

# Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.

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March, 2016

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# Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.

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## ABSTRACT

Acquiring accurate weed information remains a challenge in agricultural crops due to the weed's high spectral variability and the number of weed species within a crop field. The weed fraction is detected based on variations in the spectral response of the plant canopy and depends on the species and the weed density present. In this thesis, the potential of multispectral satellite remote sensing and Multiple Endmember Spectral Mixture Analysis (MESMA) is evaluated for the detection of weed infestation in maize (*Zea mays* L.), Cotton (*Gossypium hirsutum*) and millet (*Pennisetum glaucoma* L.). Field boundaries, plots and quadrants were laid out in three small-holder crop fields for the experiment by the STARS project in Souhumba, Mali and each field had an area of less than two hectare's. Multi-spectral images consisting of 8 bands obtained by the WorldView-2 satellite platform were used. Spectral libraries were extracted using the Sequential Maximum Angle Convex Cone (SMACC) algorithm, followed by the Spectral Matching Algorithm (SMA) for the identification of unknown spectra. Spectral information of crops and weeds, as well as the soil contribution, need to be analysed. Utilization of this information across multiple dates of imagery requires approaches for building spectral libraries and for classification. MESMA, which is an improvement of Simple Linear Spectral Mixture Analysis (SLSMA) was employed in this study to accommodate spectral and temporal variability associated with weeds and crops commonly observed in agricultural fields. However, endmember selection is a critical step in the application of MESMA. For comparison, simple Linear Spectral Mixture Analysis (SLSMA) was also used. SLSMA does not account for spectral variations present within the same material, since it permits only one endmember per crop/weed class. MESMA addresses these concerns by allowing endmembers to vary on a per-pixel basis. Two MESMA endmember selection techniques were applied: Endmember Average Root Mean Square Error (EAR) and Minimum Average Spectral Angle (MASA). A four endmember-model (crop, weed, soil and shadow) was used to un-mix each image independently. The results were compared to the number of endmembers selected, the percentage of image pixels modelled, the weed fraction estimate and correlations with the ground reference data to determine the most accurate method for weed-crop discrimination. The MASA endmember selection technique outperformed the EAR by having a higher percentage of image pixels modelled and also higher correlations with the ground reference data across all the dates and in all crop fields. This research has shown that weed densities vary from field to field as well as within-field. Statistical analysis of the modelled weed fraction was carried out to determine the accuracy of the fraction estimates. The MESMA results were more accurate when compared to SLSMA. Finally, the modelled weed fractions were validated using root mean square error (RMSE), standard error (SE) and the coefficient of determination ( $R^2$ ). The relationship between the estimated and observed weed densities was satisfactory, the highest coefficient of determination for the cotton field was  $R^2=0.722$ ,  $RMSE=0.041$  and an  $SE= 0.003$  on September 29<sup>th</sup> while the lowest was on October 18<sup>th</sup> with an  $R^2=0.591$ ,  $RMSE=0.043$  and  $SE= 0.001$  for the MASA results. The highest coefficient of determination for the EAR weed fraction was  $R^2=0.717$ ,  $RMSE=0.051$  and an  $SE= 0.006$  on September 29<sup>th</sup> and the lowest EAR fraction was observed on October 18<sup>th</sup> an  $R^2=0.566$ ,  $RMSE=0.049$  and  $SE= 0.006$ . For the SLSMA weed fraction results, the highest coefficient of determination for the cotton field was  $R^2=0.584$ ,  $RMSE=0.058$  and an  $SE= 0.028$  on September 29<sup>th</sup> while the lowest was recorded on October 18<sup>th</sup> with an  $R^2=0.501$ ,  $RMSE=0.059$  and  $SE= 0.024$  were recorded. Multi-temporal imagery has the potential for discriminating early and late-season weed infestations across a range of crop growth stages. Furthermore, this research demonstrated the ability of MESMA to accurately model the total vegetation cover fraction in pixels. Evaluation of vegetation indices for the detection of vegetation (weeds and crops together) showed that the World-View Improved Vegetative Index (WV-VI), which uses NIR2, showed the highest correlation with the Fcover across all the fields.

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## LIST OF ABBREVIATIONS

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6S - Simulation of a Satellite Signal in the Solar Spectrum  
AGB - Above-Ground Biomass  
ARVI - Atmospheric Resistant Vegetation Index  
BD - Bhattacharyya Distance  
BRDF - Bidirectional Reflectance Distribution Function  
CoB - Count-Based Endmember Selection  
CRES - Constrained Reference Endmember Selection  
DEM - Digital Elevation Model  
EAR – Endmember Average RMSE  
EM - Endmember  
ENVI - Environment for Visualizing Images  
GFRAS - Global Forum for Rural Advisory Service  
GNSS - Global Navigation Satellite System  
GPS - Global Position System  
ICRISAT - International Crops Research Institute for the Semi-Arid Tropics  
IDL - Interactive Data Language  
JM - Jeffrey's Matusita  
LAI - Leave Area Index  
LSMA -Linear Spectral Mixture Analysis  
MASA - Minimum Average Angle  
MESMA - Multiple Endmember Spectral Mixture Analysis.  
MODIS - Resolution Imaging Spectro-Radiometer  
MSAVI - Modified Soil adjusted vegetation index  
MTVI2 - Modified Triangular Vegetation Index  
NIR - Near infrared region of the spectrum.  
NDVI - Normalized Difference Vegetation Index  
PPI - Pixel purity index  
RMSE –Root Mean Square Error.  
R<sup>2</sup>- R squared  
RDVI - Renormalized Difference Vegetation Index  
ROI - Region of Interest  
SAM -Spectral angle mapper.  
SARVI- Soil and Atmospherically Resistant Vegetation Index  
SAVI -Soil adjusted vegetation index  
SMA - Spectral mixture analysis  
SMACC - Sequential Maximum Angle Convex Cone  
STARS - Spurring a Transformation for Agriculture through Remote Sensing  
SE – Standard Error  
SSWM – Site-Specific Weed Management  
TNDVI - Transformed Normalized Difference Vegetation Index  
TD -Transformed Divergence  
WV- WorldView  
WV-VI -World-View Improved Vegetative Index

# 1.0 INTRODUCTION

## 1.1. Background of the study

The agricultural sector is increasingly becoming a knowledge-based industry in response to economic and environmental factors (Herwitz et al. 2004). To help meet the need for observational data, aircraft and satellite remote sensing technology are playing an important role in farm monitoring and management as in LeBoeuf (2000) & Lu et al., (1997). In their research Iizumi and Ramankutty (2015), the agricultural yields primarily depend on weather conditions and farm management practices. One of the major key constraints that hinders increased crop production is a lack of weed control. The key operation that needs improvement is the timely removal of weeds. Weed monitoring is important for better management of agricultural food production which is a key sector in developing countries and most especially in Sub-Saharan African countries like Mali; whose agricultural production is adversely affected by poor farm management practices (Diarra and Kangah 2007). Categorized as one of the least developed countries, Mali's population heavily relies on small-holder subsistence farming. In Diarra and Kangah (2007), less than 4 % of Mali land is used for agriculture. Therefore proper farm management practices such as weed management are crucial for maximum production from agricultural fields (Passioura 2006).

Detection of weeds has been used for site-specific weed management (SSWM) (Heege 2013). Quantifying and mapping weeds in crop fields provide crucial information to farmers and various agricultural decision support (Herwitz et al. 2004) and monitoring institutions at different stages of the crops growth. This is possible through either rigorous field surveys or use of advanced remote sensing imagery and techniques. Ground-based field surveys are expensive and time-consuming (Singh et al., 2011) but have continued to be used in Africa causing delays in data collection and eventually delayed advice to the farmers and other agricultural stakeholders. Ramesh et al. (2010) described this delay as greatly affecting continuous assessments in the field of agriculture.

## 1.2. Motivation

Weed detection has been widely done for site-specific weed management and is mainly done for large mechanized farms (Brown & Noble, 2005; Jurado-Expósito et al., 2003; López-Granados, 2011; Shaw, 2005; Torres-Sánchez et al., 2013). The relevance of this research is intended for weed mapping using WV-2 multispectral images in small-holder farming systems with farm size being less than two hectares (STARS-Project 2015). Despite the small size of the fields where farmers can easily detect weeds manually, however not all the fields are weeded on time leading to yield loss. Some very noxious weeds like *Striga* species occurring in maize and millet fields are prone to the research fields and have been reported to cause massive damage to crops (Bengaly et al., 1998; Cabi, 2015; Clark et al., 1994). According to Goel et al. (2003) & Peña et al. (2013), there is a need for information to farmers and agricultural decision makers regarding the effects of early/late weeds infestations on the crop yield. Weeds and crops compete for moisture, light, nutrients and can cause high toxicity effect leading to interference in crop growth (Ansong and Pickering 2015).

Weed mapping can effectively be done using remote sensing (Lopez-Granados et at., 2006; Smith & Blackshaw, 2003; Toothill, 2009), however, the results of weed mapping are greatly affected by the spatial-temporal resolution of the input image. This has led to high-resolution information needs that are driving

further the technological innovations according to Schaepman et al. (2009) in small-holder farming and other agricultural applications according to Herwitz et al. (2004).

Detection and quantification of weeds in crop fields using satellite imagery have not been easy in Mali, mainly due to lack of technical know-how on how to use the technology and its high cost (Byrd et al., 2014; Lass & Callihan, 1997; Rembold et al., 2013). Weed mapping is made possible in this research through the support of the STARS (“*Spurring a Transformation for Agriculture through Remote Sensing*”) project which is actively involved in facilitating the use of very high-resolution remote sensing data and field data collection.

This provision as well as processing and analysis of data is to improve livelihoods of small-holder farmers with a less than two hectare crop field land who also face adverse weather conditions (STARS-Project 2015) and poor farm management techniques leading to low crop production. This research analyses the weed occurrence proportions in millet, cotton and maize fields and the individual or combined spectral signatures on very high resolution remotely sensed images at detailed scale using spectral mixture analysis. This facilitates understanding of the relation between weeds and crops and quantifying them as accurately as possible.

This research analyses the weed occurrence proportions in millet, cotton and maize fields and the individual or combined spectral signatures on very high resolution remotely sensed images at detailed scale using spectral mixture analysis, Multiple endmember spectral mixture analysis (MESMA) which uses more than one endmembers per class to correct for within-class variability is used in this research for weed detection and for comparison purpose, simple linear spectral mixture analysis (SLSMA) is also applied. For the accurate application of Simple linear spectral mixture analysis, endmember selection is very important. The key to MESMA is to detect which spectra in a group of spectra are the best representative of a class they represent while covering the range of variability within the class. Endmember Average Root mean square error (EAR) (Dennison & Roberts, 2003a) which selects endmembers with the lowest RMSE and the Minimum Average Spectral Angle (Dennison et al., (2004) which selects endmembers with the lowest spectral angle between two spectra are used for the endmember selection.

### **1.3. Problem statement**

Crops and weeds mapping involves a careful understanding of their local and site-specific heterogeneity (Rossi, Giosuè, & Caffi, 2010; Torres-Sánchez et al., 2013), type of weed and association with the crop. Due to wide and complex weeds species variability (Lee et al., 2010; Piron et al., 2010), weeds identification is a challenge. Very limited techniques are available to detect weeds against crop plants in the field. The use of the spectral characteristics to discriminate between the crops and weeds (Alchanatis et al., 2005; Martín et al., 2011; Pérez, 2000; Vioix et al., 2002) relies mostly on the weed infestation levels in the scene. Images collected at low resolutions are not sufficient to detect small patches of weed (Shaw 2005). World-View imagery shows a great potential for overcoming these limitations of other satellite remote sensing data due to its superior spatial resolution.

Misclassification of crops and weeds, may arise when they have similar spectral characteristics (Peña-Barragán et al., 2011) or in un-weeded fields or even when there is a variability in spectral reflectance (Dennison et al., 2007; Dudley et al., 2015; Okin, et al., 2001; Zhang et al., 2006) of the same crop. Crops and weeds are distinguished based on their spectral characteristics, spectral similarities between weeds and crops lead to low-class separability (Foerster., 2012; Somers, 2011). Quantifying and mapping the weeds and estimating their effects on the crop yield in these areas is more complicated due to spectral variability within a field.

Weeds and crops grow in mixture resulting into mixed pixels (Qiu et al., 2014). There exists a limited spectral separability of crops and weeds during the early stages (Piron, van der Heijden, and Destain 2010) of crop development with some weeds showing spectral similarity to the crop. Weeds and crops need to be discriminated at the sub-pixel level (Ozdarici-Ok., 2015; Qiu et al., 2014). Compared to object-based classification, Spectral un-mixing at the subpixel level identifies the proportion of each class in a pixel. Subpixel classification is the most appropriate technique for crop/weed detection that leads to high classification accuracies of spectral mixtures.



Photo 1: Photograph showing weed infestation in Millet Field. (STARS&ICRISAT 2014)

Weeds removal and crop canopy closure (blocking weeds from direct sunlight) reduces the amount of weeds to fluctuate. Equally, a millet crop with weeds may look denser in remote sensing images than it really is, this is especially when vegetation indices related to green biomass are used and therefore vegetation Indices alone are not enough. Intra-class and inter-class spectral variability exist in the study field, to effectively map this variability, a multiple endmember spectral mixture analysis (MESMA) is used for the weed fraction estimation and classification. For comparison purposes, simple linear spectral un-mixing is also used to evaluate the most accurate sub-pixel method for weed mapping. For accuracy assessment, the ground cover fraction of the soil surface covered by green plant material ( $F_{cover}$ ), Vegetation indices and the observed weed fractions are used.

#### **1.4. Research identification**

The main objective of this research is to improve weed detection using the temporal (vegetation indices) and spectral characteristics of weeds using vegetation indices(VI) and Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.

Based on the above-mentioned main objective, the following are the specific objectives and corresponding research questions.

#### **1.4.1. Specific research objectives**

1. To perform a comparative regression analysis to determine the relationship between the Fcover and vegetation indices,
2. To determine which Vegetation Index is best suited for vegetation (crop+weed) mapping,
3. To detect weeds through spectral mixture analysis.
  - i) To identify the class separability for the recognition of weed and the crop,
  - ii) To determine the pattern and spatial distribution of the weeds in a crop field,
  - iii) To compute weed infestation percentage,
4. To perform a statistical analysis of the weed fraction modelled from WV-2 images and the field data.
  - i) To determine the relationship between the modelled weed fraction and observed fraction
  - ii) To determine the relationship between the modelled weed fraction and VIs
  - iii) To compare EAR and MASA endmember selection techniques
  - iv) To evaluate the classification accuracy between MESMA and simple linear spectral mixture analysis
  - v) To determine the relationship between the modelled vegetation with the Fcover

#### **1.5. Research questions**

1. What is the relationship between the Fcover and vegetation indices?
2. Which VIs are the most suitable for vegetation (crop/weed) mapping?
3. What is the pattern and spatial distribution of weeds?
4. What is the maximum class separability for the recognition of weed?
5. What is the weed infestation percentage in the crop field?
6. What relationship between the SMA-modelled weed fraction and reference weed fraction?
7. What is the relationship between the modelled weed fraction and VIs?
8. What is the comparison between EAR and MASA endmember selection techniques?
9. What is the classification accuracy between MESMA and simple linear spectral?
10. What is the relationship between the modelled vegetation with the Fcover?

#### **1.6. Innovation**

Weeds and crops occur in a mixture. Previous studies done on weed detection have basically relied on the object based classification taking the advantage of the weeds between the rows and disregarding the intra-row weeds which have the possibility of underestimating the amount of weeds in a crop a field. Through the use of spectral mixture analysis, both inter-row and intra-row weeds can be determined, and this improves the accuracy of detection. The use of spectral mixture analysis to identify the proportion of weeds in a crop field and more specifically the use of multiple endmembers spectral mixture analysis which accounts for the spectral variability within improves the detection accuracy in millet field. The method is also extended to cotton and maize fields.

#### **1.7. Thesis structure**

This thesis is organized into six chapters. Chapter one describes the motivation, problem statement and research identification describing the main as well as the specific objectives accompanied by the research questions addressed in this research. Innovation aimed at is also explained in this chapter. Chapter 2 is the review of the previous studies regarding this research where the past and recent literature are reviewed to identify the gaps to be filled by this research. Chapter 3 describes the study area, data and materials used. The justification of the selected method used in this research and the framework of the methodology are described in chapter 4. An implementation of the vegetation indices

and the spectral unmixing technique on Worldview-Multispectral images is done in Chapter 5 in which results and discussion are explained based on the specific objectives. The conclusion of this research based on the research question as well as the recommendations for further research is presented in chapter 6.

**Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.**

## 2.0 LITERATURE REVIEW

In this chapter, previous work and literature are reviewed. This chapter is subdivided into the following subheadings; agriculture in sub-Saharan Africa, use of remote sensing, weed detection techniques, vegetation indices and accuracy assessment. This chapter is used to justify the methodology developing process. It provides an overview of the most important information and methods regarding this research.

### 2.1. Agriculture in Sub-Saharan Africa

Weeds-Crop identification and quantification of agricultural crops has not been easy in sub-Saharan Africa. In Biradar and Xiao (2011), agricultural census statistics shows much information on the distribution of crop types and total cropland area but spatial characteristics per field and weed distribution in this regard is limited. In Mali, the locally available information on weeds distribution, crop type, acreage and cropping season is unreliable. This unreliability is due to inadequate field data collection methods, lack technical know-how in the application of the methods, the cost and time involved in data collection are high, leading to only in a few locations are sampled which mostly are not representative. In order to maximize crop production in small-holder farms in Sub-Saharan Africa, the farmers use different cropping patterns (both row and broadcasting) based on agro-climatic, socio-economic, political and historical factors as described in Bharathkumar and Mohammed-Aslam (2015). Most crop fields are not weeded on time, resulting in a competition for nutrients and water between the weeds and the crops, further reducing the quantity and quality of the yield. Information on weed management and the expected returns from these fields is crucial to the farmers as well as agricultural decision makers to facilitate informed decision making.

### 2.2. Weed detection

#### 2.2.1. Site-specific weed management

Weed species occur in non-uniform patches and as single stands across agricultural fields with the amount of patchiness differing among weed species and crop fields. According to Lark et al. (1997), Selective application of herbicides on the crop fields in large-scale farming requires an automated weed detection. SSWM technology is mostly applicable in developed countries such as United States, Europe, China and Australia where farming is mostly mechanized. López-Granados (2011) indicates a need for future investigations to increase the acceptance and adoption of SSWM, however, the relevance of weed detection in smallholder subsistence farms in Mali is not for SSWM. The farms parcels are less than 2 hectares (STARS-Project 2015) and weeds could be easily detected and managed manually. The effect of weeds on the crop production is of more importance to these small-holder farmers.

### **2.2.2. Use of remote sensing data**

Weed detection is probably the most successful application of airborne remote sensing in agriculture as shown in the following research (Bryson et al., 2010; Gómez-Candón et al., 2013; Herwitz et al., 2004; Hung, Xu, & Sukkarieh, 2014; Peña et al., 2013; Peña et al., 2015; Torres-Sánchez et al., 2015; Torres-Sánchez et al., 2014; Torres-Sánchez et al., 2013). Satellite remote sensing has also been successfully used in identifying and mapping several weed species (Backes & Jacobi, 2006; Dlamini, 2006; Karimi et al., 2006; López-Granados et al., 2010; Martín et al., 2011; Pérez et al., 2000; Shapira et al., 2013; Shaw, 2005; Taylor et al., 2010; Thorp & Tian, 2004). In order to accurately detect small patches of weeds in crop fields, there is a great need to have high-resolution data sets in spatial-temporal and spectral resolutions. However, all these virtues cannot be included into one satellite sensor for technical reasons thus selection of appropriate sensor is important.

Sub-Saharan Africa is characterized by extreme climatic and weather conditions (Lee et al., 2010; Traore et al., 2013), complex cropping systems (Zarco-Tejada et al., 2013) as well as varying spatial patterns in the fields and diverse farming environments. Conventional methods of data collection are cumbersome, expensive and time-consuming according to the STARS-Project (2015). The spatial complexity of the smallholder farms coupled with mixed cropping systems and confounded with a high cost of obtaining high spatial and high temporal resolution imagery according to Bendig et al. (2015) have prevented the use of remote sensing technology in this region. There is therefore, a need for continual improvements in remote sensing data acquisition technology to effectively and accurately map and quantify weed as well as discrimination between weed species in the farms at reasonable costs.

### **2.2.3. WorldView-2 imagery**

Recent remote sensing technologies such as Unmanned Aerial Vehicle (UAV) have proven to be inexpensive, high in spatial resolution, and can be tasked to a high temporal resolution to obtain crop-specific and/or weeds data (Herwitz et al., 2004; Hunt, et al., 2010; Peña et al., 2013; Peña et al., 2015; Torres-Sánchez et al., 2015). Very High Resolution (VHR) satellite Imagery has been successively used in mapping vegetation. For this study, weed/crop discrimination is done using Worldview-2 imagery with a spatial resolution of 2 meters. Its high spatial resolution (Byrd et al., 2014; de la Fuente et al., 2013) can provide crop-specific and/or weeds data. There are several vegetation mapping applications of the WV-2 imagery ranging from urban tree mapping to precision agriculture (Aguilar, et., al 2014; De la Fuente, et al., 2013; Nouri, et al., 2014; Nunez-Casillas et al, 2012; Pu & Landry, 2012). The effects of the position and the number of bands in WV-2 imagery has been studied previously leading to the conclusion that WV-2 additional bands (coastal blue, yellow, red-edge and NIR2) can improve the vegetation mapping accuracy (Ngubane et al., 2014). A number of studies (Cho et al., 2012) noted the potential of WV-2 additional bands in vegetation mapping. Using pixel-based approach, (Cho et al., 2012) identified that the WV-2 yellow band is the most influential in vegetation mapping. WV-2 additional bands (yellow, red-edge and NIR2) have been identified as most suitable for identifying vegetation species (Ngubane et al. 2014).

## **2.3. The effects of weed on the yield**

The presence of weeds in crop fields leads to an increased green biomass making the field look denser in a remote sensing image than it is really is. Weed control at an early growth stage of the crop is necessary for the health of the plant. However, some weeds are difficult to map based on their reflectance due to spectral similarity with the crop at an early stage of crop development, which is also characterized by a considerable interference by the soil background reflectance as was studied by Thorp & Tian (2004).

Various methods have been implemented in weed detection including; the use of spectral reflectance of plants with neural networks (Cho, Lee, & Jeong, 2002); use of geostatistical method (Alchanatis et al. 2005); use of object-based image analysis (Benz et al., 2004; Blaschke, 2010; Ling et al., 2012; Löw et al., 2015; Peña et al., 2013; Peña-Barragán et al., 2011; Torres-Sánchez et al., 2015); wavelet method (Bossu et al., 2009; Qiu et al., 2014), machine vision techniques (Meyer & Neto, 2008; Silva Junior, et al., 2012) and Spectral mixture analysis (Jain et al., 2013; Ling et al., 2012; Small, 2012; Somers et al., 2011). As explained earlier in this research, weeds and crops occur in mixtures and therefore for more accurate classification of each component in a pixel, a sub-pixel classification is preferred.

## **2.4. Subpixel Classification**

No matter the spatial resolution of an image, the spectral signatures collected in natural environments are a mixture of different materials found within a single pixel. The pixel size of an image constrains the resolution of the image as well as the detection capabilities of the objects in the image. Most land covers occur in mixtures. A single pixel may consist more than one land cover type depending on its resolution. For classification purpose, most researchers try to solve the problem of mixed signals using subpixel image classification which derive the proportion of each cover type in a single pixel (Brown & Noble, 2005). Prior knowledge of intra-species variation and inter-species variation is relevant to the accuracy of the final crop and weeds identification (Zhang et al., 2006). Various methods subpixel classification method have been developed. Commonly used are spectral mixture analysis and fuzzy classification. In (Foody & Cox 1994), fuzzy classification requires a priori selection of the Fuzziness Exponent parameter which has remained to be problematic. Moreover, the method is time-consuming and cumbersome and therefore not considered for this research.

### **2.4.1. Spectral mixture analysis (SMA)**

SMA classification method has been used to estimate land-cover fraction using remote sensing imagery characterized by varied spatial, spectral and radiometric resolutions and temporal resolutions (Hussain et al., 2013). A mixture model is a process of deriving mixed signals from pure endmembers while the spectral un-mixing extracts the proportions of the pure endmembers from a mixed pixel. Several models have been derived for determining the number of endmembers which include the most commonly used linear and the nonlinear models (Dennison, Halligan, & Roberts, 2004; Jiang et al., 2006; Plaza & Plaza, 2011; Small & Milesi, 2013). A linear mixture model has an assumption that the pixel variability in an image is caused by the varying fractions of spectral endmembers. The linear spectral mixture model is based on the assumptions that; the fractional abundance and a spectrum of each endmember are contained in a pixel (Plaza et al. 2011; Somers et al. 2012). The other assumption is that most of the pixels in an image scene contain endmembers that can be measured (Dennison & Roberts, 2003b). A linear mixing model is shown in Eq. 1 and Figure 2.1.

$$R_k = \sum_i^n a_i \cdot E_{i,k} + \varepsilon_k \quad RMSE = \sqrt{\left( \sum_k^m \varepsilon_k^2 \right)^{-m}} \quad \text{Eq. 1}$$

- $R_k$  Reflectance of source at wavelength  $k$
- $E_{k,i}$  Reflectance of endmember  $i$  at wavelength  $k$
- $a_i$  Abundance of endmember  $i$
- $\varepsilon_k$  Error at wavelength  $k$
- $RMSE$  Root mean square error of the  $\varepsilon_k$
- $n$  Number of endmembers
- $m$  Number of wavelengths in the discrete spectrum

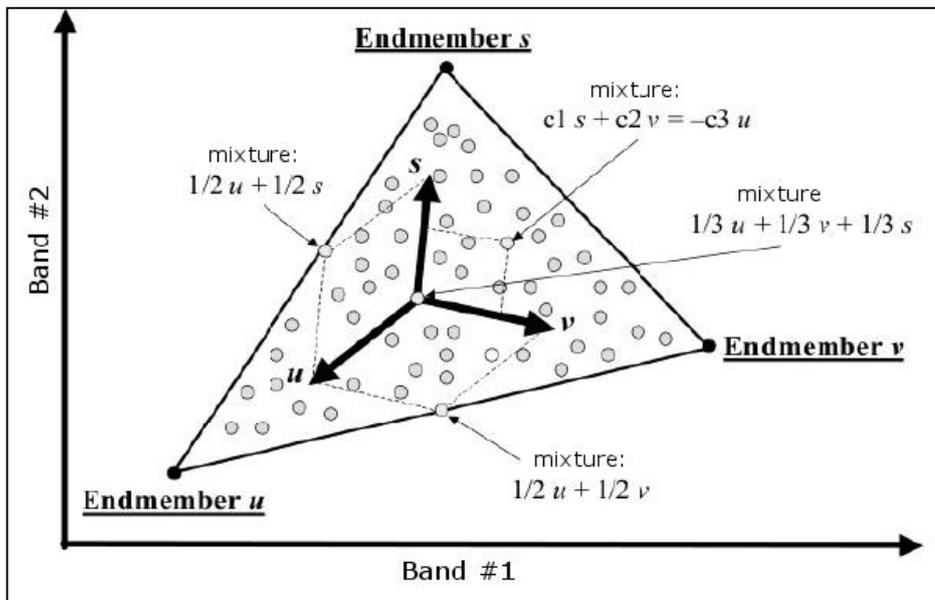


Figure 2.1: A Graphical representation of a linear mixture model. (Martín 2013)

The Nonlinear spectral un-mixing model assumes that each pixel vector in an original image can be modelled by the use of Eq. 2.

$$x = f(E, \Phi) + n \quad \text{Eq. 2}$$

Where  $f$  is the unknown non-linear function that defines the interaction between  $E$  and  $\Phi$ .

According to Keshava (2003), non-linear mixtures are intimate mixtures of materials where the radiation interacting with various substances before being directed back to the sensor leads to non-linear spectral mixing radiation of multiple substances. Other models include the geometric and stochastic-geometric models which take into the account the geometry and the distribution of the object and the direction of solar radiation in order to evaluate the relative proportions of the object, shadow and background in pixels. The stochastic geometric model is a special case of geometric models that absorbs random radiation in its spatial structure. Probabilistic models are another type of models

that are based on one of several probability techniques such as maximum likelihood as seen in Ichoku and Karnieli (1996). Linear spectral mixture analysis has successfully been implemented in (Foody & Cox, 1994; Liu et al., 2008; Plaza & Plaza, 2011; Tucker, 1979; Yang, Everitt, & Bradford, 2005).

