message to all nodes, which the nodes should acknowledge to verify that they are still behind the locomotive thus the train integrity is still guaranteed.

In scenario 1, we do not know which wagon is the last wagon, thus for determining the composition of the train, the locomotive triggers a route discovery algorithm. The locomotive sends a message to the nodes the locomotive has paired with, these nodes on their turn send a message to the nodes they are paired with. Each sequential message contains the path already travelled. As soon as a node is not able to transmit the message to a node not already in the path recorded in the message, the node sends a reply to the message back to the locomotive with a list of nodes the original message has passed. The locomotive is then able to construct a tree of nodes using the replies received. Using this tree a list of nodes behind the locomotive is deduced. Extending messages with a counter of the number of hops between nodes, the paths between nodes can be optimized for a higher efficiency of the transmission of the train integrity message.

In the other scenario, we assume we are able to identify the last wagon of the train. For example by implementing a RFID system on each node with which a person can generate an interrupt signaling the node is on the last wagon. After the correlation phase has ended, the last node sends a route discovery message towards the locomotive. Using this approach, the locomotive is able to deduce a better estimate of the train composition i.e. instead of a tree view of the wagons, the locomotive is able to view the wagons as a straight line. Besides that, the communication necessary for the train integrity message involves fewer nodes, since the start and end nodes of the train are known and the train integrity is still intact as long as the last wagon can be reached. This leads to a reduction in network traffic and improves energy efficiency. The discovery of the route to the locomotive can be implemented using existing algorithms e.g. AODV [21] or ODMRP [22].

In addition to the aforementioned proposals for optimizing the view of the nodes by the locomotive, we propose to use the received signal strength (RSSI) of the nodes. Using the RSSI an even clearer position estimation of each wagon is possible, thus improving network efficiency and saving energy.

## **Chapter Five**

# Simulation

### 5.1 Network

The following sections describe the link connectivity model we used and the assumptions we made for the network communication in our simulation.

## 5.1.1 LogNormal Shadowing

Since the environment the wireless sensor nodes are operating in contains large objects, using a circular radio model would give a distorted image of the actual network performance of the sensor nodes. We therefore implement the LogNormal Shadowing (LNS) model [23] in our simulation. The concept behind the model is that statistical variations of the radio signal power around its mean value are taken into account when calculating the link probability. The LNS model enables us to calculate the link probability between two nodes given the normalized distance between two nodes and parameter  $\xi$ . The parameter  $\xi$  controls the influence of variations in signal power on the link probability, thus enabling us to simulate for example a shunting yard with a large amount of large objects. Figures 5.1 and 5.2 show the connectivity and communication range of node A when using either a circular radio model or the LNS model.



Figure 5.1: Circular radio model

Figure 5.2: LogNormal Shadowing model

The LogNormal Shadowing model calculates the link probability using equations (14) and (15). Parameter  $\xi$  is defined as the ratio between the standard deviation of shadowing ( $\sigma$ ) and the pathloss exponent (n). The parameter  $\xi$  can vary between 0 and 6 where a value of 0 gives the same link probability as a circular radio model and a value of 6 equals heavy shadowing. Variable  $\hat{r}$  represents the normalized distance between two nodes and  $\alpha$  is a constant with value: 10/V2\*log 10. In our simulations we are able to vary parameter  $\xi$ , thus enabling the measurement of the performance of the algorithm under different network properties.

$$p(\hat{r}) = \frac{1}{2} \left[ 1 - erf\left(\alpha \frac{\log(\hat{r})}{\xi}\right) \right]$$
(14)

$$\xi \triangleq \sigma/n \tag{15}$$

### 5.1.2 Acknowledgment and retransmission

Considering the safety requirements on the train composition algorithm we use a MAC layer model that requires each message sent to be acknowledged. Each message that is sent between nodes is tagged with a priority. The priority of a message is a number which resembles the number of retries we perform at maximum when sending the message or the corresponding acknowledgement before we consider the transmission of the message failed. Table 5.1 gives an overview of the used priorities.

Priority	Retries
PRIORITY_BROADCAST	1
PRIORITY_LOW	2
PRIORITY_MEDIUM	3
PRIORITY_HIGH	5

Table 5.1: Relation between priorities and number of retries

Using the link probability we have calculated between nodes using the LSN model, we decide whether a packet has reached its destination or not. Besides point-to-point messages, we assume nodes are also able to send a broadcast message. This broadcast message is for example used to discover master/slave pairs. The broadcast message uses the same methods as the point-to-point messages, but with a priority of 1, thus a broadcast message is either received and acknowledged directly or not.

The exchange of the correlation data messages uses the low, medium and high priorities to send the messages as follows: the correlation data is initially send with priority low, when the data exchange fails, a higher priority is used. When a higher priority is selected, all further correlation interaction will also use this higher priority. In case the data exchange fails at the highest priority, the nodes stop interacting and lose their master/slave status. During one correlation step, i.e. the exchange of data points and the calculation of the correlation, it is possible that data exchange is retried at a higher priority.

#### 5.1.3 Packet size

The total amount of bytes sent and received by a node depends on the type and amount of packets sent and received by a node. When a node sends a packet, but the packet is not correctly received, the size of the packet is still accumulated to the total number of bytes send by the node. By broadcasting a packet, the size of the packet is only added once to the total number of bytes sent.

The size of a packet is expressed in bytes. In some cases, this will introduce a small overhead for each packet, since not all bits of every byte might be needed. We choose this approach because an implementation of the train composition algorithm on nodes using an existing network layer forces the use of predefined packet formats, which usually do not allow packet sizes to be trimmed to the exact amount of bits necessary. Table 5.2 gives an overview of the packets and the corresponding sizes we use.

Packet type	Packet size (bytes)
Broadcast	3
Acknowledgement	3
Data points	89
Correlation result	29

Table 5.2: packets and corresponding sizes

We assume each node requires two bytes for a unique ID, which functions as the destination address. Besides that, each packet has one byte that we use for the definition of the type of packet. The packet with data points includes the mean, sum, sum of squares and variance of the set. The correlation result packet includes the mean, sum, sum of squares, variance of the master node as well as the sum of products, covariance and correlation of both sets. The results of the calculations are stored in 32 bits, 4 bytes, except for the correlation, which is stored in 16 bits, 2bytes.

As soon as the correlation window has reached the maximum size and the algorithm switches to the optimized correlation algorithm, the slave no longer needs to calculate the variance of its data points, thus the packet size of the data points reduces with 2 bytes.

Using the numbers from table 5.2 the total number of bytes required for a correlation cycle is 124 bytes, consisting of two acknowledgements, a set of data points and the correlation result.

#### 5.2 Train and nodes

In the following sections, we explain how the nodes and trains are setup in our simulation.

#### 5.2.1 Train and node layout

Our simulation is based on several locomotives with a number of freight wagons behind them. For the measurements of the locomotives and freight wagons we used the average length and width as seen on Dutch freight trains [24] i.e. a length of 20m and a width of 3m. On each side of a train, we reserve 1m of clearance space. For an even distribution of nodes on the wagons and train, we place two nodes on each wagon or train, which are located at the long-sides in the middle as shown in figure 5.3. By placing the nodes on the long side of the wagons, nodes on the same side are able to communicate in a less obstructed way. When placing nodes on the short sides, nodes on two adjacent wagons are either within very short range or at least one of the wagons is blocking a direct path between two nodes, both situations have a negative impact on the radio communication.

Since the load of a wagon can differ, for example the wagon holds a sea container or a load of rocks, we are not able to locate the sensor nodes on top of the wagons.



Figure 5.3: Sensor node placement on freight train wagon

## 5.2.2 Train movement

The data we use for correlation is also used for determining the speed of a train by integrating the acceleration. Besides the speed of the train, we can also adjust the direction in which the train travels, which is either to the left of the shunting yard or to the right.

## 5.2.3 Shunting yard

As stated in our preliminary research, the worst-case scenario contains multiple trains departing at the same time within radio range of each other. Our simulation is based on this worst-case scenario. On our shunting yard, we position multiple trains. The wagons of a train are always behind the locomotive, thus the driving direction of the train is determined before we place the train. We either pick a random direction for each train or each train drives in the same direction. The locomotives of the trains are positioned either on the left half of the yard or on the right half of the yard. When a train drives to the left, we always place the locomotive of the train in the right half of the shunting yard and vice versa, this ensures wagons of all trains are situated within the center area of the shunting yard before all trains start accelerating. An example of the positioning of the trains is given in figure 5.4.