## **2.5. Spectral mixture analysis methods**

In many cases, a fixed number of endmembers is applied to an image in the implementation of spectral mixture analysis, however, using a fixed number of endmembers does not account for within-class spectral variability or/and spatial variability. Several methods have been devised to address the endmember variability and similarity. Bateson et al. (2000) incorporated endmember variability into spectral mixture analysis representing each endmember as a bundle of spectra. Roberts et al. (1998) introduced multiple endmember spectral mixture analysis (MESMA) to allow the number and type of endmembers to vary per-pixel. More studies that have involved endmember variability include; (Changshan et al., 2014; Dudley et al., 2015; Li & Wu, 2015; Small & Milesi, 2013). According to Somers et al. (2011), MESMA has remained the most widely used spectral mixture analysis technique for dealing with endmember variability.

## **2.6. Simple linear spectral mixture analysis**

### **2.6.1. Endmember extraction**

Potential representative endmember spectra can be collected from reference materials in a laboratory (Roberts et al., 1993), they can be extracted from imagery (Bateson et al., 2000), or measured in the field (Roberts & Herold, 2004). Endmembers that consist of distinct spectral characteristics are usually easy to select. However, endmembers that have spectral similarities are more complex to discriminate Rogge et al. (2007). Most commonly used endmember extraction techniques are based on the geometric analysis of an image. These techniques include N-FINDR algorithm that determines simplexes from the largest volumes within a dataset that contain the highest number of the pixels. N-FINDR does not require a priori information about the endmembers but it is computationally time-consuming. The use of random initial conditions also leads to inconsistencies of the results that are not reproducible.

Another algorithm is Pixel purity index (PPI)(Valdiviezo-N and Urcid (2012) which is based on the geometry of the convex sets as in which generates many random n-dimensional vectors. This is a widely used method but with a limitation that it is time-consuming and a cumbersome process that involves a visual inspection and a lot of human intervention, especially in spectrally similar vegetation scenes. Other methods like Support Vector Machine can be used to automatically extract endmembers. This method is fast and more accurate compared to other methods.

Sequential maximum angle convex cone (SMACC) was developed by Gruninger et al. (2004) as an algorithm for endmember extraction that uses a convex cone model to represent the vector data. The number of endmembers to be extracted by SMACC are required to be known beforehand. For reflectance data an RMSE tolerance of 1 % (Gruninger et al., 2004). An automatic shadow endmember is added when these endmembers are used. Constraints such as the Sum to Unity constraint where the sum of the fractions calculated for each pixel is equal to 100 %. When using the reflectance data either a positivity only (fractions can only be positive) or sum-to-unity or less constraint is applied according to Gruninger et al., (2004). Another parameter is Coalesce Redundant Endmembers which aggregates the SAM Coalesce value (a parameter that aggregates redundant values into single spectra) within the specified spectral angle mapper threshold into one endmember. Gruninger et al. (2004) specified that when distinguishing spectrally similar materials, this parameter should not be used.

SMACC finds the endmembers sequentially. A convex cone is formed by extreme vectors and model the data that is within the cone. The dataset that lies outside the convex cone forms the residuals in the constrained case. Oblique projections are used in the constrained case (Gruninger, Ratkowski, and Hoke 2004). The first endmember is defined by the convex cone determined by extreme points followed by a constrained oblique projection to derive the second endmember while the convex cone is increased to include the new derived endmember. This process goes on till the specified number of endmembers are found (Gruninger, Ratkowski, and Hoke 2004). SMACC has proved to be an effective technique most especially in extracting the endmembers of vegetation classes as demonstrated in Aggarwal and Garg (2015). Endmembers extraction techniques have been extensively compared in the literature (Martínez et al., 2006; Plaza & Martínez, 2004, Veganzones & Graña, 2008).

### **2.6.1.1. Spectral Matching**

When dealing with known spectral and unknown spectral data i.e. known field collected spectra and automatically collected unknown spectra. A quantitative comparison of surface reflectance of the known spectra data set with unknown reflectance spectra is done using spectral matching techniques as in Kruse et al., (1993). A measure of spectral similarity is done between the known and the unknown spectra. Another method is the sub-pixel classification technique in Settle & Drake (1993) that derive the pixel fraction estimates of a spectrally characterized materials as explained in Van der Meer (2006).

Spectral similarity measures were evaluated in Van der Meer (2006), these measures include the spectral correlation measure in Van der Meer & Bakker (1997). This measure takes into account the relative shape of the spectrum and the spectral match and therefore the resulting to statistic matches on the individual absorption features (Van der Meer, 2006). The second method is the spectral angle measure described in Kruse et al. (1993); other methods include is the Euclidean distance measure and the spectral information divergence described in Chang (2000). Stein et al., (1998) used geostatistical techniques to handle spatial variability in image data. Spectral matching facilitates the use of the unknown spectra in the spectral mixture analysis.

### **2.6.2. Multiple endmember spectral mixture analysis (MESMA)**

The simple linear mixture analysis model explained above models image spectra as the linear combination of endmembers (Roberts & Dennison, 2003), however, it does not account for the absence of one of the endmembers or spectral variation within pure materials as described in Roberts & Dennison (2003). When dealing with land cover characterized by varying spectral responses within the same class, an extension of the standard SMA approach called MESMA is used. MESMA account this by allowing endmembers to vary per pixel (Roberts, Gardner, & Church, 1998). This technique permits multiple endmembers to vary on a per pixel basis. A crucial component for successfully applying SMA is the selection of appropriate endmembers (Bateson & Curtiss, 1996; Dennison & Roberts, 2003; Li & Wu, 2015; Plaza & Martínez, 2004; Rolfson, 2010; Somers et al., 2011; Thompson, 2010; Tompkins, 1997; Wang et al., 2014). The careful selection of representative endmembers is essential for the applications of SMA (Andreou & Karathanassi, 2012; Dennison & Roberts, 2003; Plaza et al., 2001; Roberts et al., 1997; Rolfson, 2010). MESMA requires more than one library of image spectra. Including more than one spectrum of a ground endmembers account for the spectral variability (Okin et al. 2001). Roberts et al., (1998) used Root Mean Square Error (RMSE) to assess MESMA. Eq. 1 is used for the computation of RMSE. Source: Roberts et al., (1998)

RMSE is computed as:

$$\text{RMSE} = \sqrt{\frac{\sum_{\lambda=1}^M (\varepsilon_{\lambda})^2}{M}} \quad \text{Eq. 3}$$

Where M is the number of bands. RMSE is partially dependent on the reflectance of each band within the modelled spectrum, and therefore, as the albedo spectrum increases, RMSE will also increase. MESMA iteratively computes linear models using different endmembers. The model with the lowest root mean square error (RMSE) is selected for the unmixing process. The shade endmember accounts for variability in reflectance as described in Dennison & Roberts (2003a, 2003b). In Franke et al. (2009), when MESMA is applied using four or more endmembers the fraction cover can be effectively estimated. The MESMA procedure workflow is as follows;

#### **2.6.2.1. Development of a spectral library**

Spectral libraries could be derived from images as explained above or from reference polygons/regions of interest (ROI). Extraction of spectral endmembers from ROI involves the extraction of each pixel in each selected ROI. The output is saved as a unique spectrum in the output spectral library. The mean spectrum is not computed but instead, it derives the total set of all spectra in a given ROI. This is important as it allows for the variability of the endmembers in a given region of interest as explained in Roberts et al., (2007).

#### **2.6.2.2. Creations of a square array**

Square Array is a module that is used for the computation of the fit metrics that are needed for the calculation of multiple endmember spectral mixture analysis (MESMA) endmember selection techniques which include; Endmember average root mean square error (EAR) was developed in Roberts and Dennison (2003a) and it selects the endmembers with the lowest root mean square error within a class; the Minimum Average Spectral Angle (MASA) developed by (Dennison et al., 2004) selects the endmembers with the lowest spectral angle, Count-based Endmember Selection (CoB) (Roberts and Dennison 2003) which selects endmembers that model the greatest number of endmembers within their class. According to Roberts et al. (2007), square arrays are images of  $n$  by  $n$  where  $n$  is the number of spectra in a spectral library. The model number is stored in the columns and rows of the square array and this corresponds to the one column and row for each spectrum in the library (Roberts et al.,2007). The square array is stored as an image with RMSE, a spectral Angle, an EM Fraction, a shade Fraction and a constrained bands which indicating whether the model met the constraints used. The diagonal has a value of zero representing each spectrum modelling itself.

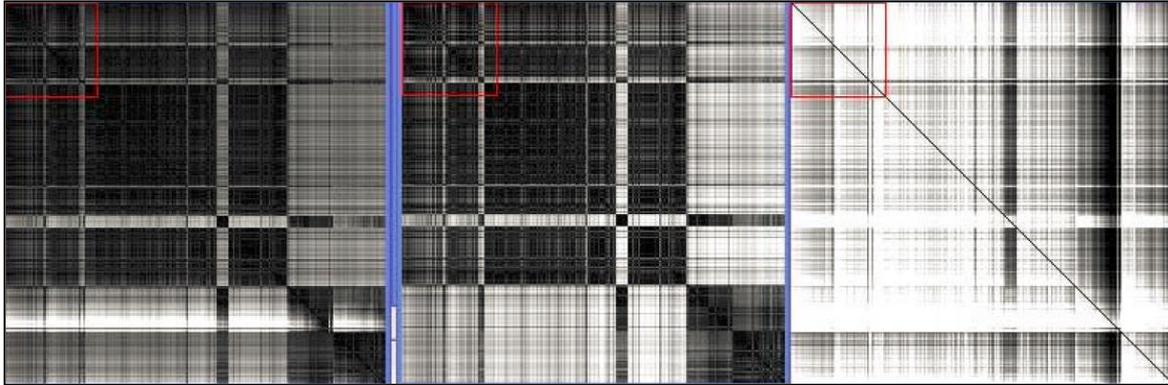


Figure 2.1: Partially constrained square array bands (from left to right): RMSE band, spectral angle band, EM fraction band. The Shade fraction and Constraint-codes are not shown. (Roberts et al., 2007)

Roberts et al.,(2007) described the constraints for creating Square Arrays are as follows: unconstrained case where the spectral mixture analysis is done for all the pixels in the image, Constrained case where a threshold is defined for the minimum (-5 %) and maximum (105 %) fractions and an RMSE of (2.5 %) as in Roberts et al. (1998) and partially constrained case; where threshold is defined as in the constrained case. When the constrained RMSE lower than the RMSE threshold the model is called a partially constrained model. According to Roberts et al. (2007), partially constrained model is useful for allowing very good fit models.

### 2.6.2.3. Endmember Variability and selection

Incorporating endmember variability into SMA is challenging since class spectra vary in space and time. Spectral variability (Dudley et al., 2015; Somers et al., 2011; Zhang et al., 2006) is present within an endmember class and between classes. It is therefore, crucial to select endmembers that can adequately represent intra as well as the inter-class variability in order to accurately map sub-pixel land cover fractions (Somers et al., 2011). Several techniques have been developed to deal with endmember variability in the un-mixing process and they include multiple endmember spectral mixture analysis (MESMA), Stable Zone Un-mixing method, Sparse Un-mixing, Bayesian Spectral -, among others as explained in Somers et al. (2011). Roberts et al. (1998) in their research, they described the importance of selecting a small and optimal subset of the available endmember spectra they further proved that, a reduced number of representative endmembers can eliminate redundancy as well as improve the efficiency in computation.

Multiple approaches for selecting best-fit endmembers from the available spectral library have been developed for MESMA. According to Tompkins et al. (1997), identification of the best fit reference and/or image endmembers is very important in Spectral mixture analysis(Tompkins et al. 1997). Several methods for endmember extraction have been developed, they include;

#### i) Count-based Endmember Selection (CoB): (Roberts and Dennison 2003)

Count based Endmember selection (CoB) is an endmember selection method that selects members that model the greatest number of spectra within their class. The models derived using this approach are assessed using the RMSE and residual constraints when un-mixing any other spectrum in the library. All the spectra modeled within a class termed as **in\_CoB** and those outside of the class termed as (**out\_CoB**) are recorded for each model. An optimum model with the highest **in\_CoB** value is selected for the un-mixing process.

ii) **Endmember Average RMSE (EAR: (Dennison and Roberts 2003a)**

Endmember Average Root Mean Square Error (EAR) is an endmember selection for the MESMA procedure. EAR uses each spectrum within a class to model all other spectra in the class.

EAR is calculated as:

$$EAR_i = \frac{\sum_{j=1}^N RMSE_{i,j}}{n-1} \quad \text{Eq. 4}$$

Where  $i$  is the endmember,  $j$  is a spectrum that has been modelled,  $N$  is the total number of endmembers, and  $n$  is the total number of modelled spectra. The “-1” value is used to correct for the zero error caused by an endmember modelling itself. EAR is influenced by albedo and therefore minimum (0 %) and maximum (100 %) shade-fraction constraints are used to decrease the possibility that very bright or very dark spectra are identified as high representative endmembers for their class. This is done by increasing the threshold of the RMSE of spectra that exceeded the shade fraction thresholds as explained in Dennison and Roberts (2003b), the authors further compare the EAR values for each spectrum in the class, the spectrum with the minimum EAR value is selected as an endmember for that class. The MASA technique is similar to that of EAR, however, it uses a spectral angle as an error metric instead of RMSE as described in the next section.

iii) **Minimum Average Spectral Angle (MASA: Dennison et al., 2004).**

Minimum Average Spectral Angle (MASA) uses spectral angle (Kruse et al. 1993) instead of RMSE. It solves spectral similarity by computing the spectral angle between two spectral vectors that have a common origin. The following equations show the computation of MASA.

Source: Dennison et al. (2004)

MASA is calculated as:

$$MASA_i = \frac{\sum_{j=1}^N \theta_{i,j}}{n-1}. \quad \text{Eq. 5}$$

Where  $i$  is the endmember,  $j$  is a spectrum that has been modelled,  $N$  is the total number of endmembers, and  $n$  is the total number of modelled spectra. The “-1” value is used to correct for the zero error caused by an endmember modelling itself.

$$\theta = \cos^{-1} \left( \frac{\sum_{\lambda=1}^M \rho_{\lambda} \rho'_{\lambda}}{L_{\rho} L_{\rho'}} \right) \quad \text{Eq. 6}$$

The spectral angle is calculated as shown in the above Eq. 6, Where  $\rho_{\lambda}$  is the reflectance of an endmember,  $\rho'_{\lambda}$  is the reflectance of a modelled spectrum,  $L_{\rho}$  is the length (square root of the sum of reflectance in each wavelength) of the endmember vector and  $L_{\rho'}$  is the length of the spectrum vector that has been modelled.

iv) **Constrained Reference Endmember Selection(CRES)** (Roberts et al. 1993, 1998)

In this technique, the user supplies prior knowledge on the expected spectral un-mixing fractions at a given spatial location in selecting the optimal endmembers. It identifies the endmembers that produce SMA fractions with the closest match to the estimated fractions.

**2.6.2.4. MESMA error metrics**

The figure below shows RMSE and spectral angle error metrics. A represents the endmember while B is the modelled linear mixture of the endmember A. The shaded region shows the root mean square error constraints of the end member; if RMSE is within the shaded area, a spectral match is found (Dennison et al., 2004). The spectral angle for  $f_1A$  and  $B_1$  is equivalent to a smaller RMSE. The spectral angle for  $f_2A$  and  $B_2$  is equivalent to a higher RMSE. Dennison et al. (2004), demonstrates that, in a wide range of albedos, there exist no direct equivalence between RMSE and SA error constraints.

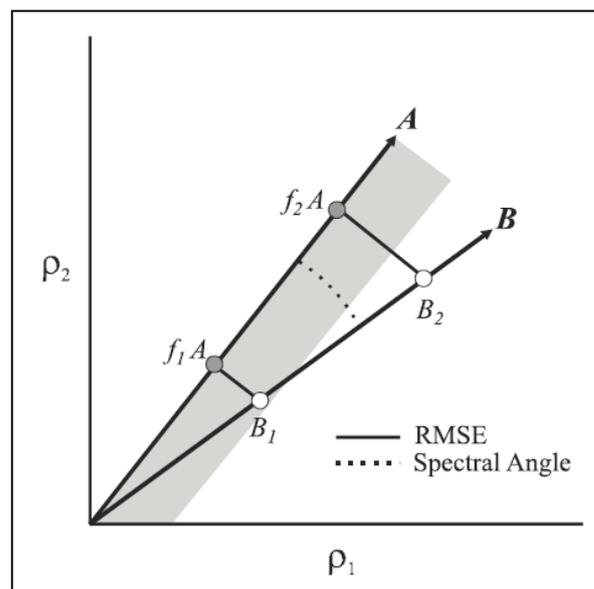


Figure 2.2: A is the endmember and B is the modelled spectrum A.  $\rho_1$  and  $\rho_2$ , are vectors with reflectance components bands, f is the endmember A fraction. RMSE and spectral angle error metrics are indicated by the solid and dashed lines, respectively. Source: Dennison et al. (2004).

**2.6.2.5. MESMA unmixing**

Multiple Endmember Spectral Mixture Analysis (MESMA) decomposes each pixel using different combinations of potential endmembers unlike simple linear spectral un-mixing that uses the same number of endmembers to model all image pixels. MESMA package, source code can be found at (<http://www.vipertools.org>) was run in ENVI/IDL to un-mix each of the multi-temporal images using the single date selected spectra. According to Dennison and Roberts (2003), several constraints are applied when applying the MESMA technique. The constraints include: Non-shade fractions are constrained to between -6 % and 106 %, a shadow of 0.8 %, residuals and RMSE are not permitted to exceed 2.5 % reflectance. The spectra representing each class are then used to create MESMA models. In Roberts et al. (2007), varying the number of spectra used in the MESMA model creation results to different results each time the model is run.

### **2.6.3. Application of MESMA**

Dennison & Roberts (2003) & Dudley et al. (2015) used MESMA to map effects of vegetation phenology, vegetation species and land cover types. Nunez-Casillas et al. (2012) used MESMA for plant species monitoring using WV-2 images; (Thorp et al. 2013) used MESMA to map vegetation species across spatial-temporal gradients; Roth et al. (2012) for accurate mapping of vegetation species using MESMA; (Song 2005), subpixels vegetation fractions; Gan et al. (2014) used it to map urban greenness; Tits et al. (2013) for extraction of subpixel information in agricultural area among others. Application of MESMA in agriculture has not been well studied, only a few studies in (Fitzgerald et al., 2005 & Tits et al., 2012) have been found to deal with agriculture. No study involving weed-crop discrimination in agricultural fields has been reported to the author's knowledge. Techniques to improve MESMA endmember selection (Dennison et al., 2004) & Dennison et al., 2007) and an open-source software (Roberts et al., 2007) have been developed to facilitate its use.

### **2.6.4. Limitation of Spectral Mixture Analysis**

Spectral mixture analysis provides better fraction cover estimates than most of the commonly used vegetation indices as shown in Elmore et al. (2000) & Riaño et al. (2002). SMA, however, has some shortcomings, including the assumption that spectral mixing within a pixel is linear. Studies have shown that multiple scattering can cause a significant non-linear mixing (Borel and Gerstl 1994; Roberts et al. 1993; Rolfson 2010; Small and Milesi 2013; Somers et al. 2009; Youngentob et al. 2011). Additionally, simple SMA, which uses the same set of endmembers cannot account for spectral variability (Roberts et al., 1998; Somers et al., 2011).

## **2.7. Temporal analysis using vegetation indices (VIs)**

Vegetation indices are the mathematical transformation of the original spectral reflectance and are commonly used for interpreting vegetation biomass and cover. Analysing vegetation using remote sensing data requires knowledge of the structure and function of vegetation and its spectral characteristics. This knowledge enables us to link vegetative structures and their condition to their reflectance behaviour. Some indices provided higher validity than others based on the vegetation spectral characteristics as well as the field conditions.

VIs have been widely used to discriminate between different crop types. The indices have also been used to detect and examine vegetation vigour in a crop in Candiago et al. (2015); vegetation objects against the non-vegetation discrimination in Zami (2013); vegetation time series analysis (Fontana et al., 2004); the growing status of crops in Nouri et al. (2014) and crop phenology. Hatfield (1983), however, highlighted the limitations of vegetation indices in distinguishing weed populations from field crops. He pointed out that these indices lead to information loss by converting spectral information into one variable. VIs have been widely used for weed detection.

Weeds are usually detected by observing a significant increase in Vegetation indices (Torres-Sánchez et al., 2013). The crop-weed reflectance is usually related to the vegetation measure and can be characterized by a vegetation growth cycle.

### **2.7.1. Types of vegetation indices**

A number of vegetation indices have already been used for vegetation mapping. Normalized Difference Vegetation Index (NDVI) (Rouse et al., 1973) has been the most often used vegetation index. The NDVI is an effective VI for vegetation quantification. It has a range is -1 to 1 where healthy vegetation generally falls between values of 0.20 to 0.80. Despite its extensive use the NDVI has some disadvantages, such as the poor correlation between NDVI and the aboveground biomass (Baret and Guyot 1991) and saturation at high biomass content making it difficult to differentiate moderately high plant cover from very high plant cover.

These limitations of NDVI has led to the invention of atmospherically and soil background corrected indices. Among them is the Soil adjusted vegetation index (SAVI) (Huete 1988) which incorporated a soil correction factor L to the denominator of the NDVI. It was developed to reduce the effect of soil background effects on the vegetation mapping results. The limitation of SAVI is that adjusting for the influence of soils on the vegetation canopy comes at a cost to the sensitivity of the vegetation index. SAVI is generally less sensitive to changes in vegetation and more sensitive to atmospheric differences. A modified SAVI (MSAVI) replaces the constant L in the SAVI equation with a variable L-function that varies inversely with varying amount of vegetation. MSAVI was further modified to MSAVI2 (Qi et al., 1994) which incorporates an iterative process and substitute 1-MSAVI (n-1) as the L factor in MSAVI. Using MSAVI2, the need to pre-calculating NDVI is eliminated. To further improve on the soil effect correction, Kaufman and Tanre (1992) combined the SAVI and ARVI by replacing the red band in the NDVI equation with a linear combination of the red and blue bands. The combination of both SAVI and ARVI gave rise to Soil and Atmospherically Resistant Vegetation Index (SARVI) which has correct for both atmospheric and soil background effects. SARVI require no prior correction of the atmospheric and soil background conditions, it is self-correcting for both effects.

Other indices include; Renormalized Difference Vegetation Index (RDVI) (Roujean and Breon 1995), which uses the difference between near-infrared and red wavelengths, along with the NDVI, to derive healthy vegetation. RDVI is insensitive to the effects of soil background and sun viewing geometry. The Transformed Normalized Difference Vegetation Index (TNDVI) (Bannari et al., 2002) is an index that does not saturate and displays an excellent linearity with the biomass, it is good in estimating % ground cover while at the same time minimizing soil background effects. World-View Improved Vegetative Index (WV-VI) (Wolf 2012) uses the NIR2 band to derive the NDVI. Its value ranges from -1 to 1. The common range for vegetation (green) is 0.2 to 0.8; and lastly, The Modified Triangular Vegetation Index (MTVI2) (Haboudane et al. 2002) which accounts for the background signature of soil while at the same time preserving the sensitivity to leave area index (LAI) as well as the resistance to the influence of chlorophyll. Applications of vegetation indices are explained in: (Barati et al., 2011; Dutta et al., 2015; Elvidge & Chen, 1995; Gitelson, 2004; Kaufman & Tanre, 1992; Liang et al., 2015; Liu et al., 2008; Liu et al., 2012; Panda et al., 2010; Park et al., 2015; Viña et al., 2011) among many more others. According to Liu et al. (2008), the simplicity of the estimation of vegetation indices, conversion of the spectral information into a single variable may cause information loss.

### **2.8. Class separability analysis**

The class separability is a measure that shows the distance between two class signatures. Various class/endmember separability techniques exist. Euclidean distance; is the spectral distance between the mean vectors of each pair of classes. It is only an effective measure of separability for spectrally distinct classes and does not consider the within-class variation. Swain et al., (1978) introduced divergence that

measures the divergence between signatures and determines band subsets that maximize the classification. The divergence measure considers the within-class variation and ranges from 0 to infinity. It is unbounded and therefore, it gives a false assumption of increased separability and due to this limitation. Jensen, (1996) modified the divergence into a Transformed Divergence (TD) gave an exponentially decreasing weight to increasing distance between classes". This measure has no theoretical foundation, it ranges between 0 and 2 TD values range between 0 - 2. Where 0 corresponds to non-separable classes and 2 correspond to perfect separability. Bhattacharyya distance (BD) (Bhattacharyya 1943) measures the dissimilarity of two classes and varies for zero to infinity. The Jeffrey's Matusita (JM) distance (Swain et al., 1978) transformed the BD values (which range from 0 to infinity) up to a specific range (0 to 2), and therefore overemphasizing low separability values while suppressing high separability values. As a general rule according to Jensen, (1996), if the result is greater than 1.9, classes are separable; between 1.7 and 1.9, the separation is fairly good whereas below 1.7, the separation is typically poor. All of the above distances are used to determine the best training sets to use in the classification. In Gambarova & Gambarov (2010), TD and JM distances were found to give the best separability and are therefore preferred for this study.

## **2.9. Accuracy assessment**

The uncertainty in SMA can be caused by the errors in an image capturing, GPS measurements errors, and ground data collection errors as well as the use of wrong algorithms. Root mean square error (RMSE), the standard error (SE) and correlation analysis have previously been used to evaluate the quality of spectral un-mixing results as in Gan et al., 2014)of the endmembers and its abundances. High values of RMSE indicate that the linear combination of the endmembers and the abundances does not match the original image. This may be due to the wrong choice of the endmembers or to an inappropriate estimation of endmember abundances. Since ground-truth of fractional abundances is very difficult to obtain in practice, the RMSE metric provides a way of using the original multispectral image as a reference for the evaluation.

**Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.**

## 3.0 STUDY AREA AND DATA DESCRIPTION

This chapter provides a description of the datasets used in the analysis. The satellite data and the field reference data are explained in this chapter.

### 3.1. Study Area

Sougoumba is located at 12° 10' 0" North, 5° 11' 0" West in Koutiala, the northern part of Sikasso (southernmost region of Mali). Koutiala has a total area of 8740 km<sup>2</sup> with a Population (2009 census) of 575,253 and a density of 66 persons/km<sup>2</sup> (GFRAS - Mali, 2014; Britannica.com, 2015; Nations Encyclopedia, 2015). Most agricultural activities are concentrated in the southern regions, in particular, the valleys of the Niger River and its tributaries (Britannica.com, 2015; GFRAS - Mali, 2014; Nations Encyclopedia, 2015). The flow of the Niger varies seasonally. High waters levels occur in the upper Niger from July to October, at the delta from September to November, and at the bend from December to January (GFRAS - Mali 2014). Periodic floods provide much-needed fertile agricultural soil along its banks. The soils outside the Niger valley in are poor and shallow and form a hard, red crust because of high evaporation. Sougoumba lies within the intertropical zone consisting of a hot, dry climate (Britannica.com, 2015; Nations Encyclopedia, 2015). The dry season is from November to June and is marked by low humidity and high temperatures. Most of the rainfall occur in august lowering temperatures during this month. It is characterized by an annual rainfall of 510 to 1,400 mm and average temperatures of 75 to 86 °F (24 to 30 °C) (Nations Encyclopedia, 2015).

Sougoumba economy is overwhelmingly agricultural. Subsistence and commercial agriculture are practiced in this area. Some four-fifth of the working population is engaged in subsistence agriculture (GFRAS - Mali, 2014). Among the main subsistence crops are Millet, rice, wheat, and maize, yams and cassava, while cotton is an important commercial crop; peanuts, sugarcane, tobacco, and tea are also grown for market. Eighty % of workers are employed in agriculture (GFRAS - Mali, 2014). Small-scale subsistence farming prevails in this region. In general, crop planting in the region is completed by end June, millet crop maturity occurs by to November when it's ready for harvesting (Britannica.com 2015; GFRAS - Mali 2014; Nations Encyclopedia 2015). Figure 3.1 shows a map of the study area.