Figure 5.4: Positions of trains on shunting yard

The exact position of a locomotive within a certain half of the shunting yard is either determined randomly or fixed for all trains. On the left side as well as the right side of the shunting yard, we reserve space where a train can drive but cannot be placed, which also ensures that all wagons are situated within the center area of the shunting yard after we placed all trains. In figure 5.4 we see the locomotives of the two trains driving to the right positioned to the right of the center and vice versa for the other two trains.

## 5.3 Results

In order to answer our research questions we recorded several statistics during each run of the simulation. The statistics we recorded are:

- Time at which the composition of all trains can be correctly determined
- Number of master roles a node fulfills and the time at which this occurs
- Number of slave roles a node fulfills and the time at which this occurs
- Network statistics e.g. number of bytes sent and received, number of packets sent and received

Each simulation run is configured using the possibilities described in the previous section. We varied the following settings:

- Number of trains
- Positioning of trains
- Driving direction
- Delay between the departure of one train and the departure of the next train

We simulated each different setting 100 times.

## 5.3.1 Correlation

In table 5.3, we present a summary of the results on the accuracy of the train composition determination. The first four columns show the settings for the simulation runs i.e. the number of trains, the positioning of the trains, the driving directions and the delay between the departures of the trains. The following column shows the failure rate. We identify two possible ways the distributed algorithm has failed, when within 15 seconds:

- Wagons behind different trains are still correlating
- It is not possible to reach all wagons behind the same train using the tree formed by all correlating pairs

The following three columns show the minimum, the maximum and the average amount of samples needed before the discovery of the correct train composition. The last column shows the standard deviation for all runs with the same settings.

Trains	Position	Direction	Delay	Failure	Min	Max	Avg	Std
			(samples)		(samples)	(samples)	(samples)	(samples)
2	-	-	-	0%	70	70	70	0
3	Fixed	fixed	15	0%	280	280	280	0
3	Fixed	fixed	20	0%	280	280	280	0
3	Fixed	fixed	30	0%	245	245	245	0
3	Fixed	random	15	0%	280	280	280	0
3	Fixed	random	20	0%	280	280	280	0
3	Fixed	random	30	0%	245	245	245	0
3	random	fixed	15	0%	70	280	214,12	96,80
3	random	fixed	20	0%	70	280	224,41	93,10
3	random	fixed	30	0.98%	70	245	196,96	78,48
3	random	random	15	0%	70	280	180,15	104,87
3	random	random	20	0%	70	280	171,23	86,84
3	random	random	30	0%	70	245	151,15	97,92

Table 5.3: Results correlation calculations using different settings

For the simulation of the two trains we used two datasets with different characteristics. In figures 5.5 and 5.6 we can clearly see one of the trains reducing its acceleration levels, the distinction between these two trains using our correlation algorithm is therefore made in an early stage of the simulation. For all different settings, the outcome for the situation with two trains remained the same; therefore, only one entry is found in table 5.3 for this situation. The results of the simulation with the two trains indicate it is possible to determine the train compositions after 70 samples, 2 seconds.



The datasets used in the situation with three trains, contain two sets with very similar characteristics. Using the different settings, we measured different results in the situation with three trains, therefore we include the summary of all different settings for this situation in table 5.3. We observe a low failure rate of 0.98% in one setting. Since we did not register any failures in all other cases, we describe this particular failure to reoccurring packet loss.

The correlation for the two subsets with similar characteristics is more difficult and results in more samples needed before the discovery of the correct train composition. The minimum and maximum amount of samples needed for the correct discovery of the train compositions, show a relatively large difference when compared to the situation with the two trains and looking at the settings where at least one setting is set at random. As long as the trains are driving side by side in the same direction, no difference between the minimum and maximum amount of samples is visible.

We observe the maximum number of samples required is lower when the delay between the departures of two consecutive trains is 30 samples. When the trains depart with a delay of 30 samples between each other, the characteristics of the acceleration levels within a certain window differ more, thus the correlation is lower and the algorithm is able to detect the different trains faster.

The lower number of samples required when the delay between trains is higher is also visible when looking at the average number of samples required before the train compositions are correctly determined. The difference between 15 and 20 samples delay shows a varying difference, thus an extra 5 samples does enable a faster response of our distributed algorithm. On the other hand, the 30 samples delay shows a lower average number of samples required for the discovery of the correct train compositions.