A map showing the study area

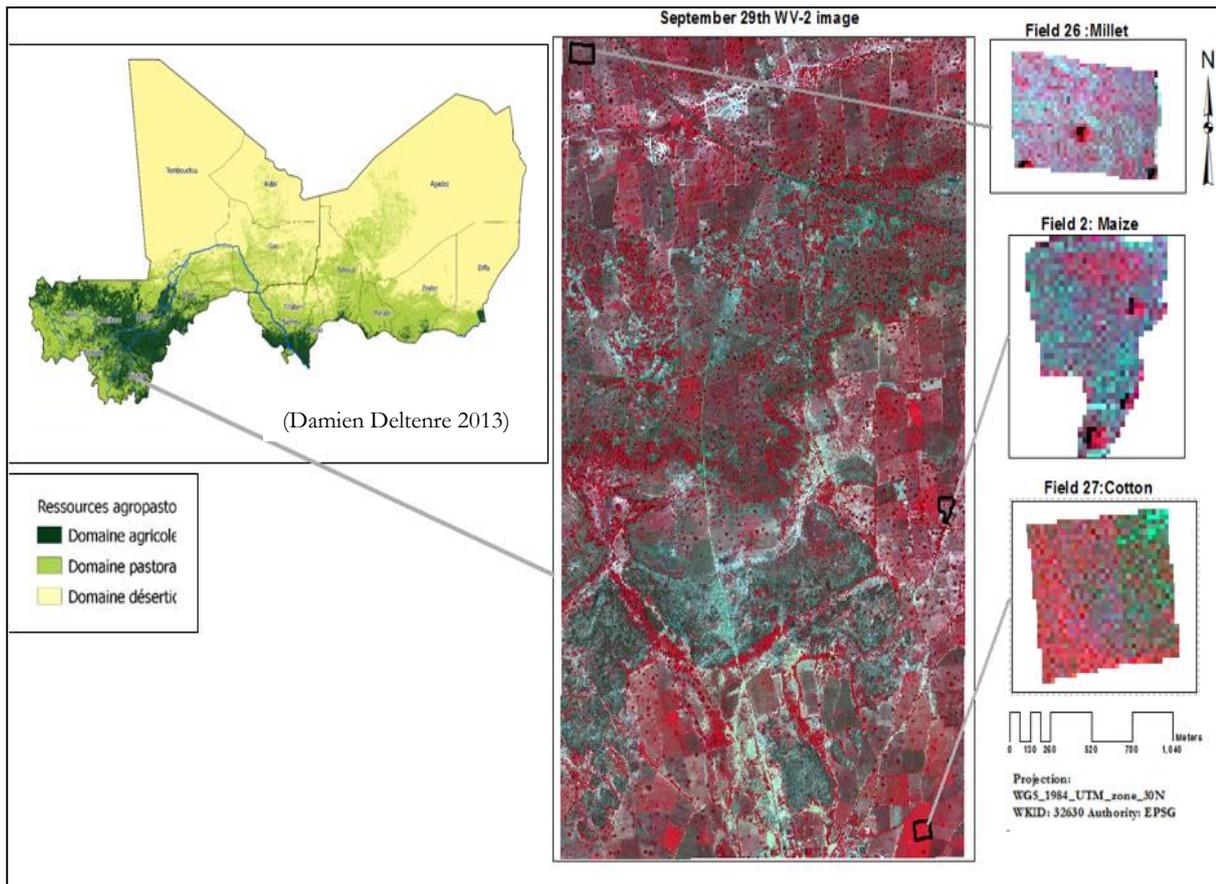


Figure 3.1: A Map showing the study area. The left image shows the agro-pastoral map of Mali, (middle, the September 29th satellite image and right shows the study fields.

### 3.2. Data description

Data used for this research is categorized into the following four types: Earth Observation data, Field data, and Crop calendar.

#### 3.2.1. Earth observation data

WorldView-2 was launched in October 2009. It consists of a 46cm panchromatic band and eight multispectral 1.85m resolution bands. WorldView-2 has an average revisit time of 1.1 days. For the accuracy analysis, WV-2 has a high capacity over most of the other satellite sensors since it has a bi-directional scanning and frequent revisits at high resolution (SIC 2014). It has several sensor bands both panchromatic: 450 - 800 nm and 8 Multispectral as shown in Table 3.1;

Table 3.1: WV-2 multispectral sensor bands:

WorldView-2 Satellite Sensor		
Characteristics		
Band Number	Band Name	Wavelength
1	Coastal	400 - 450 nm
2	Blue	450 - 510 nm
3	Green	510 - 580 nm
4	Yellow	585 - 625 nm
5	Red	630 - 690 nm
6	Red Edge	705 - 745 nm
7	Near-IR1	770 - 895 nm
8	Near-IR2	860 - 1040 nm

WV-2 satellite images are used for this research. The following six images were acquired during the growing season June 26<sup>th</sup>, July 29<sup>th</sup>, September 29<sup>th</sup>, October 10<sup>th</sup>, October 18<sup>th</sup>, November 1<sup>st</sup> and November 14<sup>th</sup> in the year 2014.

### 3.2.2. Ground reference dataset

The ground reference dataset provided by the STARS project in collaboration with ICRISAT Mali in the year 2014 was used to assess the performance of the WV-2 satellite imagery. The ground had the information regarding the field boundaries, crop type discrimination and the associated agricultural practices, measures of crop growth and the growing conditions. The dominant crop types Koutiala district was cotton, maize, sorghum, peanuts and millet and 10 crop fields were sampled for each species. Each farm size was  $1.45 \pm 0.86$  (farmer's estimates  $1.41 \pm 0.82$ ).

Specific variables included the following: date of weeding, type of soil, fertilizer application, production, and yield in Metric Tons, ground cover, information on presence or absence of weed, weed percentage in quadrat, weed biomass, Fcover, crop biomass, soils type, and canopy cover in the specified year. The data was collected at a 2 m × 2 m quadrat level. Which were aggregated on a 15 m × 15 m plots with the study field.

### 3.2.3. Crop development stages/crop Calendar

The crop calendar provided knowledge of the crop development stages. This information was useful to determine if a particular crop is likely to be discriminated against weeds in a given time. The growth stages were coded using BBCH5 codification. In the quadrat, the central plant and the surrounding ones, the measurement was done and a single average value was recorded per quadrat. The presence of weeds and their relative densities was recorded as a range (0; < 10 %; 10 - 50 % and > 50 %). Table 3.2 shows the crop phenological development stages based on BBCH5 codification.

Table 3.2: BBCH decimal Code for growth stage of the crops

Stage	Description
0	Germination/sprouting/bud development
1	Leaf development(main shoot)
2	Formation of side shoots
3	Stem elongation/main shoot development
4	Development of harvestable vegetative parts
5	Inflorescence emergence/heading
6	flowering
7	Development of fruit
8	Ripening / maturity of fruit and seed
9	Senescence/ beginning of dormancy

Source: STARS-Project-Data collection protocol

### 3.2.4. Fertility treatments

The study fields were monitored for Crop growth and development. 5 plots(A, B,C,D,E) for the maize/cotton fields and 6 plots (A, B,C,D,E,F) for the millet field each with an area of 15 m × 15 m and a 5m inter-plot separation distance were used for monitoring. Of these plots: B, C, D, E (maize/cotton) and E (additional for millet) were used as experiments for fertility trials with various treatments and were positioned on a homogenous area in each field. A 5m distance from any obstacles such as trees and termite mounds. Plot A was a farmer’s practice plot with growing conditions similar to the rest of the field. Table 3.5 show the amount of fertilizers applied per field.

The fertility Trials occupied occupy 9 % of the whole field for the cotton/maize crops and 11.25 % for the millet crop. In plot A, the usual fertility management was done by the farmer. In each of the plots, 5 quadrants measuring 2 m × 2 m were delineated within which crop monitoring was done. The plots were laid down as shown in Figure 3.2. The data was corrected by the STARS project.

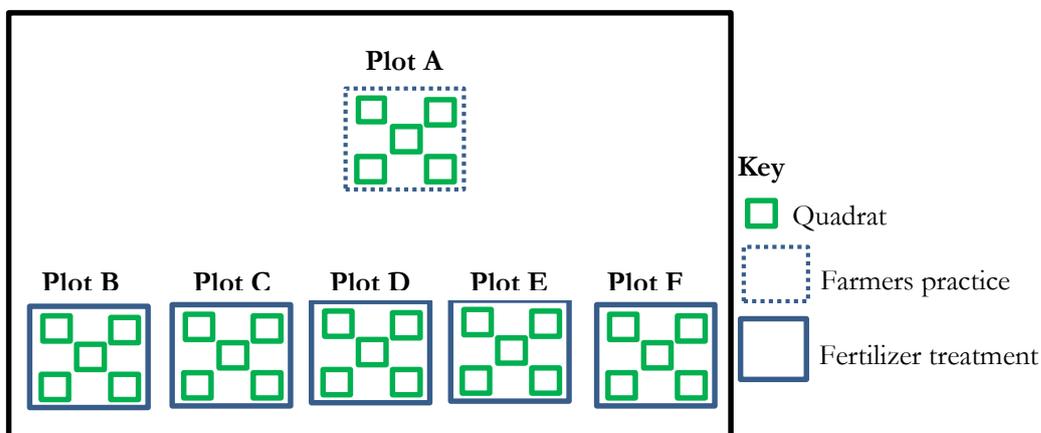


Figure 3.2: A Conceptual sampling design. Source: STARS-Project-Data collection protocol

Non-destructive measurements were done on 5 tagged crops (near the middle of the field) within each quadrat. As a general assessment of the quadrats, a horizontal picture of each quadrat was taken at the centre of each quadrat for the purpose of describing plant/crop status in the corresponding quadrat, this was then followed by other crop condition measurements.

### 3.2.5. Seasonal canopy development

Crop growth measurements are done every 2 weeks starting at the crop emergence and concluding at the harvest time. The location of the quadrats was done with a differential GNSS with centimetric accuracy. The quadrats are shown in Figure 3.3.

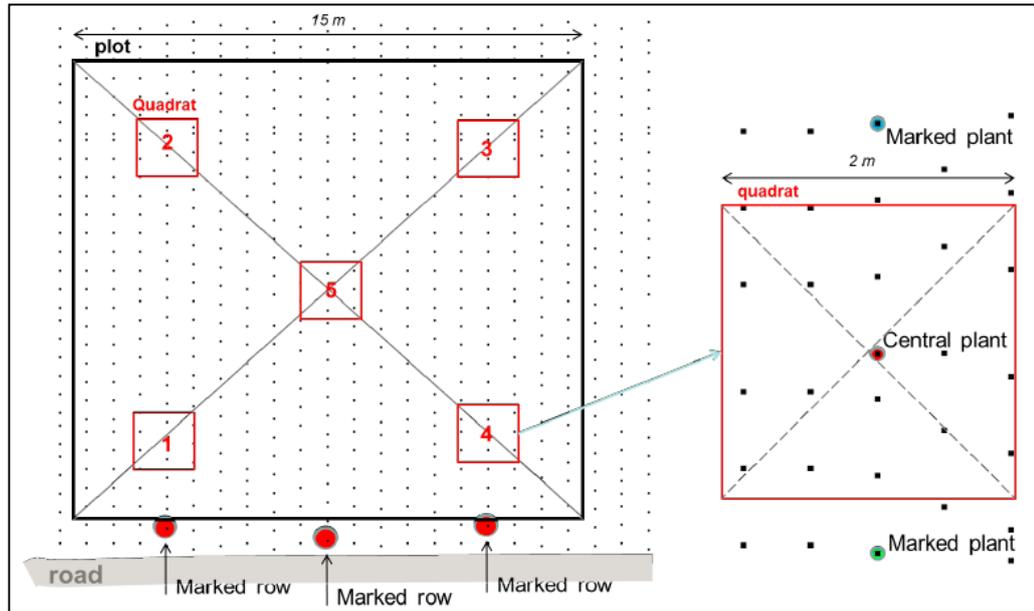


Figure 3.3: The position of the quadrats within the plot. The green and blue tags were used to retrieve information of the measured plant with red tag get lost during the season. Source: STARS-Project-Data collection protocol.

### 3.2.6. Procedure for ground cover measurement

A 35 mm lens camera was mounted on a 3-meter L shaped (Nadir view) telescopic pole was used to capture digital pictures on a downward looking position to estimate the ground cover fraction of the soil surface covered by green plant material ( $F_{cover}$ ). The pole was placed between plants in the centre and along the row of the quadrat and facing towards the sunlight to avoid any shadow in the picture area. The procedure is shown in Figure 3.4.

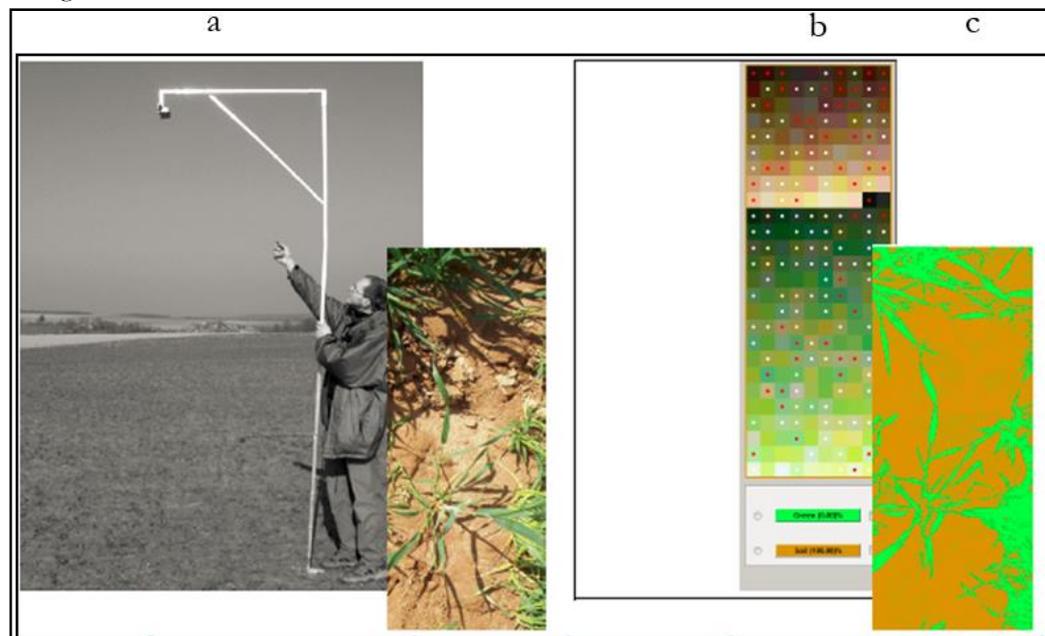


Figure 3.4: Ground cover estimation: a): vertical picture acquisition (b): picture processing with CAN-EYE freeware and c) resulting plant/soil classification. Source: STARS-Project-Data collection protocol.

### 3.3. Field photographs

Photographs showing the weed infestation at the quadrat level in the Millet, cotton and Maize fields are also provided by the STARS project in collaboration with ICRISAT Mali. they are useful for the verification of the results.

### 3.4. Weed species identified in millet/maize fields in the study area.

Millet and maize are important staple and cereal crop in sub-Saharan Africa and a major source of calories for millions of people in this region (Kamara, 2013). However, the production is low (Jamil et al. 2011). According to Gachene et al. (2015), Sub-Saharan Africa is the region with the lowest maize yields in the world at less than 2 Mg/ha. This can be partly attributed to weeds that affect the crops development. The extension of the period of coexistence between the crops and weeds may cause reduced yields. Characteristic factors of the weeds, such as specified composition, density, and distribution as well as the allelopathic effects provided by these weeds (Chikoye et al., 2002) to the crops have a great impact on the crop development. Millet and maize production in Mali is faced with several production constraints which limit productivity they include; poor soil fertility, climate, and weeds. Over 90 weed species have been recorded in The Sahel region (Chikoye et al., 2002). Below are the most notorious weeds in millet fields; Table 4 shows the weed species major identified.

Table 3.3: Weed Species Identified in the millet fields quadrats in Mali

Plant	Biological name	Common name	Reference
Crops	<i>Pennisetum glaucum</i>	Millet	
	<i>Zea mays</i>	Maize	
Weeds	<i>Striga asiatica</i>	Asiatic witch-weed	(Cabi, 2015; Gérard et al.,1994; )
	<i>Striga hermonthica</i>	Purple witch-weed	(Kamara, 2013; Bengaly et al.,1998; Wade, 2010)
	<i>Digitaria horizontalis</i>	Crabgrass	(Agyakwa & Akobundu, 1987)
	<i>Cynodon dactylon</i>	Bermuda grass	(Agyakwa & Akobundu, 1987; Cabi, 2015)
	<i>Cyperus rotundus</i>	Nut grass	(Agyakwa and Akobundu 1987)
	<i>Echinochloa colona</i>	Jungle-rice	(Cabi, 1979; Holm et al., 1979)
	<i>Rottboellia cochinchinensis</i>	Itch grass	(Cabi, 2015; Pl@ntNet, 2015)
	<i>Imperata cylindrica</i>	Spear grass	(Chikoye et al., 2002; Chikoye, et al., 2014)
	<i>Commelina forskalaei</i>	Rat's ear/ dayflowers	(Cabi,2015)
	<i>Cenchrus biflorus</i>	Cram- cram(Sahel)	(Agyakwa and Akobundu 1987)
	<i>Sonchus oleraceus</i>	Sow-thistle	(Cabi,2015)
<i>Amaranthus viridis</i>	Slender amaranth	(Holm et al., 1991)	

#### 3.4.1. *Striga* Spp.

Millet crops fields in Mali are commonly infested by two species purple-flowered *Striga hermonthica* and red-flowered *Striga asiatica*. *Striga hermonthica* is the most destructive. It attaches itself to the roots of a host plant via the haustorium. It then withdraws water and nutrients from the host. *Striga* can cause complete crop failure if not managed and the infestation can be so severe that cereal crop production is impossible. *Striga* infestations flourish in conditions characterized by low soil fertility, and mono-cropping. The host crop wilts, get stunted or produce no grain. Yield losses may reach 40-100 % according to Agyakwa & Akobundu (1987)



Photo 2: *Striga hermonthica*. (STARS&ICRISAT 2014)



Photo 3: *Striga asiatica* (Reinhardt 2012)

#### 3.4.2. *Digitaria horizontalis* (crab-grass)

This species grows rapidly and is very widely spread in cultivated millets fields. It is adapted to hot weather. Roots grow large profile with an extended and denser system of up to 2.5 meters. It is also allelopathic and its' extracts inhibit germination of shoots in maize and millet fields. This lead to yield losses and loss of production quality. Millet yields may be reduced 40 % if crabgrass is allowed to compete for the whole season.



Photo 4: *Digitaria horizontalis*. (STARS&ICRISAT 2014)

#### 3.4.3. *Cynodon dactylon*

It is a drought and alkali tolerant plant with a very high temperature and sunlight requirements. *Cynodon dactylon* is a direct threat to the growth of neighbouring millet crops. In addition, it has allelopathic effects that involve direct competition for space and nutrients. It is difficult to control once it establishes itself. However, Bermuda grass is sensitive to shade, and only invades the disturbed land.



Photo 5: *Cynodon dactylon*. (STARS&ICRISAT 2014)

#### 3.4.4. *Cyperus rotundus*

This is a perennial species native to Africa. It grows up to a height of 140 cm. It prefers dry conditions, but will tolerate moist soils, it often grows in wastelands and cultivated fields. Purple nut-sedge is a perennial

weed that has serious impacts on agriculture. Although relatively small in stature, it highly competes for water and nutrients. It grows very fast forming dense colonies by producing an extensive system of rhizomes and tubers causing a reduction in crop yields.



Photo 6: *Cyperus rotundus*. (Extension 2015)

#### **3.4.5. *Echinochloa colona***

It is a fast growing and notorious weed but does not tolerate drought. This grass may become a nuisance to farmers. When left uncontrolled in millet fields or orchards, it can form a very dense vegetative growth that will compete with the crop for water and nutrients.



Photo 7: *Echinochloa colona*. (STARS&ICRISAT 2014)

#### **3.4.6. *Rottboellia cochinchinensis***

This is an aggressive weed and is on the list of weeds for the Federal Noxious Weed Act. In Mali, it is prominent in open, well-drained places at higher altitudes, and is one of the important species in old field succession. It causes severe crop damage to millet and corn. It is also an alternate host of the viruses causing corn leaf gall.



Photo 8: *Rottboellia cochinchinensis*. (STARS&ICRISAT 2014)

#### 3.4.7. *Commelina forskalaei*

This species is herbaceous plant native Africa. It can be easily recognized by its bright blue flowers with winged stamen filaments. It forms dense mats, and can be found most commonly in sandy soils in at least somewhat sunny situations.



Photo 9: *Commelina forskalaei*. (STARS&ICRISAT 2014)

#### 3.4.8. *Cenchrus biflorus*

It is an annual grass found in the Sahel countries. It is usually referred to as "cram-cram". It is also either alone or mixed with millet. *Cenchrus biflorus* is regarded as a noxious weed because of its spreads rapidly.



Photo 10: *Cenchrus biflorus*. (STARS&ICRISAT 2014)

#### 3.4.9. *Amaranthus viridis*,

This is a broad-leaved weed found in maize and millet. *A. viridis* is very common weed in maize fields most especially during the early growing stages of a crop. The slender amaranth is considered as one of the most harmful weeds which may lead to heavy losses in crop yield. Its weedy status is more important than its culinary value. It is eaten as a vegetable.



Photo 11: *Amaranthus viridis*. (cabi 2015)

#### 3.4.10. *Imperata cylindrica*

This is the worst weed of the moist savanna in West Africa. According to (Chikoye et al., 2002; Chikoye et al., 2014), it is the tenth most infamous weed species in the world, which affects farmers who practice slash-and-burn agriculture (Holm et al. 1979). It is noxious because of its wide distribution and adapted to a wide range of climatic conditions and soils. It is highly competitive with many crops including millet and corn and it is resistant to control. It causes yield reductions of 51- 62 % in millet when the crop is weeded 2-4 times.



Photo 12: *Imperata cylindrica*. (STARS&ICRISAT 2014)

According to Chikoye et al. (2014), the perennial weeds like *Cyperus rotundus*, *Cynodon dactylon* and *Striga* are among the worst weeds of the world, infest the millet crop reducing greatly the millet production and quality.

#### 3.4.11. *Sonchus oleraceus* (sow-thistle)

*S.oleraceus* has been widely dispersed deliberately or accidentally by humans. It invades mainly open and disturbed areas/cultivated land/ gardens. It grows rapidly once introduced.



Photo 13: *Sonchus oleraceus*. (STARS&ICRISAT 2014)

## 4.0 METHODOLOGY

### 4.1. Introduction to methodology

This chapter provides the strategies or methods that are used in this study in order to achieve its objectives. The selection of the method is based on a thorough literature review as explained back in chapter 2. Data preparation, spectral mixture analysis, temporal analysis of the WV-2 images using vegetation indices, the class separability techniques and accuracy assessments are explained.

### 4.2. Data preparation and preprocessing

Data provided by the STARS projects was sorted, organized and focused on a millet field in Sougoumba. The data was sorted at a quadrat level (2 m × 2 m), plot level (15 m × 15 m) (see Chapter 3) and the entire field as shown in Figure 4.1. The remote sensing data comprised of multi-temporal WV-2 images with a spatial resolution of 2 meters. Geometric corrections and radiometric corrections were applied to the earth observation data before these could be used for processing and analysis.

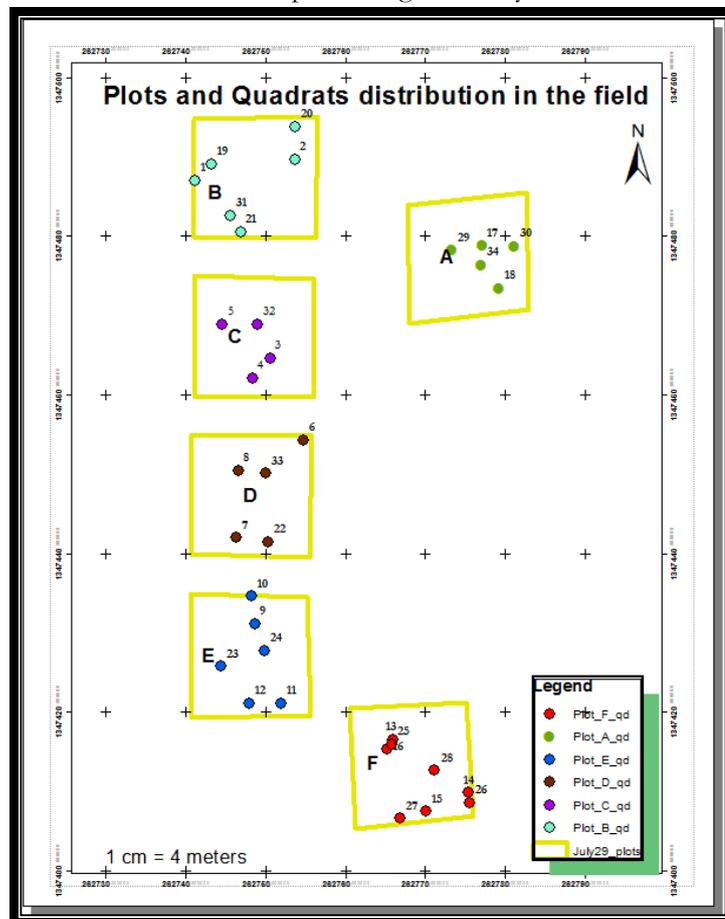


Figure 4.1: Plots and quadrats distribution in the crop field. The letter A-F refers to the plots while the number refers to the quadrat. The dots refer to the spatial location of the quadrat

#### **4.2.1. Image preprocessing**

##### **4.2.1.1. Correction for radiometric, atmospheric effects and ortho-rectification**

In this research, WV-2 images were corrected for radiometric and atmospheric effects, BRDF effects, as well as Ortho-rectification. These corrections were performed by the STARS project. Radiometric corrections were done to correct for the sensitivity of the sensor to the objects through calibration so as to convert at-sensor radiance to apparent at-sensor spectral reflectance required before atmospheric correction. This is usually done taking into account temporal changes in solar illumination due to Earth-Sun angle.

The radiation movement through a medium is affected by absorption, emission and the scattering processes. A surface reflectance signal that is measured by passive satellite instruments is contaminated by the influence of the atmosphere. The atmospheric correction involves the removal of this contamination. In this research, the atmospheric correction was done using the Simulation of a Satellite Signal in the Solar Spectrum radiative transfer model (6S) that was specifically adjusted for the Digital Globe, Worldview (WV) dataset for the STARS-project. This model uses the external information derived from moderate Resolution Imaging Spectro-radiometer (MODIS) for aerosol and atmospheric effects estimation on the day of image acquisition.

Satellite images data are usually affected by the systematic sensor and platform-induced geometry errors. There exist topographical variations on the earth's surface. A sensor tilt affects the distance with which features on the satellite are displayed. A more varied topography leads to more distortions on the image. In this research, the image used were orthorectified to correct for the tilt distortions using a digital elevation model (DEM).

##### **4.2.1.2. Image registration**

Image registration geometrically aligns two images of the same scene with different a viewing geometry and/or different terrain distortions into the same coordinate system so that corresponding pixels represent the same objects. All the 7 images were captured at different times or/and from different viewpoints causing the images to be miss-aligned. 100 tie points were automatically generated. Tie points with high RMS error were deleted. The resulting accuracy was below RMS of 0.57 for all the image date. This process was repeated in all the bands. The tie points were used to compute the geometric transformations of the warped image thereby aligning the warped image to match the base image. This was successfully done using the image to image registration in ENVI/IDL.

##### **4.2.1.3. Creating a crop-specific mask**

It is necessary to first identify the target crop field in the scene. Seven WV-2 cloudless images comprising of all the 3 fields were selected from the STARS project database to provide the necessary information that captures the target crop phenology. The field and plots boundaries were visually checked whether they matched with the image. A slight shift was observed, the shift parameters were estimated as follows: Polygon coordinates x: a shift by 6 pixels to the left (West), Polygon coordinates y: a shift by 2 pixels up (North). This shift was corrected for using the shift parameters to align the field boundary to the images. The images were masked using the field boundary shapefiles. The field boundaries used were recorded in the field with a Trimble JUNO GPS without any differential correction. The typical accuracy of the boundary position is 5 to 10 meters. A total of 21 image subsets were created.