The standard deviation shows that the number of samples the algorithm requires for every run spreads in a relatively large range around the average number of required samples, when the trains are positioned randomly. This can be explained by having either two trains on opposite sides of the shunting yard, resulting in a fast discovery of the train composition, or having two trains positioned on the same side of the shunting yard, resulting in a slow discovery of the correct train composition. The differences between the slow and fast discoveries are explained by the number of nodes that are within radio range of each other.

#### 5.3.2 Master/slave roles

Based on our observations in the previous section, we examine two scenarios for the number of master/slave roles the nodes fulfill. In the first scenario, we use the numbers recorded in the situation with two trains for all different settings, this leads to the data as shown in figures 5.7 and 5.8. The second scenario shows the average and maximum number of master/slave roles per node in the situation with two trains having a delay of 30 samples, ~ 0.85s, with varying position and varying driving direction (figures 5.9 and 5.10).



Figure 5.7: Correlation calculations as master on nodes of 2 trains, varying positions, driving directions and delays



Figure 5.8: Correlation calculations as slave on nodes of 2 trains, varying positions, driving directions and delays



Figure 5.9: Correlation calculations as master on nodes of 2 trains, random positions and driving directions, and a fixed delay of 30 samples, ~0.85s



Figure 5.10: Correlation calculations as slave on nodes of 2 trains, random positions and driving directions, and a fixed delay of 30 samples, ~0.85s

### 5.3.3 Network

In section 5.1 we explained the inner workings of the network layer in our simulator. The setting we used for the LogNormal Shadowing parameter  $\xi$  is 5. Based on a scale from 0 to 6 where 6 is heavy shadowing, our simulation is thus set to a fair amount of shadowing. We chose this setting based on our assumption that radio communication between wireless sensor nodes deployed on trains in a shunting yard experiences heavy shadowing due to the large objects that surround the nodes.

The results from our simulations for the given settings are presented in table 5.4.

	Bytes received			Bytes sent					
Delay	Min	Max	Avg	Min	Max	Avg			
Two trair	Two trains fixed position								
15	917	11.887	5.663,0	1.322	18.008	8.165,5			
20	955	11.961	5.619,0	1.284	18.631	8.107,0			
30	917	8.910	4.717,4	1.255	14.847	6.972,9			
Two trair	ns random po	osition							
15	914	12.703	5.621,5	1.135	20.151	8.371,1			
20	757	12.324	5.741,8	1.233	19.140	8.540,2			
30	792	9.872	4.767,0	1.226	15.172	7.167,4			
Three tra	Three trains fixed position								
15	914	13.769	7.091,1	1.319	21.186	9.986,3			
20	920	14.134	7.177,4	1.155	22.316	10.084,7			
30	914	12.768	6.477,3	1.377	19.232	9.197,3			
Three trains random position									
15	946	15.430	7.443,6	1.348	22.744	10.962,2			
20	952	16.662	7.446,0	1.243	23.104	10.980,1			
30	856	14.513	6.688,5	1.170	21.343	9.902,0			

Table 5.4: Number of bytes sent and received by nodes during simulation

From table 5.4 we learn that on average a node receives between 4,7 and 7,5 kB of data before the train composition is determined. The numbers for the bytes send are higher due to retransmits: between 6,9 and 10,9 kB of data is send. When looking at the maximum number of bytes transmitted, for receiving the number is between 8,9 and 16,6 kB and for sending between 15,1 and 23,1 kB.

## **Chapter Six**

# Realisation

### 6.1 Fixed-point calculations

For the implementation of our algorithm, we need to perform all our calculations using fixedpoint numbers, since the calculation of floating point numbers on our sensor nodes is less feasible considering the required computational power. The research we conducted in chapter three is based on the usage of floating point calculations. The disadvantage of fixed-point numbers is the possible loss of precision in calculations. In the following sections, we show the difference between our algorithms using fixed-point numbers and our algorithms using floatingpoint numbers

## 6.1.1 Correlation

Running our correlation algorithm using fixed-point numbers introduces a loss of precision. Besides the loss of precision due to the fixed-point numbers, our correlation algorithm also suffers from rounding errors caused by our optimized correlation algorithm, which uses intermediate values. Figure 6.1 shows the difference between our optimized correlation algorithm, which uses a growing window and fixed point numbers and the built-in correlation function of Matlab using a fixed window of 175 samples.

After roughly 15 calculations of the correlation between the two datasets, our correlation algorithm starts drifting. Since the determination of the train composition should take place well within 15 seconds, which equals the point at which our algorithm starts drifting, the drifting of the correlation does not interfere with the train composition algorithm.