### 4.3. Vegetation indices analysis

Based on their spectral characteristics, various vegetation indices were selected and computed. The mean values of the vegetation indices were extracted from the images. The Table 4.1 below shows the indices used for this study and their formula.

Table 4.1: The formulae for computation of the vegetation indices

Vegetation index	Formula	Author
Normalized Difference Vegetation Index (NDVI)	$(\text{NIR} - \text{R}) / (\text{NIR} + \text{R})$	(Rouse et al.,1973)
Modified Soil Adjusted Vegetation Index (MSAVI2)	$[2 \times \text{NIR} + 1 - \sqrt{(2 \times \text{NIR} + 1)^2 - 8 \times (\text{NIR} - \text{R})}] / 2$	(Qi et al., 1994)
Soil and Atmospherically Resistant Vegetation Index (SARVI)	$[(\text{NIR} - \text{RB}) \times (1 + \text{L}) / (\text{NIR} + \text{RB} + \text{L})]$ L is a canopy adjustment factor , $\text{RB} = \text{R} - \gamma \times (\text{B} - \text{R})$	(Kaufman and Tanre 1992) (Roujean and Breon 1995)
Renormalized difference vegetation index (RDVI)	$(\text{NIR} - \text{R}) / \sqrt{\text{NIR} + \text{R}}$	(Bannari et al., 2002)
Transformed Difference Vegetation Index (TDVI)	$\sqrt{[(\text{NIR} - \text{R}) / (\text{NIR} + \text{R}) + 0.5]}$	(Wolf 2012)
World-View improved vegetative index (WV-VI)	$(\text{NIR2} - \text{R}) / (\text{NIR2} + \text{R})$	
Modified triangular vegetation index (MTVI2)	$1.5 [1.2 \times \text{R}_{800} - \text{R}_{550}] - 2.5 \times (\text{R}_{670} - \text{R}_{550})]$ $/ [\sqrt{(2 \times \text{R}_{800} + 1)^2 - 6 \times \text{R}_{800} - 5} \times \sqrt{(\text{R}_{670} - 0.5)}]$	(Haboudane et al. 2002)

Figure 4.2 shows the workflow of the VI extraction and analysis. The Vis were calculated and their means recorded. The means were regressed against the Fcover in a regression analysis to determine the VI which correlates well with the Fcover.

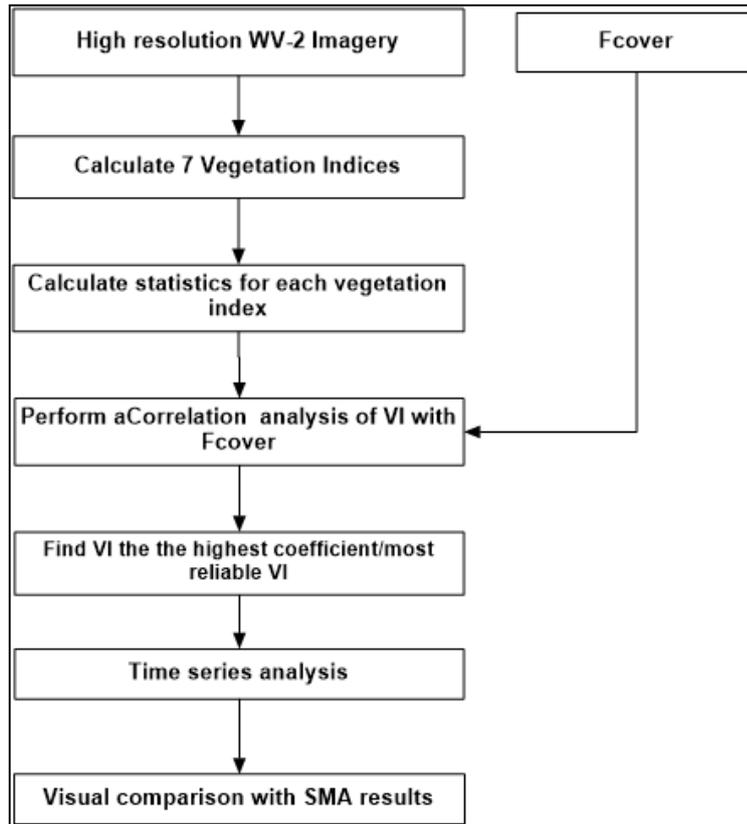


Figure 4.2: The analysis procedure of VIs mapping with high-resolution WV-2 imagery

Spatial analysis was employed in order to evaluate the relationship between the ground reference Fcover in and remotely sensed VIs. A linear regression analysis has been used in previous studies(Chapter 2) to show the relationship between VIs and above ground biomass of different dates, however since the above ground biomass was measured once in the field, the Fcover (the total green cover including crops and weeds) was used instead. This was because Fcover was measured at different times that very closely corresponded to the growth period and image acquisition date and therefore, gave high correlation with the VIs. Weeds and crops occur in a mixture, therefore the subpixel classification as explained in the previous chapters, is adopted estimate the weed, soil and crop fractions.

#### 4.4. Spectral mixture analysis

##### 4.4.1. Multiple endmember spectral mixture analysis (MESMA)

As opposed to simple linear spectral mixture analysis, MESMA allows for the number and types of endmembers to vary per pixel. MESMA incorporates various constraints such as a minimum fit of the model, the fraction, RMSE and residual constraints to test multiple models/endmembers for each image pixel. A four endmember model was used to model the multi-temporal images. Both image and reference endmembers were included in the multispectral library.

Reference endmembers were derived from the WV-VI images based on the field data collected and provided by the STARS project. The field data included the weed proportions that were recorded in the fields. The second set of endmembers was derived automatically from the image (image endmembers). Image endmembers could easily be obtained from a satellite image. For this advantage, image endmembers were preferred for this study. The procedure for MESMA un-mixing is described below.

#### 4.4.1.1. Endmember extraction

For automatic endmember extraction, the Pixel Purity Index (PPI) (Valdiviezo-N and Urcid 2012) was first applied resulting to only one endmember (one pixel) that could be identified as pure after 50,000 iterations therefore this method was disregarded. The Sequential Maximum Angle Convex Cone (SMACC)(Gruninger et al., 2004) which extracts spectral endmembers throughout an image was used for this study. Compared to PPI, this technique was less time consuming and not bounded by the number of bands.

#### 4.4.1.2. Endmember extraction using SMACC

Representative pure spectral endmembers were extracted automatically in spectral un-mixing results using of the sequential maximum angle convex cone (SMACC) algorithm in all the fields and across all the dates to create spectral signatures and abundance images. The algorithm works by selecting the endmembers directly from the image sequentially. The convex cone model finds a single endmember and increases as the image dimension increases, new endmembers are identified based on the angles they form with an existing cone. The following flow chart shows the process used in endmember extraction;

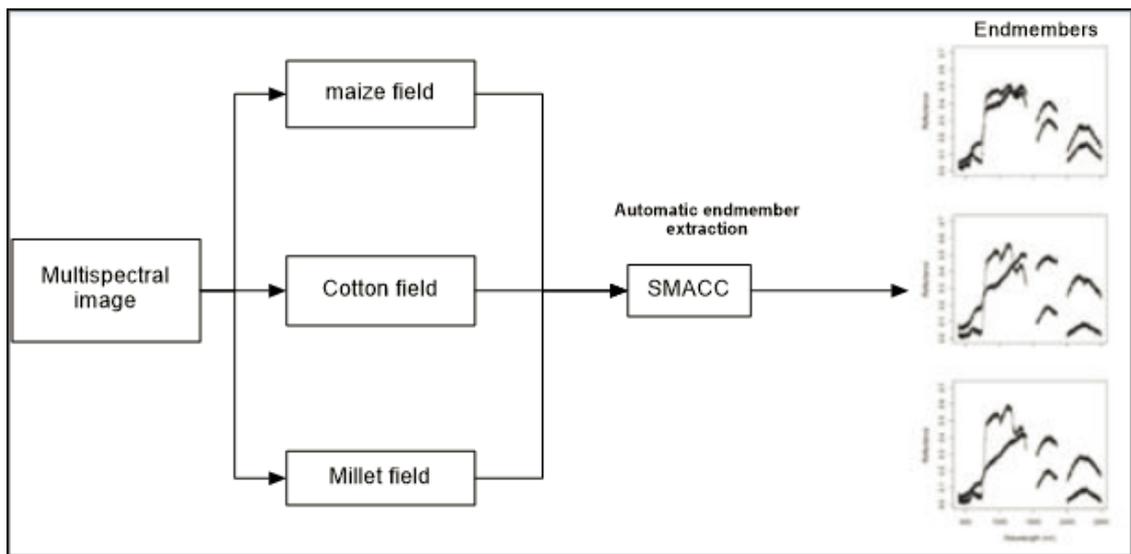


Figure 4.3: The endmember estimation and extraction process

The SMACC procedure was carried out on the July 29<sup>th</sup>, September 29<sup>th</sup>, October 10<sup>th</sup>, October 18<sup>th</sup>, November 1<sup>st</sup> and November 14<sup>th</sup>, WV-2 multispectral images. The preference to this method was that it is not bounded by the number of bands therefore, the number of endmembers selected could be more than the number of bands.

#### 4.4.1.3. Reference data

The reference data on the dominant cover in a crop field that was used in this study was collected from the field and also visually derived from the ground photographs. The patches of dominant cover per pixel and their relative composition were recorded per quadrat (2 m × 2 m) which is the size of 1 pixel of the WV-2 images being used in this study. Patches having over 75 % single species composition were recorded as pure.

#### **4.4.1.4. Creation of a spectral library for the reference data**

Spectral library development and processing was done using the ENVI/IDL (ITT Visual Information Solutions) image processing software. The spectra within the reference field boundary were extracted from each image separately to a spectral library. All the duplicates were checked and removed from the single date spectra.

#### **4.4.1.5. Spectral matching**

A quantitative comparison of the surface reflectance of the known endmembers with unknown endmembers derived automatically using Spectral Angle Measure (SAM) (Kruse et al.,1993), a spectral matching technique to identify the endmember spectral similarity. The SAM algorithm was implemented in ENVI/IDL, it computed the angular distance between each unknown spectrum from the image endmembers and the reference spectra, and this resulted in an image that showed the best SAM match at each pixel. The spectra with smaller angles (spectra that are close to the reference spectrum) were represented with darker pixels. The dark spectrum (black/0 radians) represented a perfect match with the reference spectrum.

#### **4.4.1.6. Creation of the Metadata for the unknown endmembers**

Several components of the MESMA classification technique required the spectra in a library to have associated metadata. The metadata facilitated keeping of track of the class of each spectrum. The metadata of each single date spectral library consisting of crop, weed and soil classes were created to enable the user to identify the fractional classes and to select different criteria for sorting and viewing. All the spectra were grouped into weed, soil and crop classes for all the fields and in all the dates.

#### **4.4.1.7. Creation of a square array**

Square arrays are images of the dimension are  $n$  by  $n$ , where  $n$  is the number of spectra in the library as explained in. The array comprised of the RMSE, spectral angle, Endmember fraction and a shade fraction. The shade endmember accounted for the brightness variations caused by shadowing and illumination effects. Partially constrained mode (see chapter 2) was useful for allowing models with very good fit to be included. Default parameters used for the creation of the square array were -0.05, 1.05 and 0.025 for a minimum fraction, maximum fraction and RMSE threshold, respectively.

#### **4.4.1.8. Computation of EAR and MASA and the selection of the optimal endmembers**

The key to MESMA is to detect which spectra in a group of spectra are the best representative of a class they represent while covering the range of variability within the class. The square array results were used for the computation of the Endmember Average Root mean square error (EAR) (Dennison & Roberts, 2003a) and the Minimum Average Spectral Angle (Dennison et al., (2004). EAR selected the endmembers with the lowest RMSE while the (MASA) selected the endmembers that had the lowest spectral angle between to spectra. At least 4 spectra were selected for each class using both techniques. In this process, all the endmembers were grouped into three cover classes, weed, crop and soil. The selected endmembers were used to model the WV-2 images.

#### **4.4.1.9. Development of endmember models**

Endmember variability is important. However, selecting the smallest optimal subset of available endmember was equally important. A smaller representative set of endmembers was used for computational efficiency. By varying the number of the selected spectra from each spectral library, different sets of cover fraction were derived. Adequate fraction images and values were selected based on the percentage of pixels modelled. A four endmember model (crop, weed, soil and shadow endmembers) was used to map the WV-2 images using MESMA.

#### **4.4.1.10. MESMA unmixing and classification**

WV-2 images were unmixed into four fraction images comprising of soil, weed, crop and shade using Multiple Endmember Spectral Mixture Analysis (MESMA). This technique decomposed each pixel using different combinations of potential endmembers. A four- endmember model was used to unmix each pixel is an image. MESMA package (source code can be found at (<http://www.vipertools.org>) was run in ENVI/IDL to unmix the WV-2 images using a single date selected spectra per image. The following constraints were set during the classification; Non-shade fractions were constrained to between  $-6\%$  and  $106\%$ , a shadow of  $0.8\%$ , RMSE was set at  $2.5\%$  of the reflectance and the residuals were limited to  $2.5\%$  for more than seven contiguous bands. Over  $85\%$  of the pixels in all the images were modelled across all the fields. The selection of the number and type of spectra used in each spectral library and unmixing of the images were repeated till the resulting fraction images could allow an accurate estimate through a visual comparison with the WV-VI and the field photographs. The spectra were also refined when the percentage of classified pixels was as low as 60 for some images such as the September 29<sup>th</sup> and July 29<sup>th</sup> dates. New spectra were introduced in order to redefine the training endmembers for these images. To effectively classify the image, more weed endmembers were used as compared to crop endmembers because of the diversity in the weed species.

### **4.4.2. Simple Linear Spectral Mixture Analysis**

#### **4.4.2.1. Selection of the model**

Selecting endmembers involved identifying both the number and the type of endmembers and their corresponding spectral signatures. As discussed previously, comparative studies have been undertaken to determine the type of model that best fit the data for the spectral un-mixing algorithm. A linear model has reliably been used to un-mix the proportion of fraction cover in a pixel due to its robustness and simplicity in implementation as opposed to the complex non-linear/geometric models. Four endmembers (crop, weeds and soil and automatically added shadow endmember) models were applied per field and per image date.

#### **4.4.2.2. Endmember extraction and spectral matching**

Representative spectral were extracted automatically using the Sequential Maximum Angle Convex Cone (SMACC) algorithm. The procedure was carried out for the July 29<sup>th</sup>, September 29<sup>th</sup>, October 10<sup>th</sup>, October 18<sup>th</sup>, and November 1<sup>st</sup>, November 14<sup>th</sup> WV-2 multispectral images resulting into 4 endmembers representing 4 classes.

#### 4.4.2.3. Linear un-mixing

Spectral un-mixing was done to derive the proportions of each endmember for the SLSMA. The pixel abundances were computed by constrained linear spectral un-mixing. The abundances were constrained to be positive and were restricted to sum to 1. Simple linear spectral un-mixing yielded a series of abundance maps, which represented proportions of each of the endmembers present in each pixel of the input image. Linear un-mixing results also included a root-mean-square error (RMSE) image along with the abundance maps and the spectral signatures. This RMSE image was as a result of the difference between the observed pixel spectrum and the spectrum reconstructed from the calculated abundances. The RMSE error image was used to identify the poorly defined classes.

### 4.5. Endmember separability

The spectral separability between the weeds and the crop endmembers was evaluated using Jeffrey's Matusita distance (JM) (Swain et al., 1978) and the Transformed Divergence measures (TD) (Jensen 1996). Signature separability was calculated as the statistical difference between pairs of spectral endmembers. Crops and weed endmembers derived using MESMA (EAR and MASA-selected endmembers) and those of Simple Linear Unmixing were subjected to two quantitative tests for the separability analysis.

#### 4.5.1. Transformed divergence (TD)

Computing transformed divergence was done using the following equations; Source: (Swain et al., 1978).

$$D_{ij} = \frac{1}{2} \text{tr}((C_i - C_j)(C_i^{-1} - C_j^{-1})) + \frac{1}{2} \text{tr}((C_i^{-1} - C_j^{-1})(\mu_i - \mu_j)(\mu_i - \mu_j)^T) \quad \text{Eq. 7}$$

$$TD_{ij} = 2000 \left( 1 - \exp\left(\frac{-D_{ij}}{8}\right) \right) \quad \text{Eq. 8}$$

Where:  $i$  and  $j$ : are the endmembers being compared,  $C_i$ : is the covariance matrix of the endmember  $i$ ,  $\mu_i$  is the mean vector of the endmember  $i$ ,  $\text{tr}$  represents the trace function (matrix algebra) and  $T$  is the transposition function.

#### 4.5.2. Jeffries Matusita (JM).

$$\alpha = \frac{1}{8} (\mu_i - \mu_j)^T \left( \frac{C_i + C_j}{2} \right)^{-1} (\mu_i - \mu_j) + \frac{1}{2} \ln \left( \frac{|(C_i + C_j)/2|}{\sqrt{|C_i| \times |C_j|}} \right) \quad \text{Eq. 9}$$

$$JM_{ij} = \sqrt{2(1 - e^{-\alpha})} \quad \text{Eq. 10}$$

Where:  $i$  and  $j$  are two classes being compared,  $C_i$  is the covariance matrix,  $\mu_i$  is the mean vector,  $\ln$  is the natural logarithm function and  $|C_i|$  is the determinant of  $C_i$  (matrix algebra). Source: (Swain et al., 1978)

Flow chart of the methodology adopted

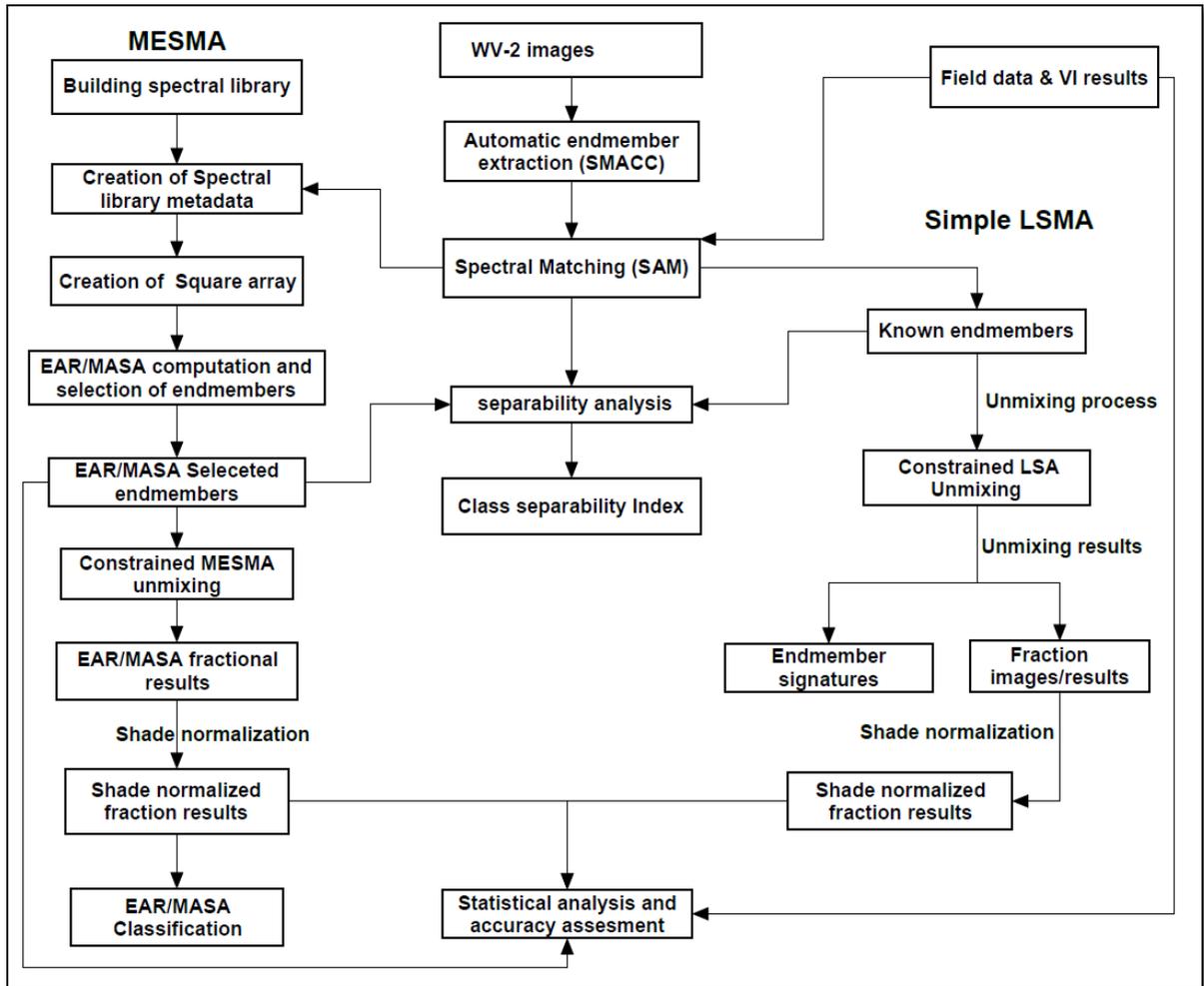


Figure 4.4: The flowchart showing the spectral mixture analysis

#### 4.6. Accuracy assessment

The accuracy assessment was done to compare the accuracy of the modelled weed fraction as compared with the reference fraction to quantitatively evaluate the model results. This was done using the coefficient of determination ( $R^2$ ), the root mean squared error (RMSE), and the systematic error (SE) applied in Wu & Yuan, (2007). These accuracy assessment measures were computed using the following equations. Source: (Wu and Yuan 2007)

$$RMSE = \sqrt{\frac{\sum_{i=1}^N (\hat{I}_i - I_i)^2}{N}} \quad \text{Eq. 11}$$

$$SE = \frac{\sum_{i=1}^N (\hat{I}_i - I_i)}{N} \quad \text{Eq. 12}$$

$$R^2 = \frac{\sum_{i=1}^N (\hat{I}_i - \bar{I})^2}{\sum_{i=1}^N (I_i - \bar{I})^2} \quad \text{Eq. 13}$$

Where  $\hat{I}_i$  is the modelled fractional value of weed measured at sample  $i$ .  $I_i$  is the reference weed fraction value, and  $\bar{I}$  is the mean weed fraction of the samples and  $N$  is the total number of samples. The RMSE is a measure of the overall estimation accuracy, and the SE is a measure of systematic errors such as overestimation or underestimation (Wu 2004). The coefficient of determination is a measure similarity.

## 5.0 RESULTS AND DISCUSSION

### 5.1. Results

#### 5.1.1. What is the relationship between the Fcover and vegetation indices?

Various spectral vegetation indices (VI) that are known to react to changes in vegetation vigour or variation in chlorophyll content were compiled from literature. The indices were calculated from reflectance measurements of cotton (27), millet (26) and maize (2). Seven vegetation indices were computed. All the indices were normalized to a range between 0 and 1 to facilitate comparisons. The mean vegetation index values and the standard deviation of all seven images are shown in Table 5.1.

Table 5.1: Mean of vegetation indices MSAVI2, MTVI2, NDVI, SARVI, TNDVI and WV-VI per image date and their standard deviations for the cotton (27), millet (26) and maize (2) fields.

Field	Date	Index													
		MSAVI2	STD	MTVI2	STD	NDVI	STD	RDVI	STD	SARVI	STD	TNDVI	STD	WV_VI	STD
F27	177	0.20	0.09	0.04	0.03	0.14	0.01	0.38	0.22	0.11	0.05	0.16	0.09	0.16	0.01
	210	0.55	0.06	0.45	0.03	0.49	0.11	0.58	0.26	0.39	0.05	0.50	0.06	0.54	0.10
	272	0.75	0.07	0.72	0.05	0.87	0.04	0.46	0.25	0.78	0.08	0.70	0.07	0.87	0.04
	283	0.74	0.05	0.69	0.03	0.82	0.04	0.59	0.16	0.79	0.03	0.79	0.05	0.86	0.04
	291	0.73	0.03	0.67	0.06	0.78	0.05	0.46	0.05	0.80	0.09	0.76	0.03	0.77	0.04
	305	0.67	0.03	0.59	0.07	0.68	0.06	0.41	0.04	0.67	0.02	0.63	0.07	0.67	0.05
	318	0.60	0.08	0.48	0.07	0.57	0.07	0.46	0.05	0.50	0.06	0.48	0.08	0.62	0.06
F26	177	0.31	0.03	0.13	0.04	0.23	0.05	0.21	0.03	0.17	0.02	0.39	0.04	0.22	0.02
	210	0.62	0.10	0.51	0.10	0.46	0.04	0.42	0.02	0.36	0.05	0.43	0.07	0.42	0.03
	272	0.76	0.05	0.69	0.06	0.62	0.07	0.39	0.01	0.70	0.04	0.45	0.03	0.63	0.06
	283	0.67	0.05	0.56	0.07	0.51	0.06	0.33	0.01	0.48	0.02	0.36	0.03	0.53	0.06
	291	0.54	0.02	0.42	0.01	0.46	0.07	0.34	0.02	0.35	0.02	0.31	0.01	0.48	0.06
	305	0.41	0.02	0.23	0.02	0.32	0.05	0.17	0.04	0.18	0.02	0.35	0.02	0.31	0.06
	318	0.38	0.05	0.17	0.02	0.28	0.05	0.16	0.01	0.13	0.01	0.18	0.06	0.33	0.05
F2	177	0.24	0.02	0.05	0.01	0.23	0.07	0.12	0.01	0.04	0.01	0.12	0.04	0.24	0.03
	210	0.59	0.02	0.44	0.04	0.43	0.02	0.34	0.02	0.34	0.02	0.17	0.02	0.43	0.04
	272	0.84	0.05	0.79	0.06	0.74	0.07	0.29	0.04	0.94	0.05	0.37	0.03	0.75	0.02
	283	0.73	0.06	0.63	0.07	0.59	0.08	0.43	0.04	0.64	0.03	0.75	0.02	0.61	0.07
	291	0.42	0.03	0.33	0.07	0.50	0.05	0.25	0.06	0.31	0.02	0.65	0.07	0.52	0.06
	305	0.34	0.03	0.22	0.01	0.37	0.02	0.20	0.06	0.18	0.05	0.24	0.02	0.39	0.02
	318	0.31	0.01	0.18	0.01	0.33	0.09	0.18	0.06	0.13	0.01	0.25	0.06	0.37	0.06

Table 5.1 shows the Mean VI values and standard deviation values extracted from the WV-2 image acquired at the near-nadir view. The VI values were extracted from the whole field and are associated with three different crops (Cotton, weed and maize crop fields). The vegetation indices indicate the total vegetation cover in the crop fields i.e. both weeds and the crop. Below are vegetation index profiles derived from the VI Table 5.1.