Figure 6.1: Comparison of Matlab built-in correlation algorithm and fixed point optimized correlation algorithm

#### 6.1.2 Filter

Another element of our train composition algorithm, which has the potential of suffering from rounding errors due to the usage of fixed-point numbers, is the low pass filter we use. Figure 6.2 displays the difference between our implementation of the low pass filter using fixed point and the built-in low pass filter of Matlab using the double format for numbers. From the figure, we observe two differences, the first difference being the startup delay of our implementation, which we see in the two middle figures. The second difference is the absolute difference, which we have plotted in the lowest figure. Since the trend of both filtered data sets is still the same, our implementation is sufficient for the calculation of the correlation between two datasets.

The startup delay caused by our implementation can be compensated by starting the filter immediately after a node wakes up. Since the train composition algorithm needs to establish master/slave pairs before the actual correlation takes place, we are able to use this delay to run in our low pass filter.



Figure 6.2: Comparison of built-in Matlab filter and fixed-point c-filter

In order to answer the question if the accumulation of the rounding and drifting errors we have identified so far are still within limits our algorithm is able to handle, we have calculated the correlation using our own functions as well as the built-in functions of Matlab. We present the results of these calculations in figure 6.3. The lower right figure displays the correlation when either using only fixed-point calculations or using floating point calculations. Although sometimes rather large differences in correlation occur, these occurrences are always well beyond the point in time at which we determine the train composition, thus the rounding and drifting errors introduced by using fixed-point calculations pose not a problem for the implementation of our train composition algorithm on sensor nodes.



Figure 6.3: Correlation of datasets using built-in Matlab functions and custom functions

#### 6.2 Resources

The biggest challenge of our train composition algorithm lies in the ability of the nodes to run our algorithm, especially the correlation algorithm requires a relatively large amount of computational power. In the following sections, we give an overview of the possibilities our algorithm offers when run on a network of sensor nodes.

## 6.2.1 Clock-cycles

We use the internal timer of the  $\mu$ Nodes on which we run our train composition algorithm to measure the time used for calculation of certain parts of the algorithm. Each timer tick corresponds to  $1/32768^{th}$  of a second. We have measured the correlation of 2 datasets when the correlation window is still growing as well as the correlation of 2 datasets when using the optimized correlation algorithm as soon as our correlation window has reached its maximum size. In table 6.1 we give an overview of the results of our measurements.

		Master	Slave	
Task	Ticks	Time (ms)	Ticks	Time (ms)
Filter 35 samples	748	22.8	748	22.8
Correlation 35 samples	1433	43.7	739	22.6
Correlation 70 samples	2507	76.5	1252	38.2
Correlation 105 samples	3548	108.3	1772	54.1
Correlation 140 samples	4586	140.0	2295	70.0
Correlation 175 samples	5632	171.9	2815	85.9
Optimized correlation 175 samples	676	20.630	-	-

Table 6.1: Execution times on µNode 2.0 with MSP430 microcontroller

Based on our measurements we observe a significant improvement in the correlation calculation when using the optimized correlation algorithm. Before we are able to use the optimized algorithm, the standard correlation algorithm is used, this leads to the major bottleneck of our algorithm: the calculation of the correlation of two datasets with a correlation window of 175. A node is able to calculate at maximum five times each second this part of the algorithm given the node only serves as master. In an even distributed master/slave role setting, a node is able to perform three master roles and three slave roles.

For readability, we measured the execution time of the appliance of the low pass filter on a dataset of size 35, however the actual implementation is able to filter one sample at a time, thus the scheduling of this filtering task is more flexible. Each node is required to filter the measured data only once, thus the number of master/slave pairs does not influence the execution time needed for this task.

## 6.2.2 Memory

The memory consumption of our algorithm on each node, depends on the number of master/slave pairs each node has formed with neighboring nodes. Besides that, the memory consumption increases while the correlation window is still growing and decreases as soon as the window has reached its maximum size. The minimum memory consumption for the correlation calculation algorithm on a node is (175+35)\*2=420 bytes. Each node stores its own data samples for the given window as well as 35 extra samples, since the point at which master/slave pairs are formed is not the same for each pair. Table 6.2 gives an overview of the extra memory consumption on a node needed per master/slave pair.