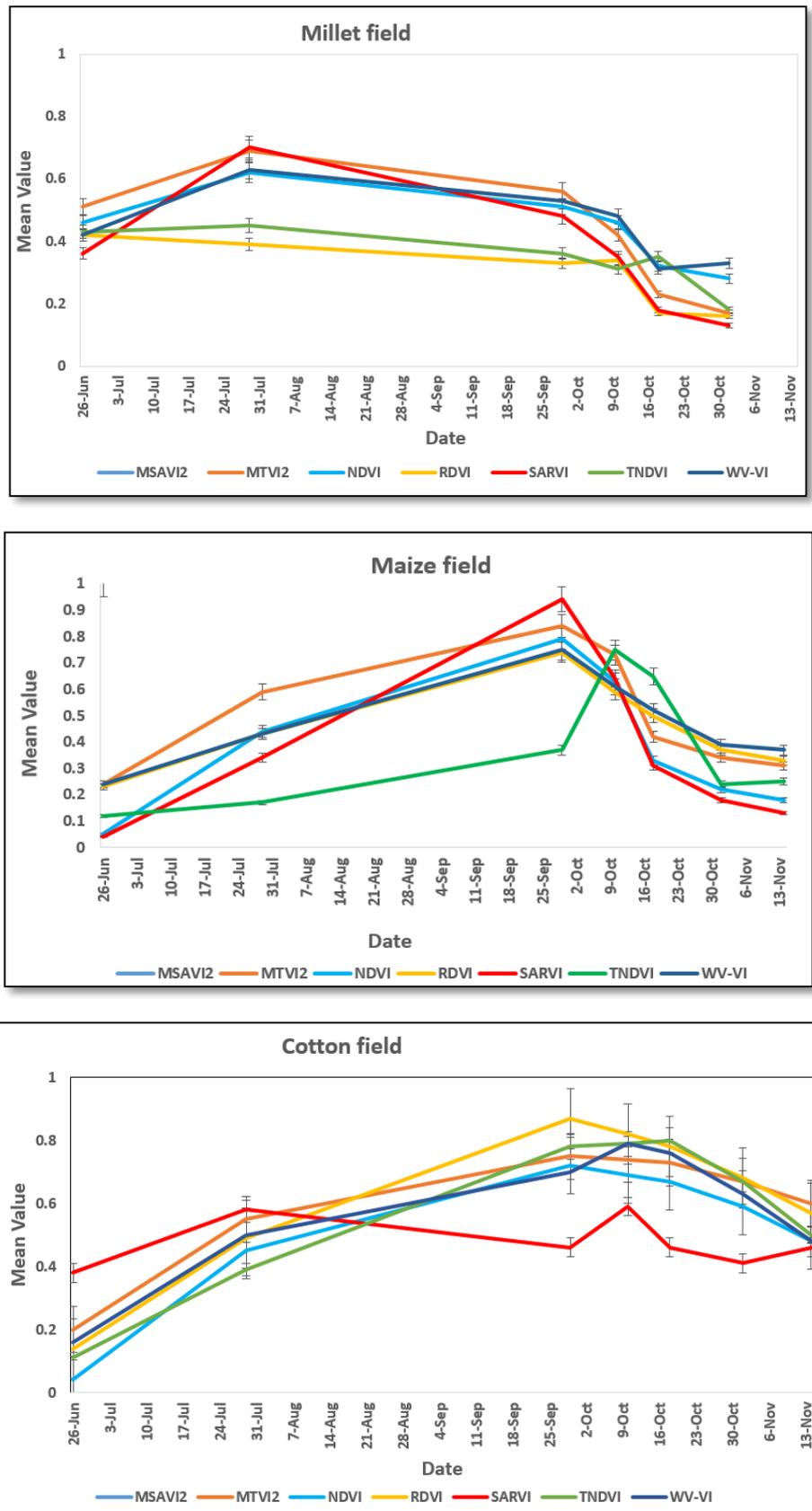


Figure 5.1: Mean of vegetation indices MSAVI2, MTVI2, NDVI, SARVI, TNDVI and WV-VI temporal profiles per image date and their standard deviations for the cotton (27), millet (26) and maize (2) fields.

The VIs profiles in figure 5.1 show low values in June due to lack of green cover during field preparations and sowing. The VI values increase rapidly from July to September except for the RDVI and the TNDVI in September. This is the time the maize and millet were expected to start developing the vegetative material. The maximum VI was reached on September 29<sup>th</sup> for all the three crop fields. On October 10<sup>th</sup>, the VI started to decrease gradually onwards to the following days. The change in vegetation development in the period on July 29<sup>th</sup> to September 29<sup>th</sup> was quite significant. In cotton, maize and millet fields, SARVI, MSAVI2 and SARVI respectively, had the highest VIs peaks. The high values of MSAVI2 index result from the fact that the constant value of 1.0 instead of 0.5 was included in the formula. A similar situation was observed for all the crops with very slight changes.

**5.1.2. Which VI is best suited for the crop field vegetation mapping using correlation analyses**

The establishment of a relationship between NDVI and vegetation fraction cover (Fcover) based on the change of NDVI during different temporal periods is important in crop and weed mapping. All the computed vegetation indices were correlated with the Fcover. A regression analysis using R software (R Core team 2013) was conducted using *gstat package* (Pebesma 2004) between the Fcover and vegetation indices. The model that had the highest correlation coefficients was selected for modelling the relationship between leaf chlorophyll content and vegetation indices. The results of the correlation analysis are listed in Table 5.2.

Table 5.2: The Relationship between Fcover and VIs in the three fields. The relationships exhibit significant correlations.

Index	VI_ Fcover correlation (r <sup>2</sup> )		
	September 29 <sup>th</sup>		
	Cotton	Millet	Maize
NDVI	0.75	0.75	0.79
MSAVI2	0.78	0.76	0.75
MTVI2	0.74	0.78	0.79
RDVI	0.79	0.73	0.76
TNDVI	0.76	0.78	0.79
SARVI	0.88	0.85	0.82
<b>WV-VI</b>	<b>0.92</b>	<b>0.89</b>	<b>0.84</b>

Figure 5.2 shows the correlation between some selected vegetation indices and the Fcover for field 27 (cotton field). A positive correlation is observed.

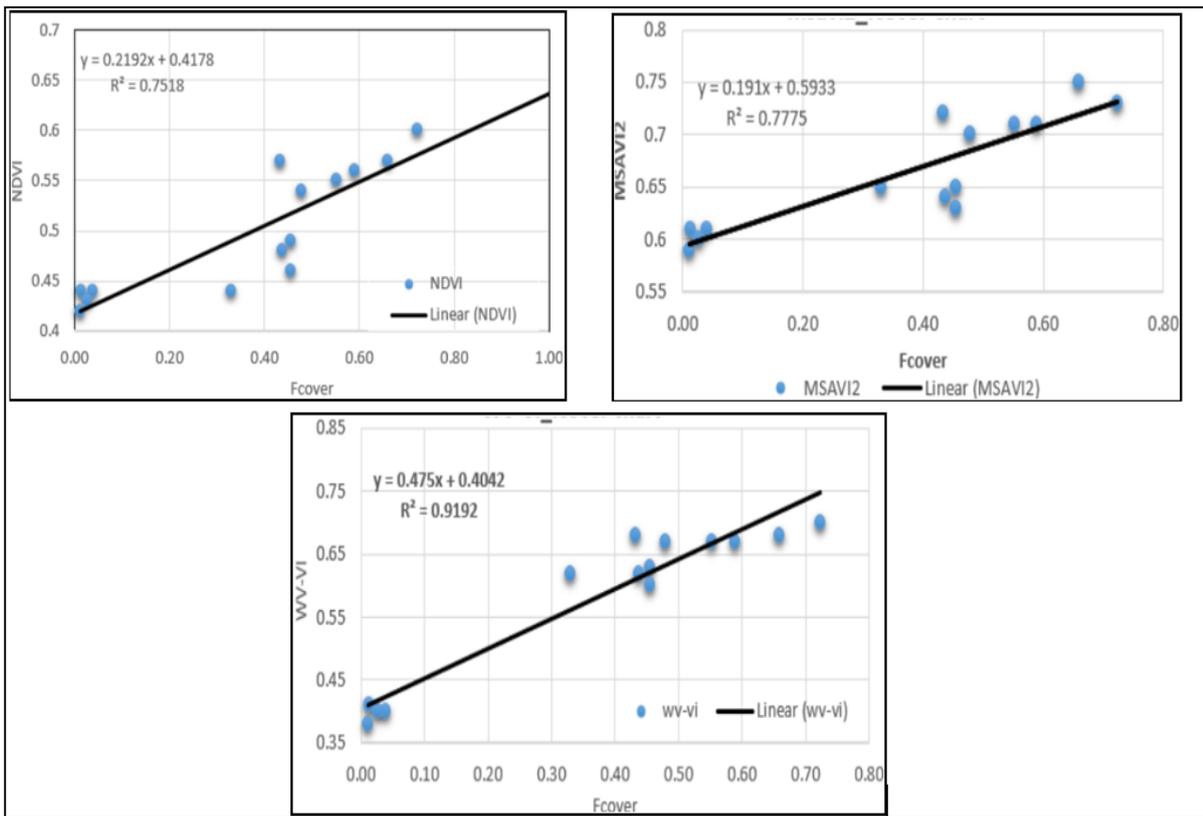


Figure 5.2: Scatter plots showing the relationship between the Fcover and vegetation indices (NDVI, MSAVI2 and WV-VI) for the cotton field in September 29th.

The indices for correlation with Fcover values were NDVI ( $r^2=0.75$ ), MSAVI2 ( $r^2=0.78$ ), MTVI2 ( $r^2=0.74$ ), RDVI ( $r^2=0.79$ ), TNDVI ( $r^2=0.76$ ), SARVI ( $r^2=0.88$ ) and WV-VI ( $r^2=0.92$ ) for the cotton field. The indices for correlation with Fcover values were NDVI ( $r^2=0.75$ ), MSAVI2 ( $r^2=0.76$ ), MTVI2 ( $r^2=0.78$ ), RDVI ( $r^2=0.73$ ), TNDVI ( $r^2=0.78$ ), SARVI ( $r^2=0.85$ ) and WV-VI ( $r^2=0.89$ ) for the millet field and the indices for correlation with Cover values were NDVI ( $r^2=0.80$ ), MSAVI2 ( $r^2=0.75$ ), MTVI2 ( $r^2=0.79$ ), RDVI ( $r^2=0.76$ ), TNDVI ( $r^2=0.79$ ) SARVI ( $r^2=0.82$ ) and WV-VI ( $r^2=0.84$ ) for the maize field.

Figure 5.3 shows the temporal profiles of the WV-VI for the Cotton, Millet and the maize fields.

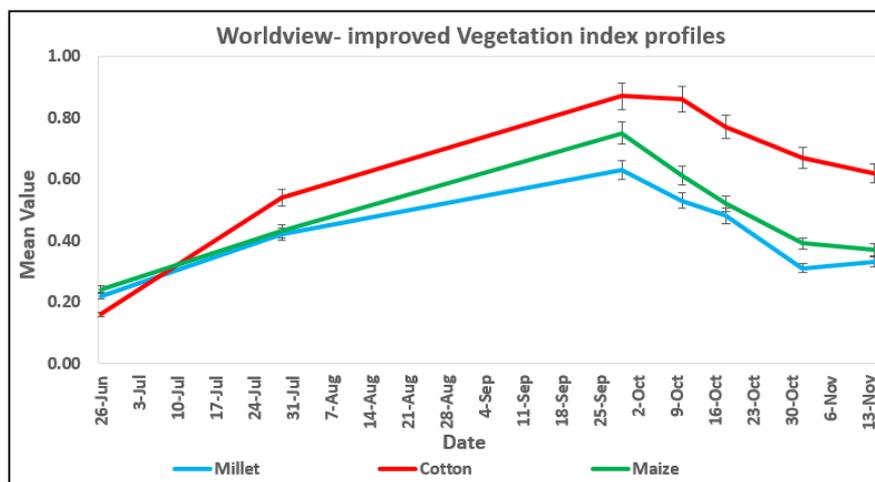


Figure 5.3: WV-VI profiles for the cotton, maize and millet crop fields.

The green vigor started to reduce sharply between September 29<sup>th</sup> and October 10 for the maize and millet fields and then gradually for the following dates. In cotton fields, the reduction in green vigor was gradual through. As shown in the above profiles, most indices had a similar shape except for the RDVI and the TNDVI which shows uniqueness in all the fields. The WV-VI for the three fields is visualized in Figures 5.3 - 5.6.

### 5.1.3. Vegetation index images

A threshold of  $<0.2$  was applied to the NDVI images to separate the soil from the vegetation as in (Peña et al., 2013)

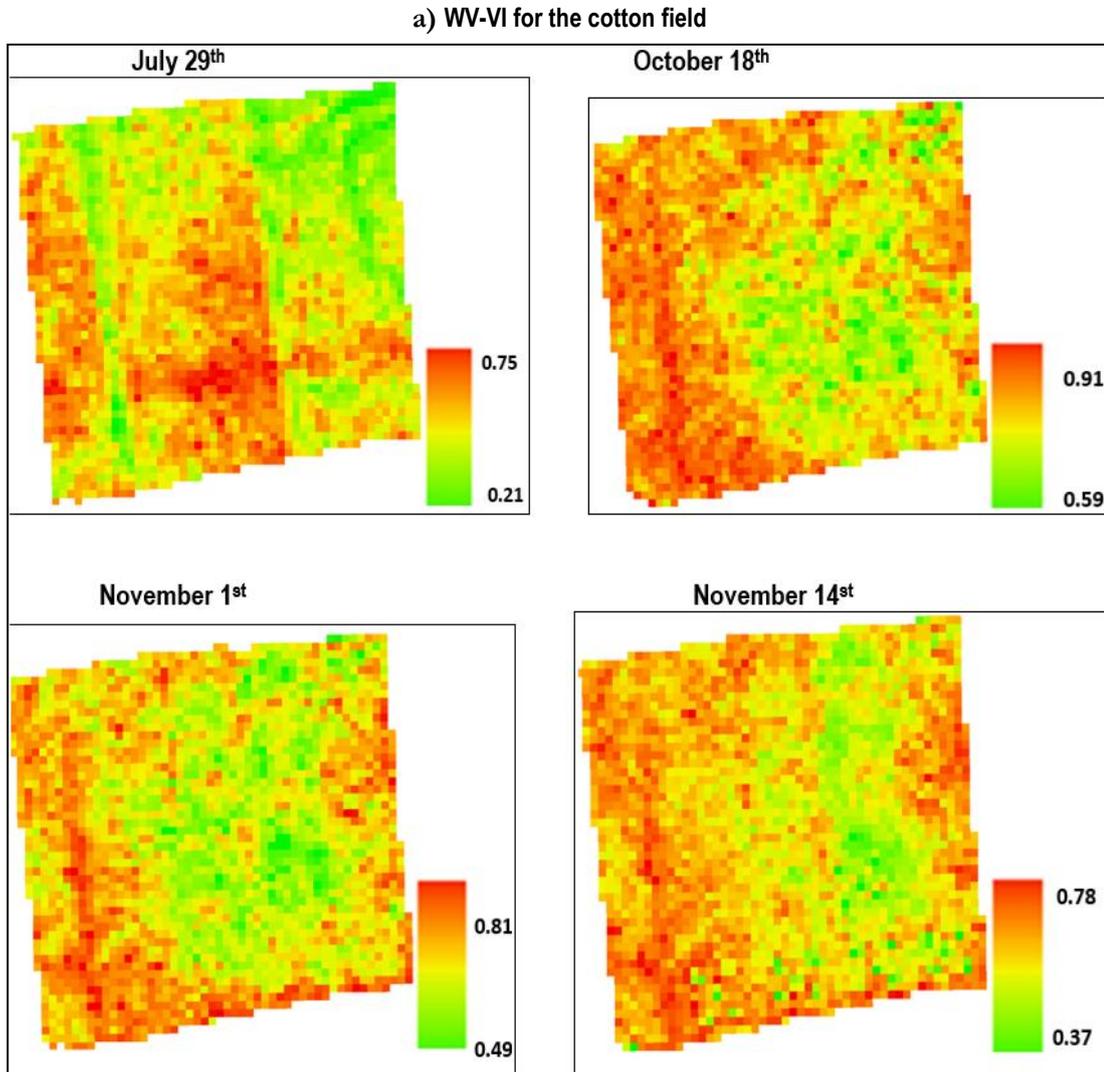


Figure 5.4: The WV-VI for the July 29<sup>th</sup>, October 18<sup>th</sup>, November 1<sup>st</sup> and November 14<sup>th</sup> for the cotton field

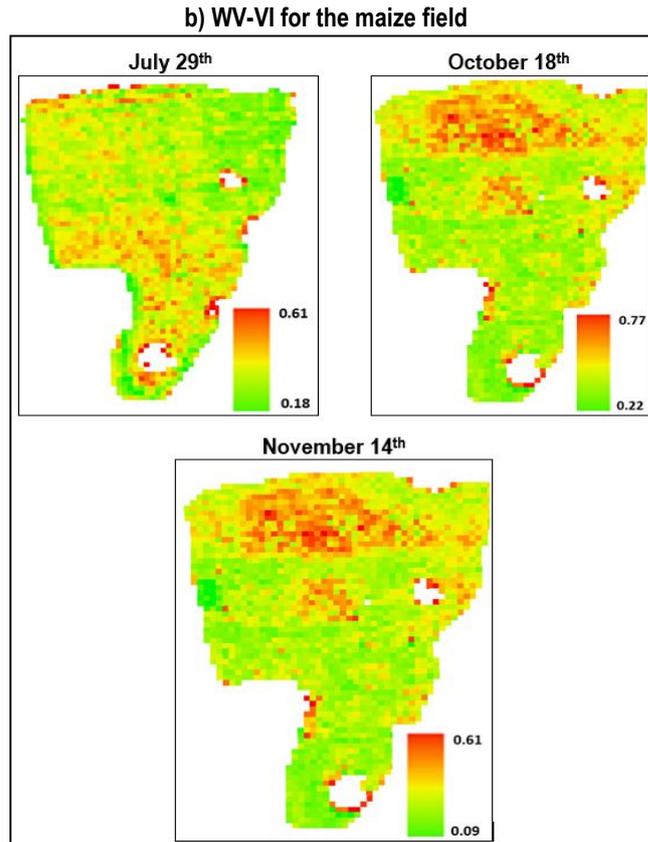


Figure 5.5: WV-VI for the July 29<sup>th</sup>, October 18<sup>th</sup> and November 14<sup>th</sup> for the maize field.

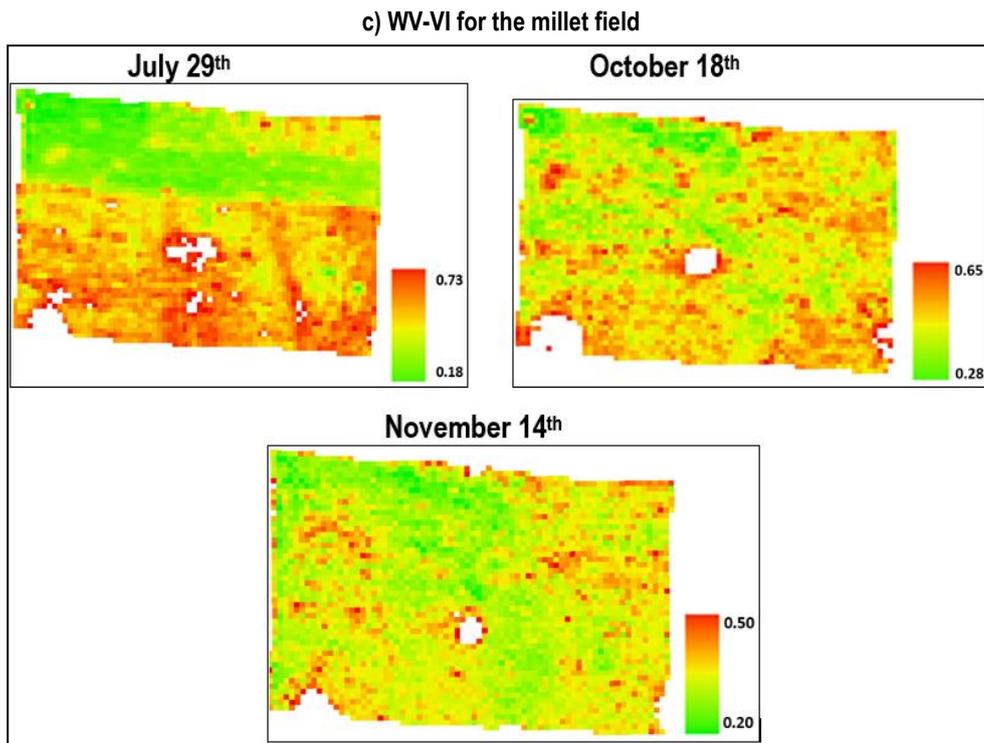


Figure 5.6: The WV-VI for the July 29<sup>th</sup>, October 18<sup>th</sup> and November 14<sup>th</sup> for the millet field

WV-VI, which yielded  $r^2=0.92$  in Field 27,  $r^2=0.84$  in Field 26 and  $r^2=0.84$  in Field 2. Similarly, across all fields, index WV-VI was more highly correlated with Fcover than any other therefore, was selected as the most suitable VI for crop field mapping. This WV-VI was closely followed by SARVI which is less sensitive to soil background effects and variations in Fcover. This WV-VI is selected for further comparison with the spectral un-mixing classification results. The differences in the Vis per image date are attributed to the changes in vegetation cover during the crop phenological cycle as shown in Photo 14.



Photo 14: Cotton field between July and November 2014 showing changes in temporal changes in vegetation cover (STARS&ICRISAT 2014)

## 5.2. Spectral mixture analysis

### 5.2.1. Results of Simple Linear Spectral Mixture Analysis (SLSMA)

One endmember per class (crop, weed, soil) was used to model the image and the results of the un-mixing shadow normalized results are as shown in Table 5.3;

Table 5.3: Shade Normalized simple linear un-mixing weed, crop and soil fractions

Cotton field				Millet Field				Maize field			
Date	Class	Fraction	Std	Date	Class	Fraction	Std	Date	Class	Fraction	Std
29-Jul	weed	0.06	0.06	29-Jul	weed	0.24	0.08	29-Jul	weed	0.14	0.02
	crop	0.57	0.07		crop	0.48	0.05		crop	0.50	0.04
	soil	0.36	0.06		soil	0.28	0.04		soil	0.36	0.03
29-Sep	weed	0.18	0.03	29-Sep	weed	0.19	0.08	29-Sep	weed	0.16	0.03
	crop	0.70	0.06		crop	0.42	0.04		crop	0.56	0.05
	soil	0.12	0.07		soil	0.39	0.06		soil	0.28	0.03
10-Oct	weed	0.21	0.09	10-Oct	weed	0.07	0.04	10-Oct	weed	0.09	0.04
	crop	0.62	0.09		crop	0.52	0.06		crop	0.58	0.05
	soil	0.17	0.05		soil	0.41	0.05		soil	0.33	0.02
18-Oct	weed	0.19	0.02	18-Oct	weed	0.13	0.01	18-Oct	weed	0.16	0.02
	crop	0.43	0.06		crop	0.43	0.04		crop	0.46	0.02
	soil	0.37	0.04		soil	0.54	0.06		soil	0.38	0.02
1-Nov	weed	0.28	0.05	1-Nov	weed	0.25	0.04	1-Nov	weed	0.19	0.03
	crop	0.29	0.06		crop	0.13	0.07		crop	0.27	0.01
	soil	0.43	0.05		soil	0.62	0.09		soil	0.54	0.02
14-Nov	weed	0.24	0.03	14-Nov	weed	0.22	0.03	14-Nov	weed	0.10	0.02
	crop	0.18	0.03		crop	0.12	0.07		crop	0.18	0.01
	soil	0.59	0.04		soil	0.66	0.06		soil	0.72	0.02

The model was constrained to sum to one and positivity endmember abundances. Table 5.3 shows the weed, crop and the soil abundances for the three study fields using simple linear spectral un-mixing. The following profiles in Figure 5.7 show the relationship between the weed and the crop fraction as observed in the three study fields.

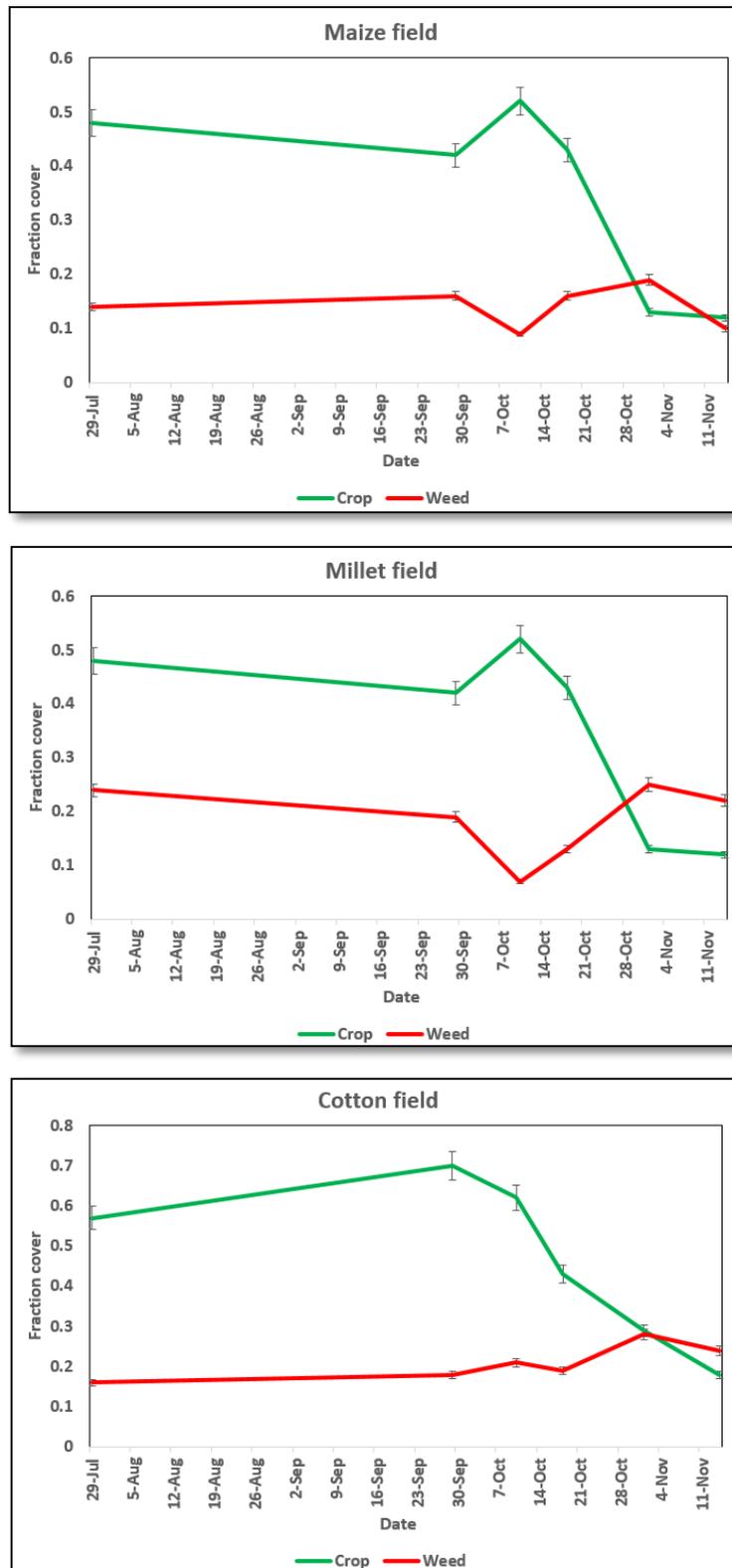


Figure 3: Relation between the crop and weed fractions modelled using SLSMA

In all the fields, a low weed fraction is modelled as compared to the crop fraction. The weed increases when the crop started to dry off on October 18<sup>th</sup> and the following dates. This can also be observed in the field data explained hereafter. The results obtained were compared with the MESMA fraction estimates.

### 5.3. Multiple Endmember Spectral Mixture Analysis (MESMA)

#### 5.3.1. Endmember extraction using SMACC

The results of this process were an abundance map, the regions of interest (this is the vector data that contains mapping information of each endmember) and the extracted endmember spectra. Figure 5.8 illustrates the development of the maximum relative error in relation to the number of endmembers;

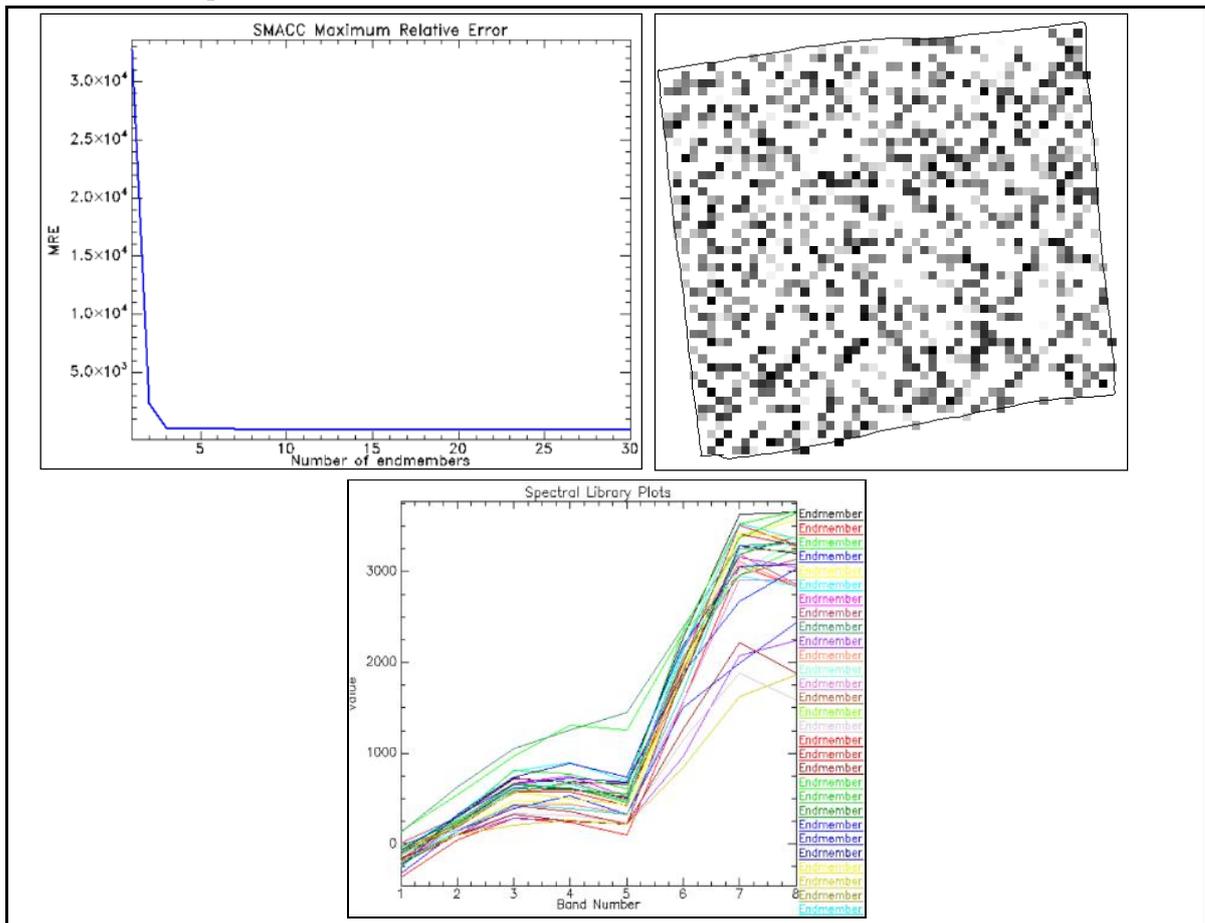
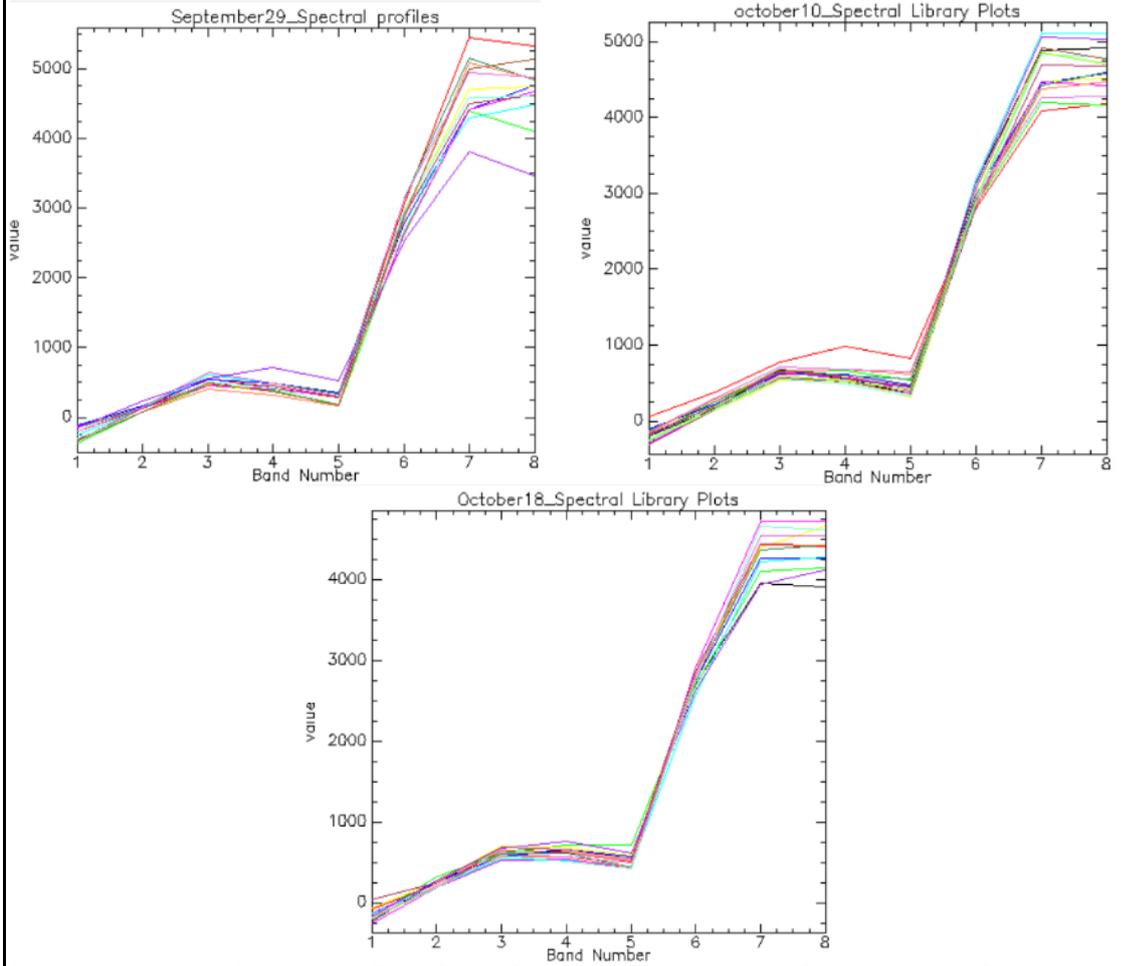


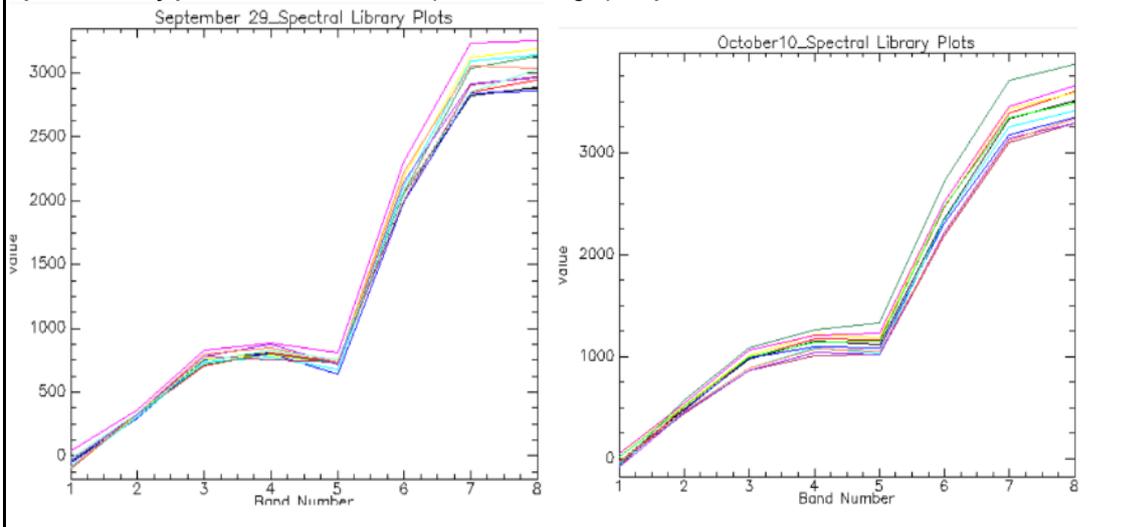
Figure 5.8: SMACC output: from left: A graph showing the behaviour of the maximum relative error (MRE) based on the number of endmembers in SMACC; (right) - Abundance map for Endmember 3 (September image) representing the cotton crop overlaid with the field boundary; spectral library plots (bottom).

The SMACC relative error tolerance was set at 0.01, relative error began to converge at endmember 7 markedly with additional endmembers. The number of endmembers extracted by SMACC algorithm was user defined. A maximum of 30 endmembers as termination condition was chosen this was to allow more endmembers per class required by MESMA un-mixing method in order to correct for within-class variation. When the set number of endmembers was reached, the algorithm stopped. The abundance image represents a crop endmember. The brighter value of the endmember signify a higher **percentage** and the darker values shows a lower **percentage** of the endmember. Figure 5.9 shows spectral library plots extracted using the SMACC technique.

Spectral library plots for the cotton field (from left to right): September 29<sup>th</sup>, October 10<sup>th</sup> and October 18<sup>th</sup>



Spectral library plots for the Millet field: (from left to right): September 29<sup>th</sup>, October 10<sup>th</sup> and October 18<sup>th</sup>



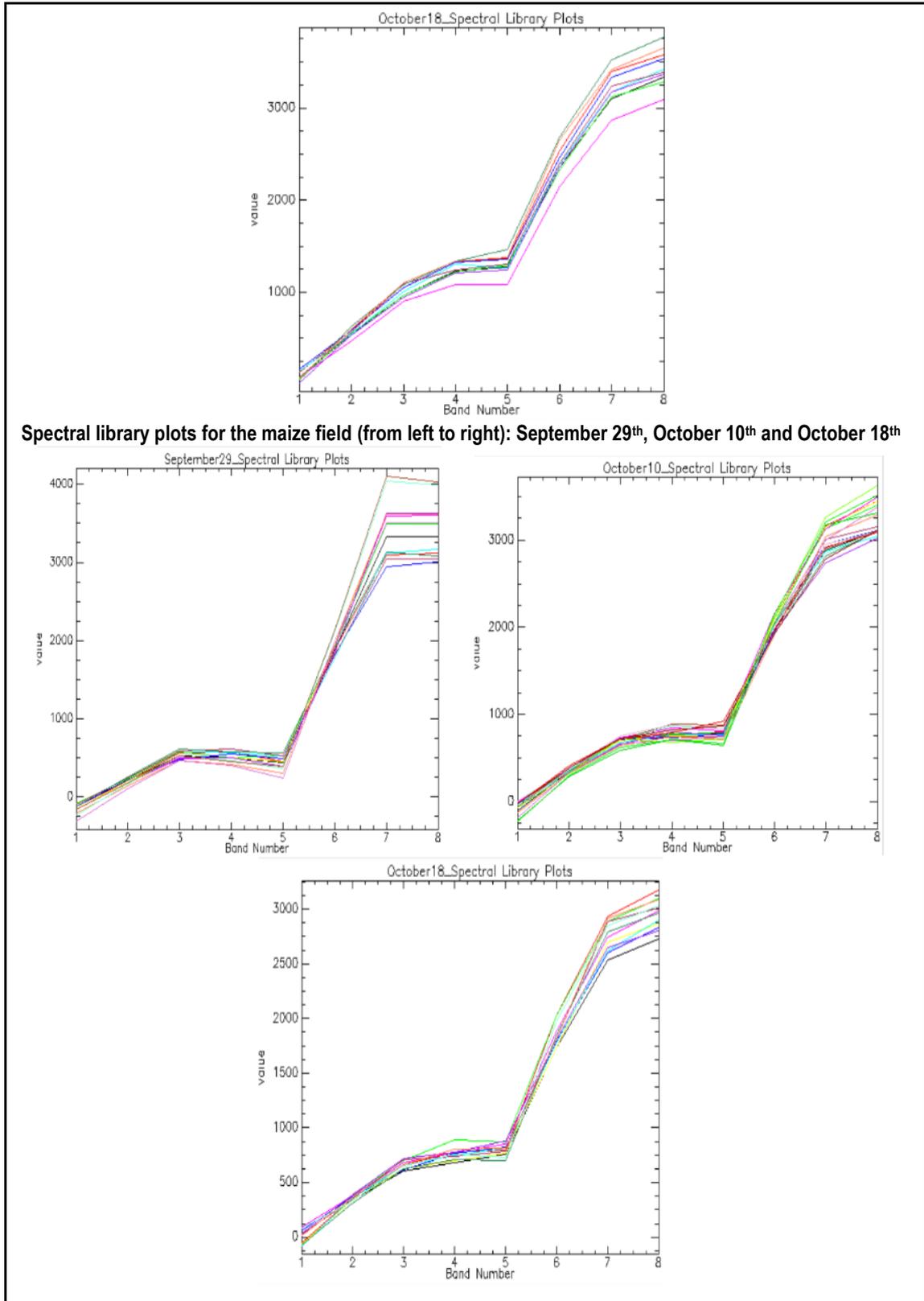


Figure 5.9: Spectral library plots for the cotton, millet and maize fields. (From left to right): September 29th, October 10th and October 18th extracted using SMACC.

Above profiles are representative reflectance spectra derived from the WV-2 images using the SMACC algorithm. The signatures show the pure spectral features found on the field. The endmember spectra show some uniqueness especially in infrared bands for all the dates. The shape of the profiles changes with a

change in time and the crop type. Figure 5.10 shows reference spectral profiles that were also derived from the reference data and were used for the identification of the automatically generated spectra using spectral matching.

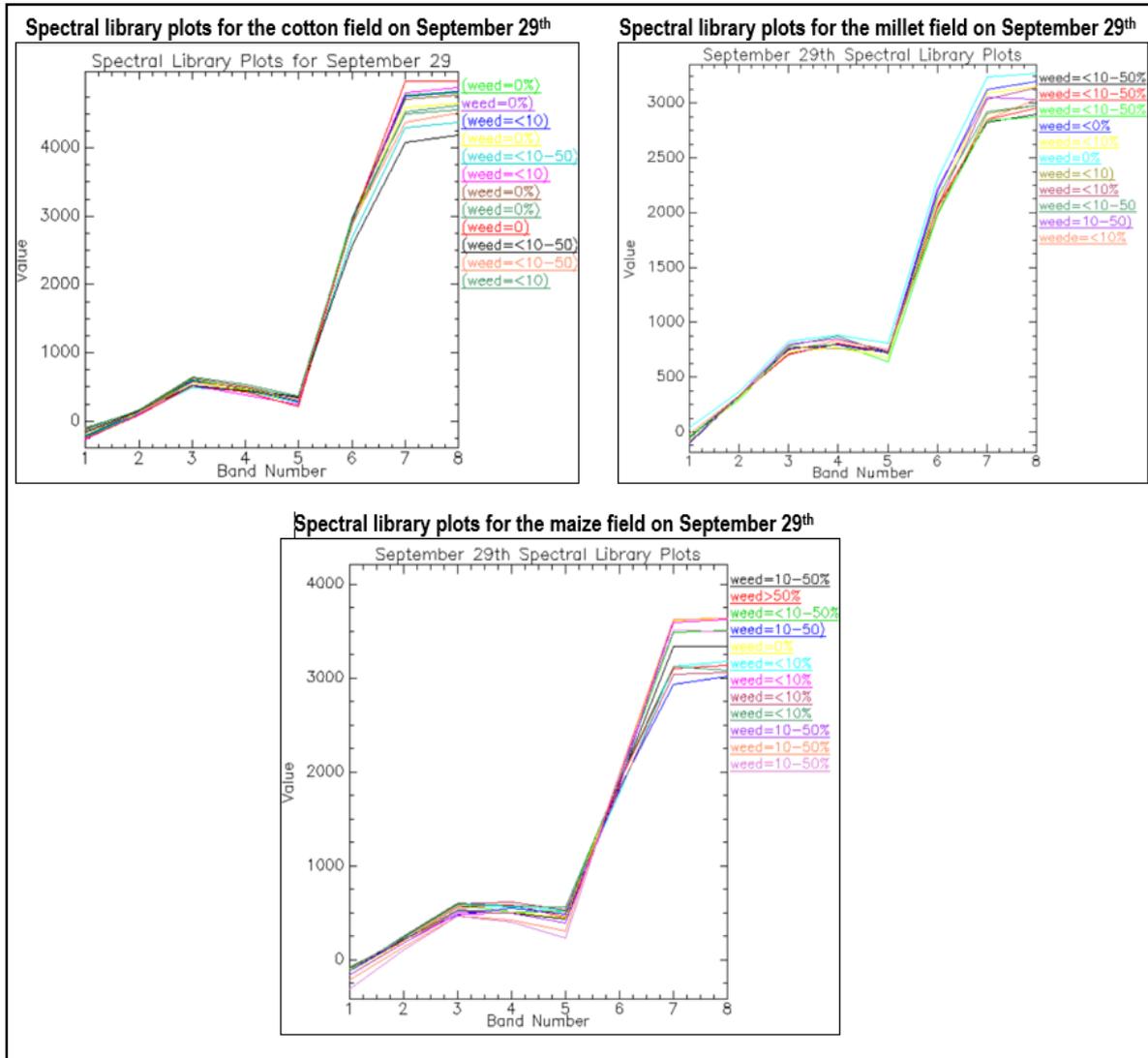


Figure 5.10: The reference spectral libraries for the cotton millet and maize fields showing the spectra representing different weed infestation levels

### 5.3.2. Creation of the metadata files for the unknown endmembers

This metadata file created for the identified spectra. This file was stored in a comma separated value file with the first column indicating the name of each spectrum and the associated x-y coordinates of the pixel as shown in Table 5.4.

Table 5.4: Metadata file for spectral library for the cotton field on September 29<sup>th</sup>

Name	class
Endmember1_X4_Y24	crop
Endmember2_X28_Y2	soil
Endmember3_X21_Y19	weed
Endmember4_X20_Y29	crop
Endmember5_X21_Y17	weed
Endmember6_X2_Y15	crop
Endmember7_X13_Y21	weed
Endmember8_X31_Y26	soil
Endmember9_X18_Y3	weed
Endmember10_X5_Y18	crop
Endmember11_X28_Y1	crop
Endmember12_X9_Y29	weed
Endmember13_X21_Y18	crop
Endmember14_X8_Y24	weed
Endmember15_X4_Y25	crop

### 5.3.3. Square array results

The spectral angle image could be differentiated from the RMSE in that, the spectral angle was symmetrical along the diagonal, signifying that the spectral angle was the same between two spectra. Figure 5.11 shows the square array bands for the cotton field. The diagonal represents each spectrum modelling itself therefore, its value is zero. Columns and rows store the model number, corresponding to one column and row for each spectrum in the library. Each pixel corresponds to a column spectrum used to un-mix a row spectrum. The EM fraction is the non-shade endmember. Usually, SMA fractions are between 0 and 100 %, and the RMSE is calculated as the difference between the spectra of the brightness and the darker spectrum (chapter 3). The shade fraction was computed as 1 minus the EM fraction. The model was partially constrained and was within the RMSE constraint.

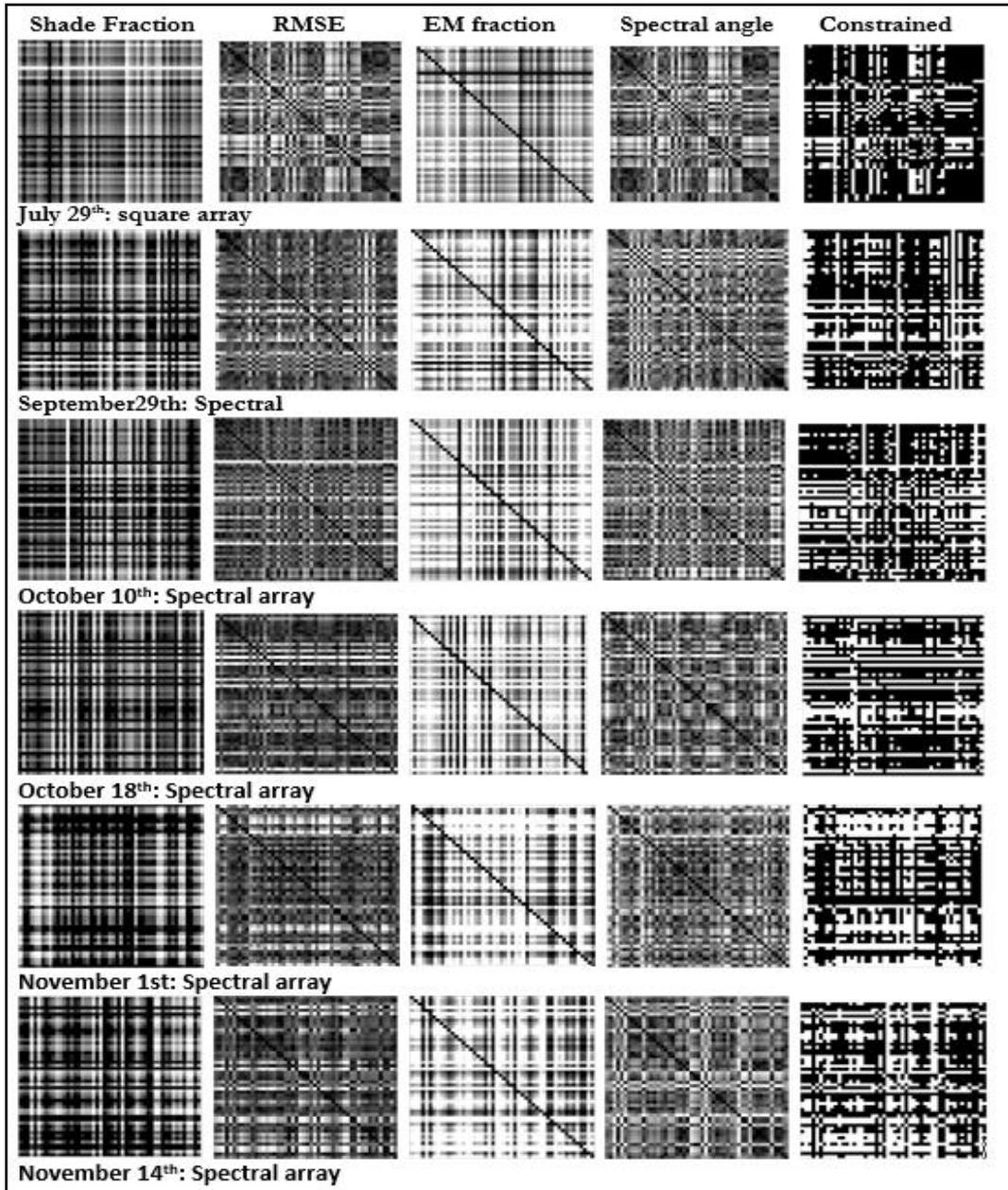


Figure 5.11: The partially constrained square array bands (from left to right): Shade fraction, RMSE band, EM fraction band, spectral angle band, and the Constraint-codes for all the image dates. (Table 5.5 below shows the mean values for the cotton field for the square array.

Table 5.5: Mean values for cotton field square array per image date

Band	Mean values					
	29-Jul	29-Sep	10-Oct	18-Oct	1-Nov	14-Nov
RMSE	0.02	0.01	0.01	0.01	0.02	0.02
Spectral angle	0.09	0.06	0.05	0.06	0.09	0.09
EM- fraction	0.97	0.97	0.98	0.97	0.93	0.93
shade-fraction	0.01	0.02	0.01	0.02	0.06	0.05
constrained	0.85	0.78	0.81	0.68	0.74	0.69

### 5.3.4. Selection of the optimal endmembers

The result of the Spectral array creation process was used for the computation of the EAR (RMSE) and MASA (spectral angle) error metrics. The endmembers with the lowest spectral angle and the lowest RMSE for were selected for the MESMA un-mixing process. The endmembers selected from the single-date spectra to classify the single date image for the maize field (Field 2) are as shown in Table 5.6.

Table 5.6: The endmember selection by date for the cotton field.

EM-selection method	Class	Date					
		29-Jul	29-Sep	10-Oct	18-Oct	1-Nov	14-Nov
		Number of endmembers selected per date					
<b>MASA</b>	Crop	5	4	6	5	4	4
	weed	7	8	7	6	4	4
	Soil	4	3	3	4	5	6
<b>EAR</b>	Crop	4	4	5	4	4	4
	Weed	5	5	5	4	4	4
	Soil	4	4	4	5	4	5

A higher number of endmembers was selected using the MASA endmember selection technique. Moreover, more weed endmembers were selected by both methods as compared to the crop and soil classes. This was also observed in the other fields.

### 5.3.5. What is the minimum class separability for the recognition of weed and the crop?

The class separability between weed and crop endmembers was evaluated using Transformed Divergence (TD) and Jefferies-Matusita Distance (JM). The analysis of spectral separability using the TD and JM measures showed a relatively similar pattern as observed in the Table 5.7 and 5.8 respectively, between weeds and crop endmembers. The seperability analysis was done in all the three fields and all image dates. The results shows that during the early crop growth stages of leave development, formation of side shoots, and stem elongation in July through September and the late the crop development stage during ripening and senescence (November), all the three crops have a better spectral separability as compared to the Middle growth stage (October).

When analyzing the numerical *TD* values (Table 5.7) we can conclude that the separability values of the MESMA representative spectra are good enough and the spectra are separable. However for the simple linear un-mixing, the TD separability indices were relatively lower as observed visually in the figure 5.12-5.13 for TD and 5.14 for JM respectively. The best average separability indices for the TD and JM values for each date are shown. Class Separability values greater than 1850 for both the TD and JM were obtained for the EAR and MASA for all the fields except for the October 10<sup>th</sup> in the cotton field. The values for the JM distance for the data set (Table 5.8) are higher than those of JM across all the fields and image dates.

Table 5.7: TD separability indices for the three fields using representative endmembers selected by various techniques. See Figure 5.11 for graphical representation.

Date	Measure	Millet Field			Maize field			Cotton field		
		MASA EM	EAR EM	SLSMA EM	MASA EM	EAR EM	SLSMA EM	MASA EM	EAR EM	SLSMA EM
29-Jul	TD	1835	1823	1724	1860	1855	1743	1845	1818	1724
29-Sep	TD	1883	1876	1762	1929	1917	1826	1895	1884	1768
10-Oct	TD	1817	1811	1681	1858	1835	1763	1820	1816	1712
18-Oct	TD	1834	1822	1724	1864	1842	1787	1837	1823	1764
1-Nov	TD	1913	1893	1797	1945	1940	1855	1912	1904	1824
14-Nov	TD	1981	1957	1849	1977	1973	1897	1949	1939	1852

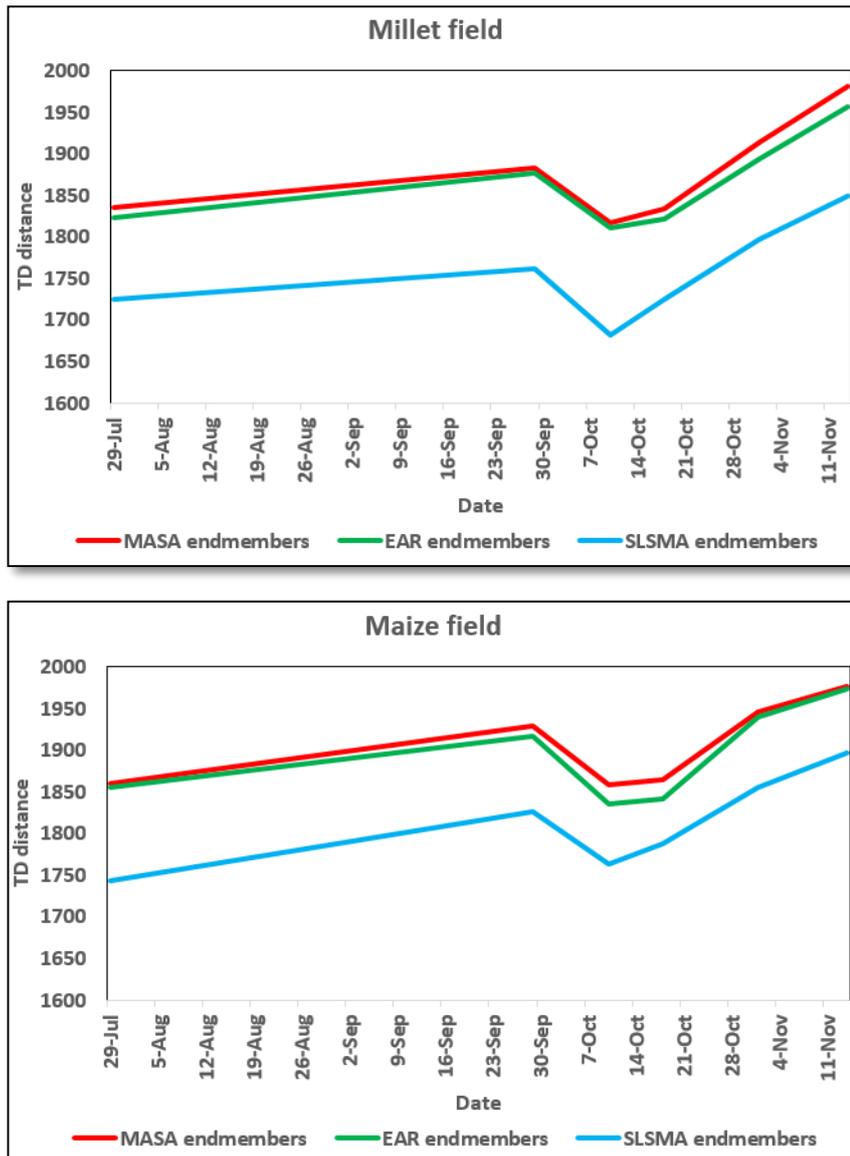


Figure 5.12: Graphical representation of TD separability indices between the 3 endmember selection methods per date for the millet and maize

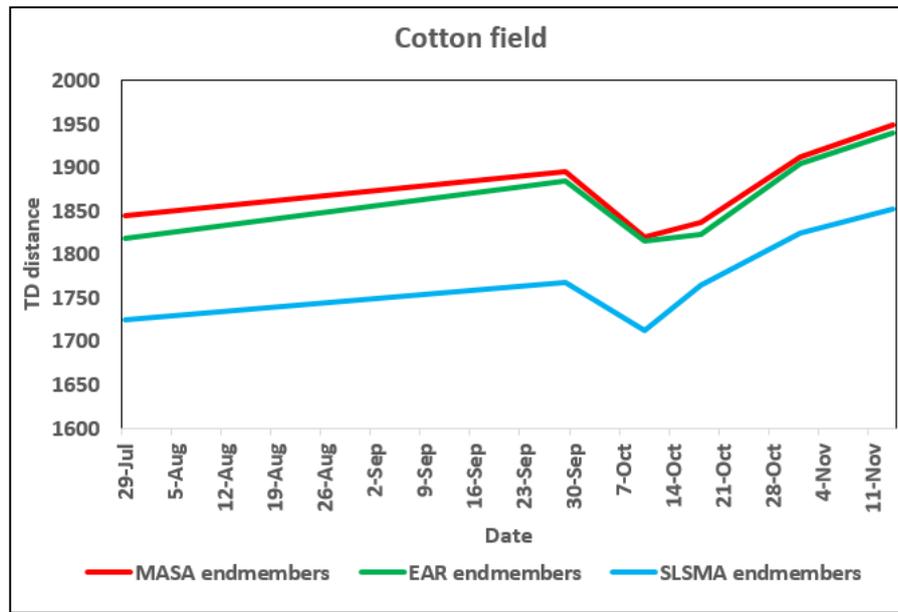


Figure 5.13: Graphical representation of TD separability indices between the 3 endmember selection methods per date for the cotton field.