Data structure	Size (bytes)
Dataset slave 175 samples	350
Timestamp start	2
Master mean data	4
Slave mean data	4
Master squares data	20
Slave squares data	20
Master sum data	20
Slave sum data	20
Sum products data	20
Total	460

Table 6.2: Memory consumption per master/slave pair

The total amount of bytes is 460 per master/slave pair. A standard  $\mu$ Node 2.0 has 10kB RAM available. For the normal operation of the node, we reserve 2kB, which leaves 8kB for the correlation algorithm. Given the minimum memory consumption of 420 bytes for a participating node and the availability of 8192 bytes, we can store up to 16 master/slave pairs in memory.

As soon as we are able to use the optimized correlation algorithm, the memory consumption drops radically. Per master/slave pair we do not need to store the data points over a complete correlation window, instead, only the received data points send by the slave are of interest. This reduces the memory footprint with (175-35)\*2=280 bytes per master/slave node. In this case, the total memory consumption per master/slave pair is 180 bytes. This would allow up to (8192-420)/180≈43 pairs to be formed by a node.

#### 6.2.3 Network

The  $\mu$ Node 2.0 has a NRF905 radio chip, which is able to send and receive at 100kbps. The communication necessary between two nodes for our correlation algorithm requires 124 bytes per execution of the algorithm between these nodes as we concluded in chapter 5. At 100kbps, this results in a maximum of 100\*128/124≈103 times communication of the executions of the correlation algorithm per node.

## **Chapter Seven**

# Conclusions

The research conducted for this master thesis is built around the development of a system for the automatic discovery of the composition of a train. Our hypothesis: it is possible to distinguish trains using motion as a common context, forms the basis of our train composition system. In the first three chapters, we prove our hypothesis to be correct. After the development of the train composition algorithm in chapter four, we simulate a shunting yard with multiple trains equipped with our train composition algorithm, in chapter five. Results of the simulations show that our algorithm is able to distinguish the composition of multiple trains within a relatively small time window of on average ~6 seconds and only a limited number of errors, which is subscribed to packet loss during wireless communication. The last part of our research focuses on the implementation of our algorithm on the wireless sensor nodes, in chapter 6. We prove the feasibility of running our algorithm on the sensor nodes by comparing the results of our implementation in Matlab with the results of our implementation on the sensor nodes.

### 7.1 Hypothesis

Distinguishing trains using motion as common context is the starting point of our research. The first steps of our research focus on the validation of this hypothesis as well as identifying which types of motion we are able to use for distinguishing trains.

Analysis of the data we recorded using a three-axis accelerometer on moving trains confirms the validity of our hypothesis: it is possible to distinguish trains using motion as common context. We recorded the acceleration levels in the driving direction as well as the sideways and up- and downwards directions of the train.

Based on previous research [8] we expected it to be possible to distinguish trains by the acceleration levels, especially in the sideways and up- and downwards directions caused by track anomalies which are unique for each part of the track. Although frequency spectrum analysis of the acceleration levels in these directions do show similarities between two wagons on the same train, these similarities are within different frequency ranges, thus for an automated algorithm we need Fast Fourier Transformations (FFT). Using FFT's in an algorithm running on wireless sensor nodes requires a relatively large amount of computational power thus lowers the energy efficiency and feasibility of the algorithm. Therefore, we do not use the data in the sideways as well as the up- and downward directions.

Our analysis of the recorded acceleration levels data in the driving direction proves it is possible to use this data to distinguish trains, by correlation of the filtered acceleration data. A low-pass filter of 2Hz extracts the acceleration level caused by the locomotive gaining speed from the data recorded in the driving direction.

## 7.2 Algorithm

The calculation of the correlation using the Pearson product-moment correlation coefficient requires a significant amount of computational power when run on wireless sensor nodes. In this thesis, we show a distributed algorithm for the calculation of the correlation coefficient. Using our algorithm, we reduce the amount of computational power that is needed for calculating the correlation coefficient, which we use in our distributed train composition algorithm. We enhanced our algorithm by offering the possibility to calculate the correlation coefficient over a growing window. This enables distinguishing nodes in an early stage after initial train movement. Nodes using our train composition algorithm are able to participate simultaneously three times actively and three times passively (master/slave) in the calculation of the correlate. Our window starts at one second while we start distinguishing trains after two seconds. When the window is smaller, the number of active and passive roles a node fulfills is higher than at our largest window of five seconds. In case of two trains, which show relatively large differences in acceleration characteristics, we are able to distinguish each train after two seconds, which is more than sufficient for the train safety requirements.