Table 5.8: The JM separability indices for the three fields using representative endmembers selected by various techniques. See Figure 5.13 for graphical representation

Date	Measure	Millet Field			Maize field			Cotton field		
		MASA EMs	EAR EMs	SLSMA EMs	MASA EMs	EAR EMs	SLSMA EMs	MASA EMs	EAR EMs	SLSMA EMs
29-Jul	JM	1975	1953	1774	1942	1913	1774	1872	1835	1707
29-Sep	JM	1953	1944	1757	1914	1896	1792	1896	1873	1772
10-Oct	JM	1849	1819	1641	1817	1806	1715	1812	1798	1701
18-Oct	JM	1863	1852	1650	1862	1851	1741	1823	1805	1715
1-Nov	JM	1969	1959	1797	1980	1945	1845	1898	1872	1770
14-Nov	JM	1941	1927	1749	1947	1936	1834	1890	1874	1745

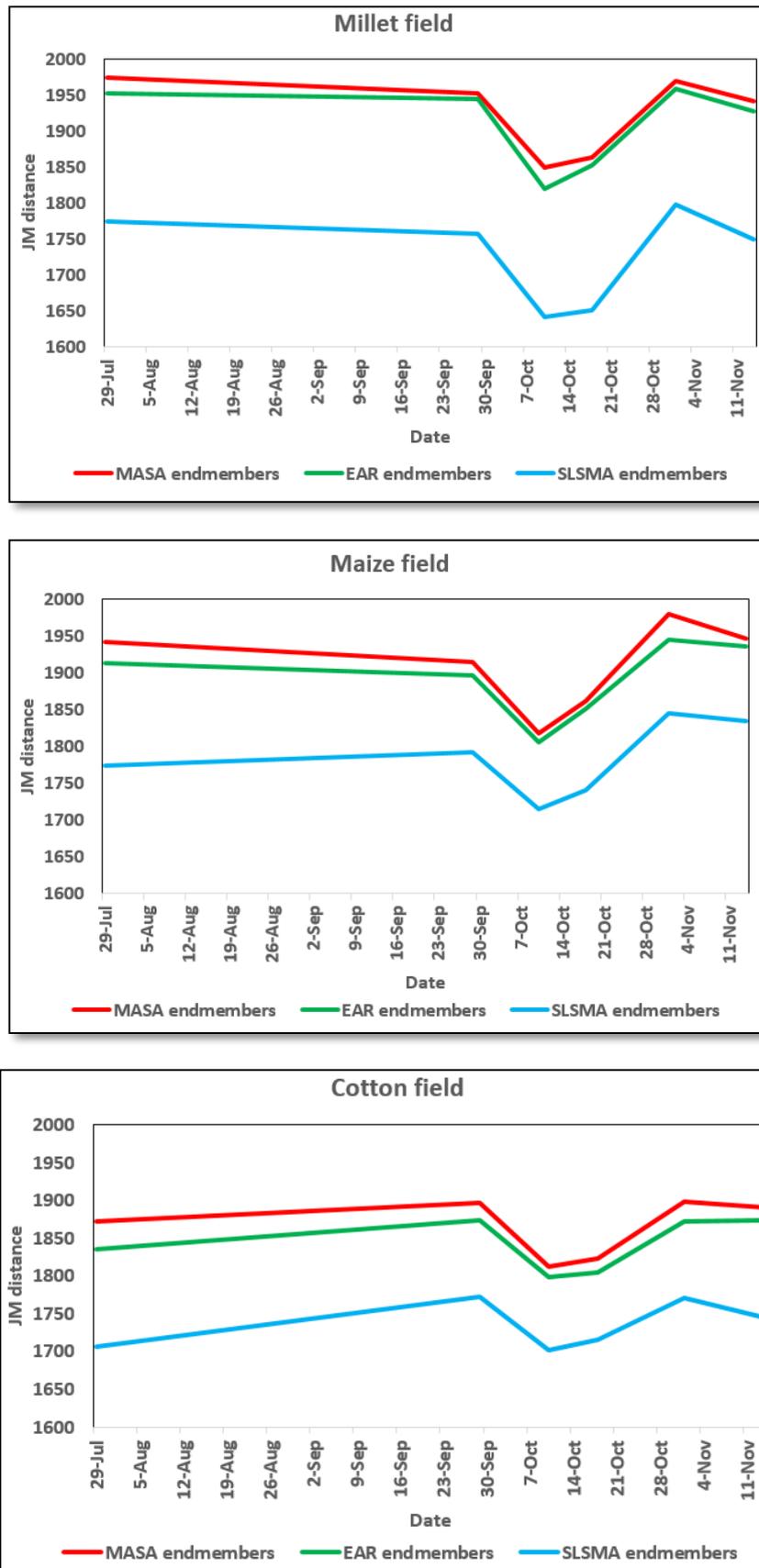


Figure 5.14: Graphical representation of JM separability indices between the 3 endmember selection methods per date for the millet, maize and cotton field.

Highly separable signatures signified better training spectra for the classification and vice versa. The MASA endmembers separability indices for both the separability techniques showed a higher index than the EAR endmembers separability indices. For simple linear spectral unmixing, the separability was very low which indicated that there was much overlap between the weed and the crop spectra. The endmember separability computed using SLSMA indicated a similar temporal pattern to that of EAR/MASA with higher values during early and late stages of the crop development and lower in the mid- growth stages.

#### 5.4. MESMA unmixing and the classification results

The selected spectra with the lowest EAR /MASA were grouped into different spectral libraries allowing us to un-mix various models of four endmembers (weed, crop, soil and shade). Using the defined spectral libraries, above 85 % of all the images met the 0.025 RMSE criterion. A three endmember model (crop, weed and shadow) was first used for the un-mixing but almost 40 % of all the images was left un-modelled. Upon the addition of a fourth endmember (soil), the percentage of the modelled pixels increased up to 85 % and above in all the images. Fraction images were constructed using the selected minimum EAR/MASA endmembers. The modelled fractions were normalized by their corresponding shade fraction. Shade normalization divided each non-shade fraction by the sum of all non-shade fractions producing uniform normalized fractions of 100 %. The resulting fraction images and values were later used to investigate the spatial pattern and distribution of the weed class as shown in Figures 5.15 - 5.18.

##### Weed fraction maps: cotton field

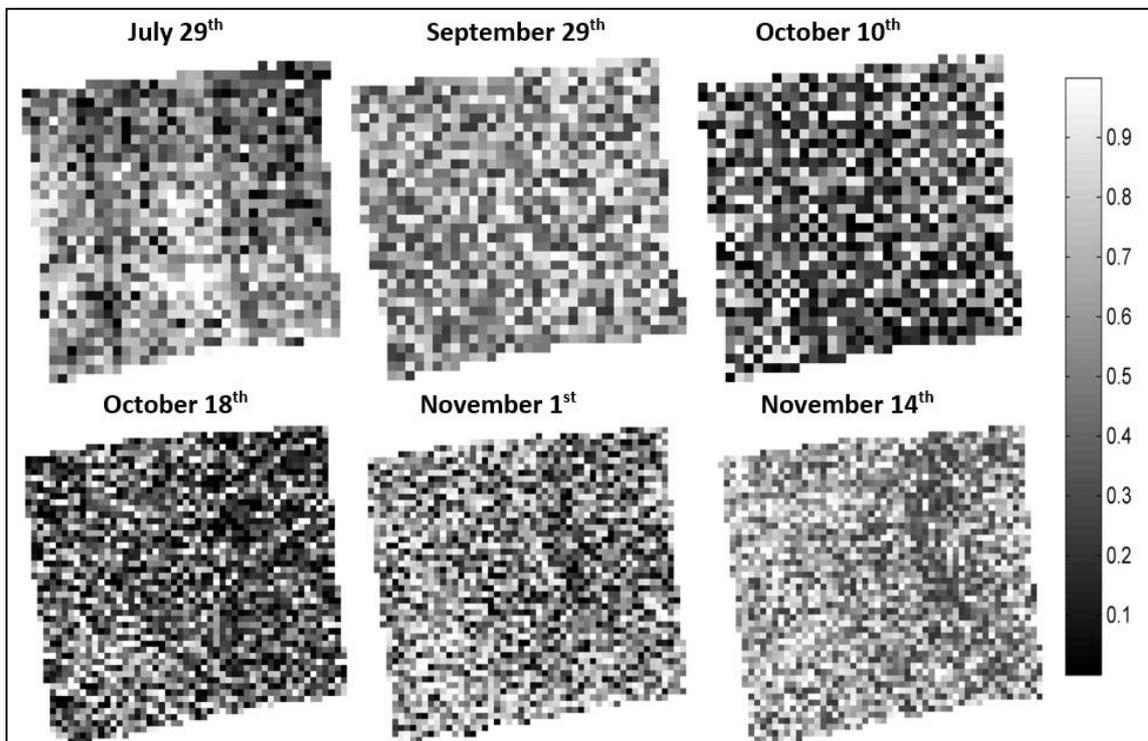


Figure 5.15: Weed fraction images per date for the cotton field modelled using EAR endmembers

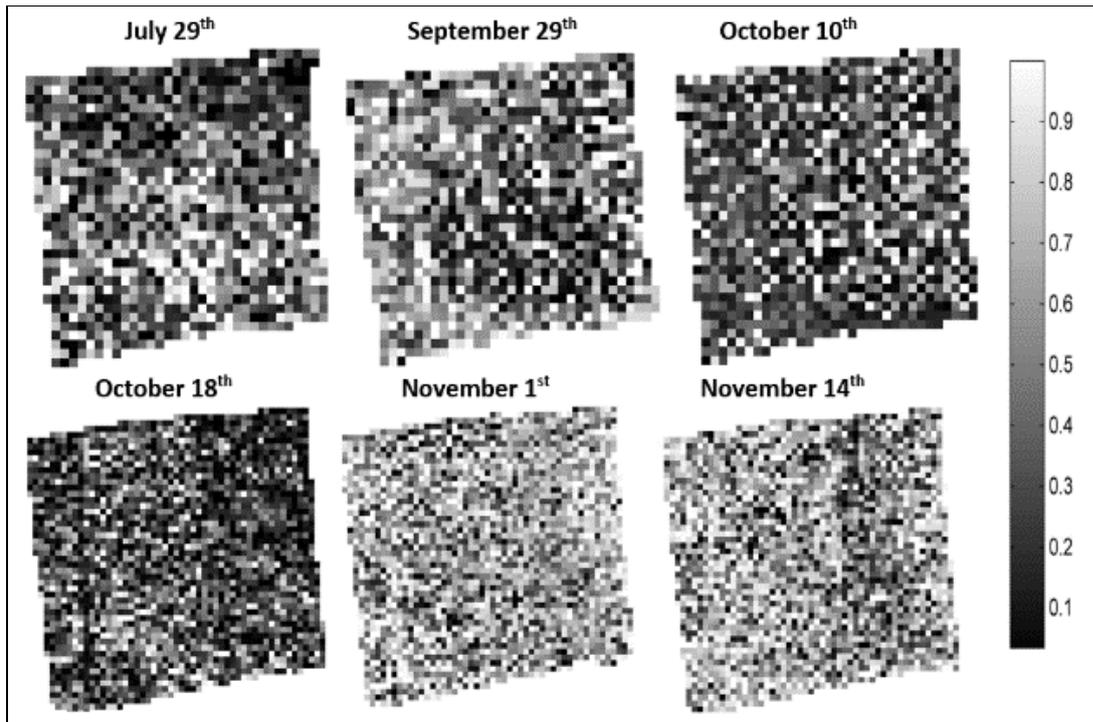


Figure 5.16: Weed fraction images per date for the cotton field modelled using MASA endmembers

The weed fraction in each pixel is represented by a grey value. The brighter values represent the areas with a high proportion of weed while the darker values signify areas with lower weed proportion. The shade normalized EAR endmembers modelled results for the cotton field shown in Figure 5.15 indicate that in July 29<sup>th</sup> weed proportions were high at the middle of the field. On September 29<sup>th</sup>, the weeds were more evenly spread in the field similarly to October though there was a slight reduction weed proportion on October 10<sup>th</sup>. The weed increased gradually through October 18<sup>th</sup> onwards. There is no suitable field data for the October and July images, however, the field data shows increased weed fraction in September and November and also based on the crop phenological cycle of the cotton crop. This presumably could be attributed to the drying up of the crop paving the way for the weeds to grow. This pattern is also observed in MASA endmembers estimated weed fraction.

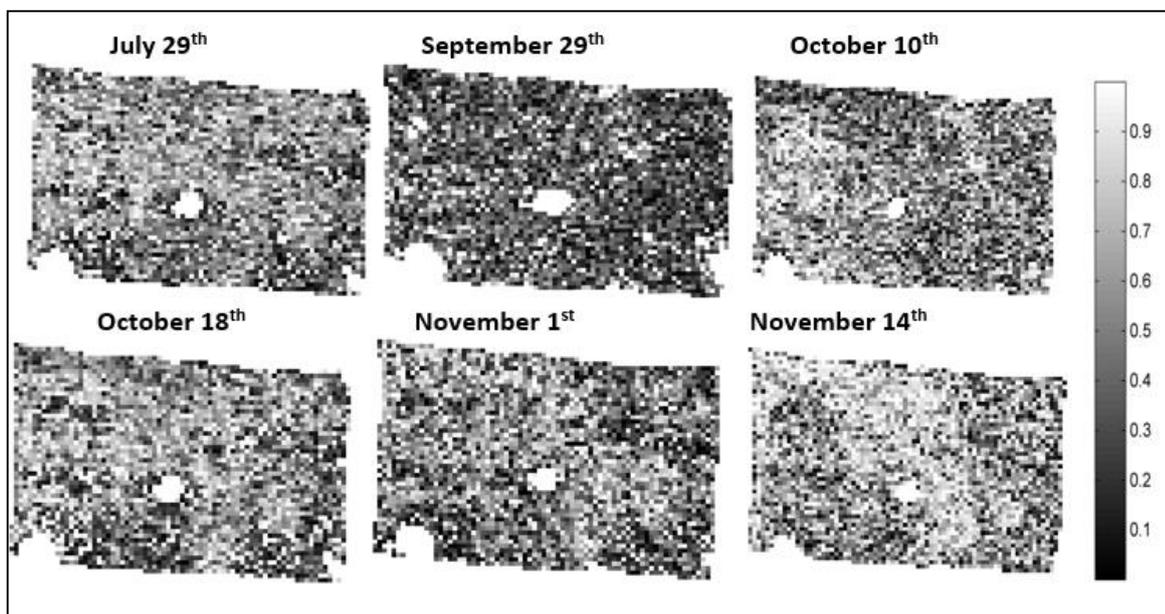


Figure 5.17: Weed fraction images per date for the millet field modelled using MASA endmembers

The shade normalized EAR-endmember estimated weed fractions for the millet field are not included in Figure 5.17 but are include in Table 5.9. On July 29<sup>th</sup>, there was a high weed proportion and October 10<sup>th</sup> has reduced weed proportion. Weeding data for this field were not available, however, the reduced weed proportion was observed on September 29<sup>th</sup>. Presumably, this could be attributed to other forms of weeding such as the use of herbicides or as a result of the canopy closure thereby suppressing the growth of weeds. The weeds increased gradually on October 18<sup>th</sup> through November 1<sup>st</sup> and November 14<sup>th</sup>. This weed increase could presumably be attributed to the drying up of the crop paving the way for the weeds to grow. This also applied to the MASA weed fractions but with slight changes attributed to spectral modelling where different endmembers are selected.

**Weed fraction maps: Maize**

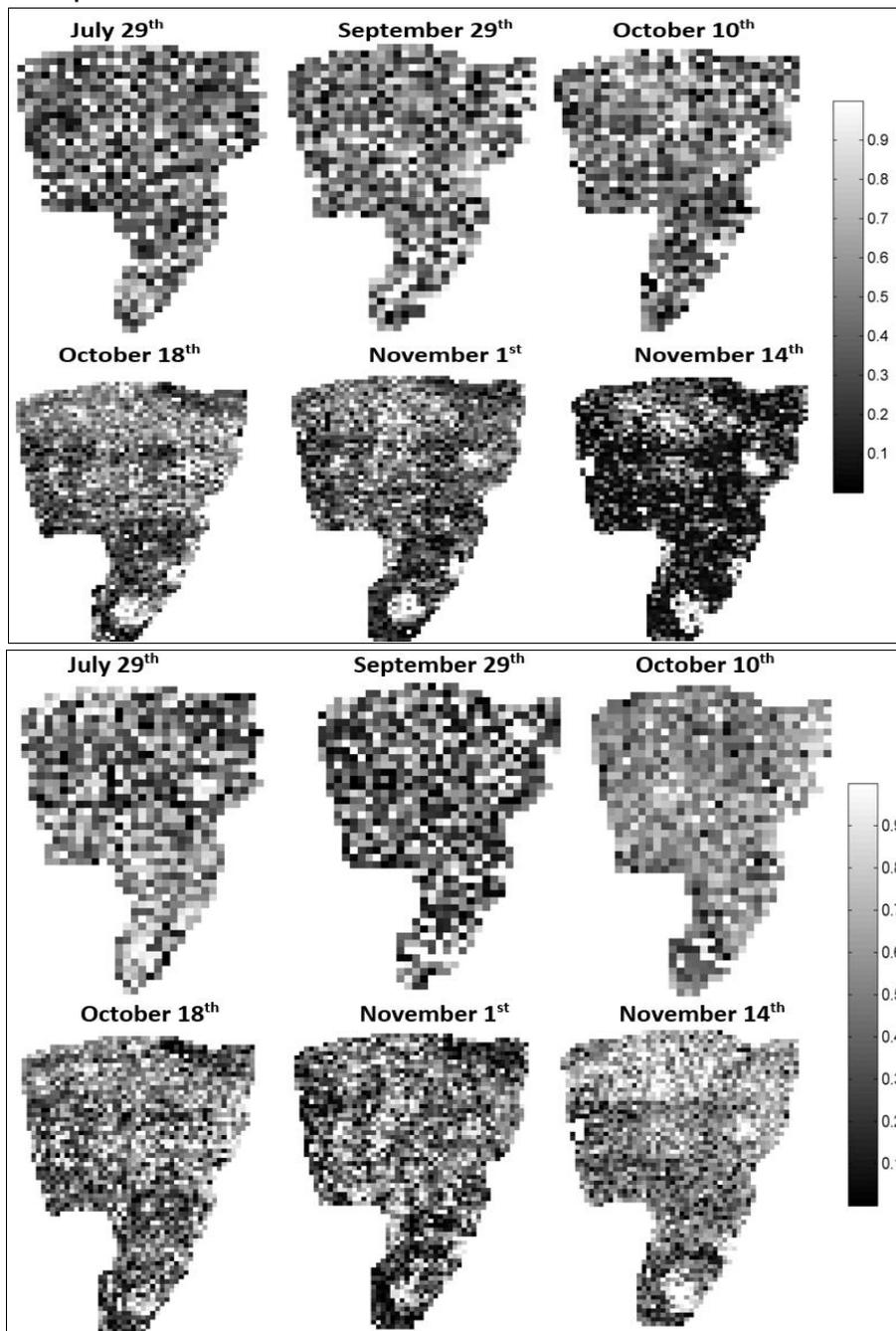


Figure 5.18: Weed Fraction images per date for the maize field modelled using EAR endmembers (top image) and MASA endmembers (bottom image)

The shade normalized EAR endmember estimated weed fractions for the maize field are shown in figure 5.18. The amount of weed fraction in each pixel is represented by a grey value. The brighter values represent the areas with high weed proportion while the darker values signify areas with lower weed proportion. Lower fractions of the weed class were observed in the November 14<sup>th</sup> image. This could be attributed to crops and weeds drying up especially for the weeds that depend on the crop for development. Or due to a dry period leading to reduced fractions for the shallow-rooted weeds. Similarly, the shade normalized MASA endmember estimated weed fraction, increased weed proportion was observed on July 29<sup>th</sup> and September 29<sup>th</sup>. However weeds reduced rapidly on October 10<sup>th</sup> and could be attributed to weeding, two weeks later on October 18<sup>th</sup>, weeds patches seemed to increase and the same case applied the following dates. The results for the September image corresponds to the recorded field data weed fraction for the September 29<sup>th</sup> was recorded to be between 10-50 %.

**5.4.1. What is the weed infestation percentage in the crop fields?**

For the best-fit model for each pixel, the fraction values for each endmember, and the identity of those endmembers were recorded. The modelled fraction values for crop, weed and soil land cover classes as well as their standard deviations are shown in Table 5.9.

Table 5.9: Shade Normalized unmixed crop, weed and soil cover classes per date using EAR and MASA-selected endmembers

		Cotton field				Millet field				Maize field			
Date	Class	EAR fraction	Std	MASA fraction	Std	EAR fraction	Std	MASA fraction	Std	EAR fraction	Std	MASA fraction	Std
29-Jul	weed	0.13	0.02	0.22	0.02	0.27	0.02	0.27	0.03	0.26	0.03	0.2	0.02
	crop	0.56	0.02	0.51	0.02	0.34	0.02	0.35	0.02	0.46	0.07	0.49	0.02
	soil	0.31	0.02	0.27	0.02	0.39	0.02	0.37	0.02	0.29	0.06	0.3	0.02
29-Sep	weed	0.30	0.01	0.34	0.03	0.11	0.06	0.12	0.01	0.19	0.04	0.17	0.02
	crop	0.69	0.03	0.52	0.03	0.53	0.03	0.5	0.03	0.69	0.08	0.72	0.02
	soil	0.19	0.01	0.14	0.05	0.36	0.04	0.39	0.03	0.12	0.06	0.11	0.1
10-Oct	weed	0.40	0.03	0.42	0.03	0.13	0.02	0.12	0.01	0.11	0.02	0.11	0.11
	crop	0.58	0.03	0.65	0.03	0.65	0.02	0.65	0.04	0.67	0.02	0.7	0.24
	soil	0.02	0.06	0.01	0.06	0.22	0.02	0.23	0.03	0.22	0.02	0.19	0.23
18-Oct	weed	0.09	0.08	0.13	0.07	0.15	0.02	0.1	0.02	0.24	0.02	0.32	0.03
	crop	0.57	0.03	0.63	0.03	0.44	0.02	0.48	0.02	0.46	0.02	0.31	0.03
	soil	0.33	0.03	0.25	0.03	0.41	0.09	0.41	0.01	0.3	0.02	0.37	0.02
1-Nov	weed	0.33	0.03	0.37	0.02	0.17	0.06	0.26	0.02	0.18	0.02	0.31	0.02
	crop	0.20	0.08	0.23	0.01	0.35	0.08	0.33	0.02	0.34	0.02	0.31	0.08
	soil	0.47	0.03	0.4	0.02	0.48	0.03	0.41	0.01	0.49	0.02	0.38	0.07
14-Nov	weed	0.36	0.02	0.28	0.02	0.31	0.05	0.34	0.01	0.16	0.02	0.19	0.02
	crop	0.11	0.02	0.13	0.02	0.14	0.07	0.06	0.01	0.16	0.06	0.13	0.02
	soil	0.53	0.02	0.59	0.02	0.55	0.08	0.6	0.01	0.68	0.08	0.68	0.19

In Table 5.9, the sum of the fractions (weed, soil and crop) adds up to 1. Following the constraints imposed on the un-mixing model. Differences could be observed between weed fractions unmixed using EAR and MASA selected weed, soil and crop endmembers. The weed fraction for the cotton field was 0.13 for July 29<sup>th</sup>. The weed fraction increased rapidly to 0.30 on September 29<sup>th</sup> and further increased to 40 on October 10<sup>th</sup>. There was a rapid decrease to 0.09 on October 18<sup>th</sup>. The same trend was observed in the corresponding MASA weed fractions but with a decrease on November 14<sup>th</sup>. According to the field data, this pattern was also observed. The September field data had between 10-50 % weed proportions while the August image had <10 % weed proportions. There was no suitable field data the July 29<sup>th</sup>, October 10, October 18 and November 14 for accuracy assessment and comparison of the un-mixing results. However, to the authors own assumption, the drying up of the crop in November would have led to the increased weed fraction due to the open canopies (direct sunlight for weed development). The same pattern was also observed in the field photographs as shown in Photo 15.



Photo 15: Cotton field between August and November 2014 showing different weed proportions. (STARS&ICRISAT 2014)

For the millet field, the weed was high (0.27) on July 29<sup>th</sup> but this reduced on September 29<sup>th</sup> (0.11) with a gradual increase on October 10<sup>th</sup>, October 18<sup>th</sup> and November 1<sup>st</sup> and a rapid increase on November 14<sup>th</sup>. As the crop dried up, there was a gradual increase in weeds as observed in EAR fractions. The same trend was observed in the corresponding MASA weed fractions but with a decrease on October 18<sup>th</sup> (0.10) and a rapid increase in November 1<sup>st</sup> which is attributed to the drying up of the crop paving the way for the growth of weeds. According to the field data, there was no suitable field data for July 29<sup>th</sup>, October 10, and October 18 and November 14. August field data was provided but there was no corresponding Satellite image for this date. Ground reference data was provided for the August and September images data was provided but no data was provided for the other dates. The majority of the quadrants were reported to having between 0-10 % weed fractions while some had between 10-50 % weed fractions. Photo 16 represent the field photographs showing different weed proportions in the millet field.



Photo 16: Millet field between June and November 2014 showing different weed proportions. (STARS&ICRISAT 2014)

For the field 2, the weed was high (0.26) in the July 29<sup>th</sup> but this reduced on September 29<sup>th</sup> (0.19) with a further decrease on October 10<sup>th</sup> (0.11). A rapid increment could be observed on October 18<sup>th</sup> (0.24) and a

gradual reduction in November 1<sup>st</sup> (0.18) and November 14<sup>th</sup> (0.16) as observed in EAR fractions. The same pattern is observed in the corresponding MASA weed fractions but with slight differences.