Simulation results indicate each node participates more than three times actively and three times passively in the correlation calculations after our correlation window has reached 5 seconds, depending on the positioning of trains on a shunting yard and the characteristics of the acceleration levels of each train. Therefore, using our train composition algorithm with our distributed correlation algorithm is not always suitable. A solution to this problem is found in an optimized version of the calculation of the correlation coefficient.

The optimized calculation of the correlation coefficient [7] stores intermediate values, which reduces the computational power, required for the correlation over a window of 5 seconds to less than 12% of the direct method. The optimized calculation lacks the ability of calculating the correlation coefficient over a growing window, thus the standard delay of distinguishing trains is five seconds, based on our measured optimal correlation window size of five seconds. Although the optimized calculation has the tendency to drift due to the accumulation of rounding errors, our train composition algorithm does not suffer from this drifting since the distinguishing of the trains should occur within a small time window after initial train movement.

### 7.3 Usability

When looking at the usability of our train composition algorithm with regard to the time window in which the algorithm is able to distinguish trains, we have shown that we are able to discover the train compositions within ten seconds. Our algorithm is even able to distinguish trains after two seconds when the trains have different acceleration characteristics, for example one light, fast train and one heavy, slow train. When two trains have almost identical acceleration characteristics, the time needed for distinguishing these trains increases, while remaining below ten seconds.

The implementation of our algorithm that is able to distinguish trains fast is not able to perform all necessary calculations at a certain point in the situation of two trains with near identical acceleration characteristics. Our alternative implementation, with a standard delay of five seconds before trains are distinguishable, consumes only 12% of computational power of our fast implementation when the correlation window is five seconds.

Our fast implementation consumes a relatively low amount of computational power when the correlation window is one or two seconds. The fast implementation of our algorithm is able to detect nodes not on the same train in these first to seconds. By combining both algorithms in the first two seconds of the train composition algorithm, we reduce the number of potential nodes behind the same train during the third, fourth and fifth second after the start of our

algorithm. By reducing the number of potential nodes behind the same train, we improve energy efficiency due to the lower amount of computations and the lower amount of network traffic required.

Our implementations show it is possible to use wireless sensor nodes for a train composition algorithm. Rounding errors due to optimized algorithms or the use of fixed-point calculations do not hamper the functionality of our algorithm.

#### 7.4 Overall conclusion

In this thesis, we have shown it is possible to detect the train composition by using wireless sensor nodes equipped with accelerometers, thus using motion as the common context. Our algorithm is based on two approaches. On the one hand, we correlate the wireless sensor nodes using a growing correlation window. This approach enables a fast response time. The downside of this approach is the number of computations required when the window gains size.

The other approach uses a fixed window size. This approach uses an optimized correlation calculation algorithm, which results in a computational efficient solution. Having a fixed window size introduces an initial delay equal to the size of the window.

We have shown that implementing our fastest approach is feasible with regard to the number of computations required for a situation with two departing trains, but it is not suited for situations with three or more trains departing at the same time. Our slower but computational more efficient approach is able to support three departing trains.

### 7.5 Recommendations and future work

The Achilles' heel of our implementation is formed by the computation of the correlation coefficient. On the one hand, our fast implementation is able to give results after a relatively small time window of two seconds, but in the case of two trains with near identical acceleration characteristics, the fast implementation is not able to perform all necessary computations. On the other hand, the optimized algorithm requires a low number of computations, but has a standard delay of five seconds. Our research is based upon the acceleration data of one type of train, recorded during rides between Almelo station and Hengelo station. Therefore, the recorded acceleration data shows great similarities. The question is, whether trains with this great similarity in acceleration data, depart within a small time window from the same shunting yard in practice. We have shown that a delay of less than one second in the departures between trains with near identical acceleration characteristics already lowers the time for correct distinguishing these trains. More experiments are needed to show trains either do not depart within a window of two seconds, or the acceleration levels show different characteristics, or our worst case scenario does occur in practice.

Besides more acceleration data of the trains on a shunting yard, more information about wireless communication between nodes on a shunting yard will aid in determining what the actual maximum range is for wireless sensor nodes on a shunting yard. The maximum communication range influences the number of calculations a node performs, since the greater the range, the more nodes form pairs.

In summary, more information about the context is needed:

- Train departure statistics
- Radio communication between wireless sensor nodes on trains on a shunting yard

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