In the August field data majority of the quadrats were recorded having between 0-10 % weed fraction while some had 10-50 % weed fractions similar to the September field data. On November 6<sup>th</sup>, most of the quadrats were reported as having between 10-50 % weed fractions. This pattern is shown in Photo 17.



Photo 17: Maize field between August and November 2014 showing different weed proportions. (STARS&ICRISAT 2014)

The un-mixing results were averaged per field, whereas the field data was provided per plot which was further broken down into quadrats. When comparing the modelled weed fraction with the actual field data, plot A was selected since it was set out as a *farmer's practice* plot while the other plots (B, C, D and E) were not included since they were *fertilizer application trials*. Plot A boundary and the quadrats were overlaid on the modelled fraction image. The modelled image pixels values corresponding to the plot A quadrats values were extracted using the Z profile in *ENVI version 5.3 (Exelis Visual Information Solutions)*. A regression analysis using R software (R Core team 2013) was conducted using *gstat package* (Pebesma 2004) between the modelled weed fraction and the reference weed fraction.

#### 5.4.2. What is the comparison of the modelled weed with the reference weed fraction?

Including several weed and crop endmembers appropriately not only represented the vegetation more accurately but also gave us more confidence in the endmember choice. Thus, the ability to combine four-endmember model resulted into a single image using simple selection criterion (EAR/MASA) greatly enhanced our ability to correctly identify ground constituents.

Reference weed fractions were derived through the visual analysis of the ground photographs.



Photo 18: Millet field weed fraction estimation using field photographs. (STARS&ICRISAT 2014)

Evaluating vegetation fraction estimates are challenging due to the difficulty of obtaining reference data. The lack of an accuracy assessment for three fraction images (July 29<sup>th</sup>, October 18<sup>th</sup>, and October 10<sup>th</sup>) was a limitation of this study. The R-squared ( $R^2$ ), root mean square error (RMSE) and Standard error (SE) were computed for the weed fraction images to compare the accuracy of the modelled fraction so as to quantitatively evaluate the model results. The reference weed fraction was compared with the modelled weed fractions using EAR/MASA results as well as the results derived from the Simple linear spectral unmixing. The results were shown in the Figure 5.19.

The accuracy of the methodology was evaluated by comparing the estimated weed coverage derived from MESMA and simple linear spectral analysis with the values observed in the on-ground photographs and the recorded weed fractions in the fields. The relationship between the estimated and observed weed densities was satisfactory, the highest coefficient of determination for the cotton field was  $R^2=0.722$ ,  $RMSE=0.041$  and an  $SE=0.003$  on September 29<sup>th</sup> while the lowest was on October 18<sup>th</sup> with an  $R^2=0.591$ ,  $RMSE=0.043$  and  $SE=0.001$  for the MASA results. The highest coefficient of determination for the EAR weed fraction was  $R^2=0.717$ ,  $RMSE=0.051$  and an  $SE=0.006$  on September 29<sup>th</sup> and the lowest EAR fraction was observed on October 18<sup>th</sup> an  $R^2=0.566$ ,  $RMSE=0.049$  and  $SE=0.006$ . For the SLSMA weed fraction results, the highest coefficient of determination for the cotton field was  $R^2=0.584$ ,  $RMSE=0.058$  and an  $SE=0.028$  on September 29<sup>th</sup> while the lowest was recorded on October 18<sup>th</sup> with an  $R^2=0.501$ ,  $RMSE=0.059$  and  $SE=0.024$  were recorded. The MASA results showed a higher agreement as compared to the corresponding EAR results across all the fields. Comparing the two SMA results, MESMA had a higher R-Squared, lower standard error and lower RMSE. The results of the comparison are shown in Table 5.10. The results can be graphically visualized in Figure 5.19.

Table 5.10: Accuracy assessment of the modelled weed fraction with the reference weed data in all the image dates for the cotton, millet and the maize fields.

MESMA							SLSMA			
Field 27										
Date	MASA			EAR			Simple LSMA			
	R2	SE	RMSE	R2	SE	RMSE	R2	SE	RMSE	
29-Jul	0.651	0.003	0.041	0.646	0.003	0.046	0.531	0.016	0.062	
29-Sep	0.722	0.001	0.048	0.717	0.006	0.051	0.584	0.028	0.058	
10-Oct	0.618	0.003	0.047	0.634	0.005	0.053	0.523	0.027	0.069	
18-Oct	0.591	0.001	0.043	0.566	0.006	0.049	0.501	0.024	0.059	
1-Nov	0.654	0.012	0.035	0.645	0.001	0.046	0.562	0.023	0.056	
14-Nov	0.678	0.003	0.042	0.653	0.005	0.057	0.574	0.021	0.068	
Field 26										
29-Jul	0.642	0.004	0.035	0.613	0.005	0.038	0.547	0.006	0.056	
29-Sep	0.667	0.005	0.062	0.642	0.014	0.056	0.565	0.008	0.061	
10-Oct	0.636	0.003	0.034	0.621	0.005	0.042	0.521	0.007	0.051	
18-Oct	0.666	0.002	0.032	0.655	0.004	0.048	0.506	0.008	0.059	
1-Nov	0.674	0.006	0.048	0.664	0.004	0.056	0.545	0.006	0.063	
14-Nov	0.691	0.003	0.041	0.676	0.006	0.048	0.562	0.008	0.053	
Field 2										
29-Jul	0.646	0.001	0.026	0.625	0.001	0.033	0.542	0.004	0.041	
29-Sep	0.673	0.006	0.056	0.631	0.003	0.056	0.524	0.007	0.062	
10-Oct	0.646	0.006	0.046	0.621	0.003	0.053	0.506	0.007	0.058	
18-Oct	0.665	0.008	0.049	0.642	0.007	0.056	0.531	0.008	0.068	
1-Nov	0.674	0.003	0.032	0.647	0.003	0.039	0.565	0.009	0.048	
14-Nov	0.708	0.005	0.036	0.685	0.006	0.038	0.584	0.006	0.049	

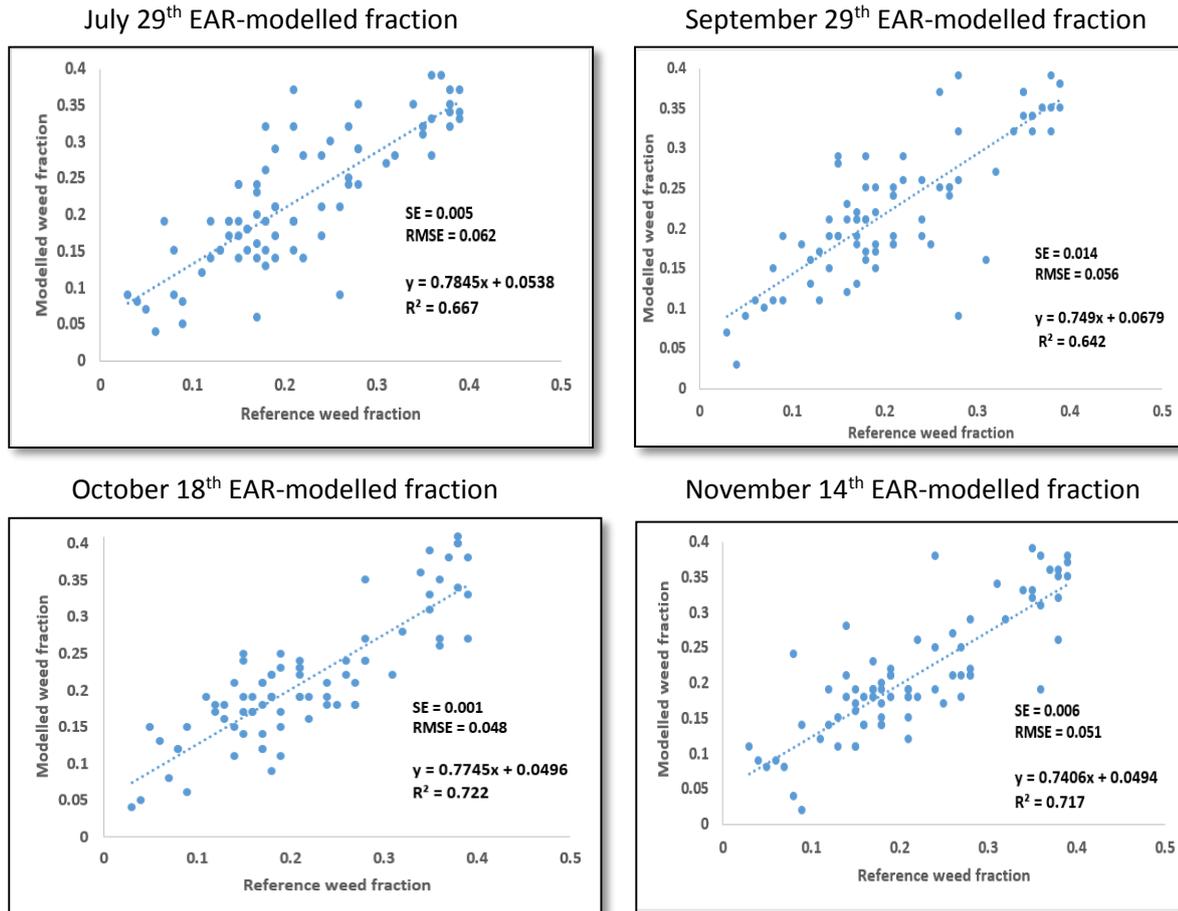


Figure 5.19: Scatterplots showing the relationship between the EAR-modelled weed fraction and the reference weed fraction for the cotton fields.

It was evident from that there was a positive relationship between the modelled WV-2 weed fraction and the field data. The high  $R^2$ , low RMSE and SE indicated that the pixels were well modelled as a linear combination of the endmembers while the vice versa indicated that the linear combination of the endmembers and the abundances did not match with the reference data. The low  $R^2$ , high RMSE and SE may be attributed to the wrong choice of the endmembers. Since field data collection date did not match any image acquisition dates this may have greatly influenced the results. The July image accuracy assessment was based august field data, the September 29<sup>th</sup> and October 10<sup>th</sup> was assessed using September 8<sup>th</sup> and 16<sup>th</sup> field data. The November images were assessed using November 6<sup>th</sup> field data. All the image dates did not match the field data collection dates.

### 5.4.3. What is the comparison between the EAR and MASA results?

Two techniques EAR and MASA were used to model combinations of spectra from the spectral library. Each spectrum in a given class was used to model the members of the class. Four different types of comparisons between EAR and MASA were investigated;

#### 5.4.3.1. Comparison of the relationship between EAR and MASA weed un-mixing results against time

In this research, the general behaviour of temporal profiles of weed fraction values was obtained by applying spectral un-mixing (MESMA and simple linear un-mixing (SLSMA) to multi-temporal WV-MS images. When plotted against time, weed fraction modelled by the two endmember selection techniques showed a

well-defined relationship with the crop phenological cycle. The multi-temporal profiles acquired for weed detection using the MESMA approach are compared. A relationship between the EAR and MASA approaches is shown in Figure 5.20

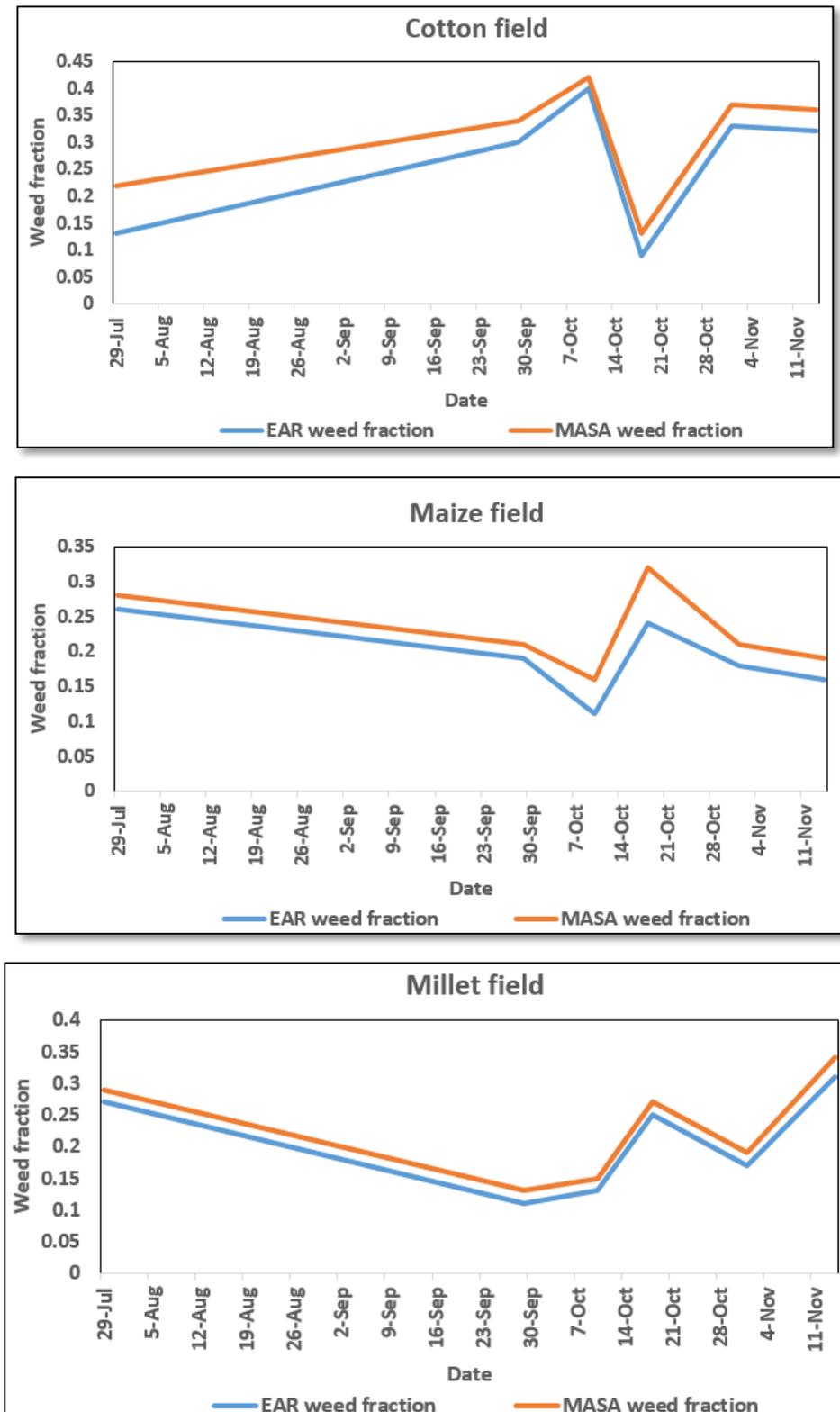


Figure 5.20: Relationship between EAR and MASA un-mixing weed fraction for the cotton (27), maize (2) and millet (26) with reference to time.

In Figure 5.20, temporal profiles of the weed in maize and millet fields have a similar pattern starting with a high value on July 29<sup>th</sup>, both with a peak on October 18<sup>th</sup>, a rapid reduction on November 1<sup>st</sup> and a gradual reduction on November 14<sup>th</sup>. For field 26<sup>th</sup>, there is a reduced weed fraction on September 29<sup>th</sup> and for field 26, the weed fraction decrease is observed on October 10. Also observed, the weed fraction modelled by MASA is slightly higher than that of the EAR fraction. In Field 2, EAR weed fractions are higher than the MASA fraction in the first three dates with an increase in the last 3 three dates. In the cotton field, a steep profile is observed through all the dates. The peak is reached on October 10<sup>th</sup> followed by a steep reduction on October 18<sup>th</sup>, steep increase in November 1<sup>st</sup> and a steep decrease on November 14<sup>th</sup>. This unique pattern can be attributed to multiple weeding of the field. Similar to field 26<sup>th</sup>, MASA weed fractions are slightly higher than the EAR fractions.

The maize, cotton and millet were sown in June 30<sup>th</sup>, may 20<sup>th</sup> and June 13<sup>th</sup> in the year 2014 respectively in a sandy loam soil. The fertilizer applications were 50kg/0.88ha in maize plots, and 300 kg/1.844ha in cotton field plots. In addition, organic fertilizer of 10000kg/0.5ha was applied in the maize field. The weed distributions in the crop fields was studied separately. As expected, a higher density of weeds was observed in plots with no weed control. Cotton fields were mostly infested with broadleaf weeds all through the crop development while the maize and millet fields were infested with grassy weeds during the early crop development and upon the crop canopy closure, the broadleaf weeds were observed from the ground photographs. In the millet field, the density of weeds was relatively higher while in the maize and cotton fields, the weeds were considerably less when compared in plot A. Hand weeding for the millet crop was done in June 15<sup>th</sup> 2014 but no weeding data was recorded for the maize or cotton field.

#### **5.4.3.2. Comparison between EAR and MASA modelled weed fraction results**

Although differences in modelled spectrum albedo do produce variability in EAR that is not present in MASA, the model runs using the EAR and MASA-selected weed spectra showed that the two methods are nearly equivalent when carefully selected endmembers were used. A linear regression of EAR against MASA- modelled weed fraction produced an  $R^2$  of 0.76, 0.79 and 0.80 for the September date for the cotton, maize and millet fields respectively. The correlation between the two techniques would be slightly lower if values above the 2.5 percent RMSE threshold are discarded with an  $R^2$  of 0.56, 0.67 and 0.59 for the September date for field 27, 2 and 26 respectively. This explains the importance of the RMSE threshold value.

.The scatter plots for MASA vs. EAR for weed cover class is shown in Figure 5.21. There is an apparent positive relationship between EAR and MASA for the weed class. This value signifies a clear indication that even though the EAR and MASA fractions are closely related, there exist some differences in the number and type (species of weed) of endmembers selected.

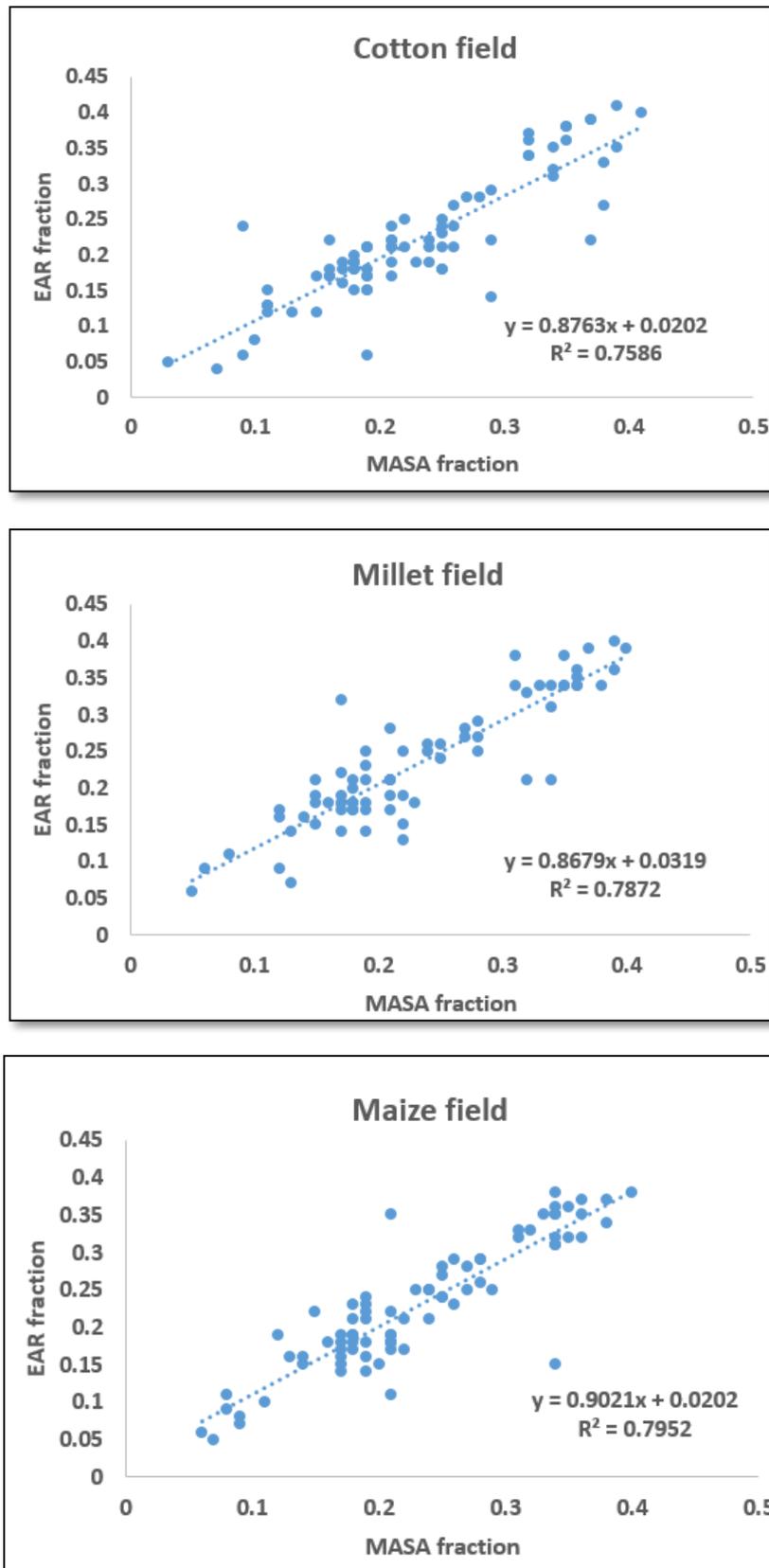


Figure 5.21: Scatterplots showing the relationship between EAR and MASA un-mixing weed fraction for the cotton (27), maize (2) and millet (26) for the September 29<sup>th</sup> image.

### 5.4.3.3 Comparison between the numbers of spectra modelled using EAR and MASA endmember selection techniques.

Table 5.11: Endmember selection by date

Field	Method	Class	Date					
			29-Jul	29-Sep	10-Oct	18-Oct	1-Nov	14-Nov
			Number of endmembers selected per date					
Cotton field	MASA	weed	7	8	7	6	5	6
	EAR	weed	5	5	5	4	4	4
Millet field	MASA	weed	9	9	7	6	5	4
	EAR	weed	6	5	6	4	6	5
Maize field	MASA	weed	9	9	7	5	6	6
	EAR	weed	7	6	6	4	5	6

The MASA technique selected a higher number of endmembers as compared to EAR endmember selection technique (see Table 5.11) this could explain the higher weed fractions modelled by the MASA selected endmembers.

### 5.4.3.3. Comparison of the percentage of the pixels modelled by a selected endmember using EAR and MASA techniques

Table 5.9: Comparison between the EAR and MASA modelled image/pixel percentage

Date	Cotton		Millet		Maize	
	EAR (%)	MASA (%)	EAR (%)	MASA (%)	EAR (%)	MASA (%)
29-Jul	90	91	91	93	89	90
29-Sep	89	90	88	90	88	93
10-Oct	85	86	85	86	85	86
18-Oct	85	86	85	86	85	86
1-Nov	89	90	91	93	88	91
14-Nov	90	91	89	91	88	89

The both techniques modelled at least 85 % of the image across all the dates. However, the percentage of the image modelled by the MASA is higher as compared to that of EAR. This might be contributed to the higher number of endmembers used. Also observed, the both techniques model the pixels between the first two dates (July 29<sup>th</sup> and September 29<sup>th</sup>) with the percentage of the modelled pixels ranging between 88 - 93 % across all the dates, this was also observed in the last two November images with a range between 89 - 93 %. The October 10<sup>th</sup> and the October 18<sup>th</sup> images had a between 85 – 86 % across all the dates.

### 5.4.4. What is the comparison between the MESMA and SLSMA results

Differences can be observed between weed fractions unmixed using EAR/MASA and simple linear spectral un-mixing. The weed fraction for the cotton field (Field 27) is 0.06 and almost half of the MESMA value 0.13 for July 29<sup>th</sup>. The weed fraction increases rapidly to 0.18 which is the case with MESMA (0.30) but still for simple linear case, the value is low in September 29<sup>th</sup>, and further increases to 0.21 as compared to that of MESMA (0.40) in October 10<sup>th</sup>. There is a decrease to 0.19 in October 18<sup>th</sup> which increases in November 1<sup>st</sup> and reduces to (0.14) November 14<sup>th</sup>. As the crop dries up, there is an increase in some weeds while those weeds that depend on the crop reduce. Figure 5.21 show a graphical representation of the relationship between the weed fraction cover derived from the simple linear un-mixing method and the multiple

endmember spectral un-mixing (EAR and MASA fraction. When compared to MESMA results the simple linear weed fractions are lower as shown in Figures 5:22 and 5.23.

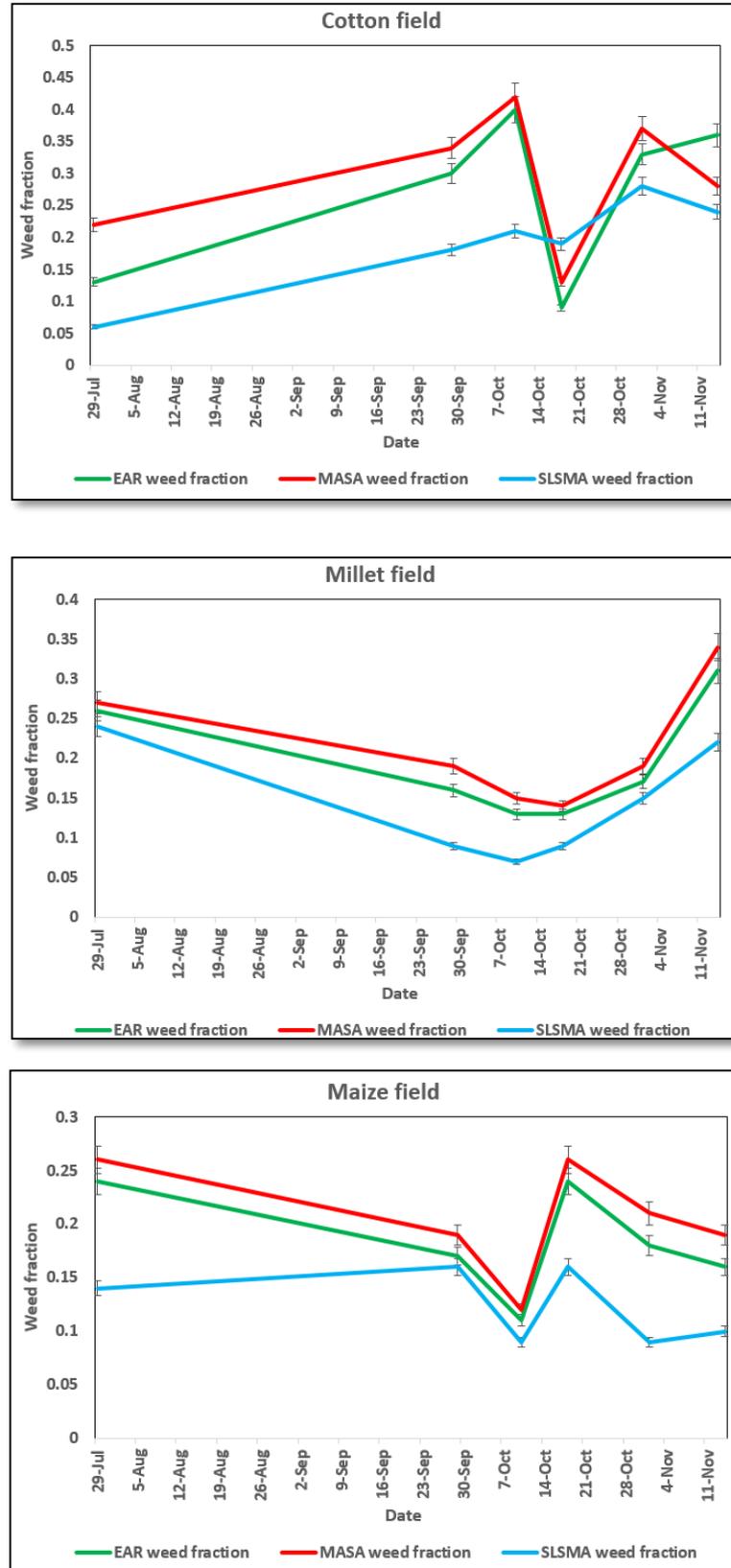


Figure 5.22: Temporal profiles showing the relationship between the MESMA (EAR and MASA) and SLSMA weed fractions for the cotton field (27), the millet field (26) and maize field (2).

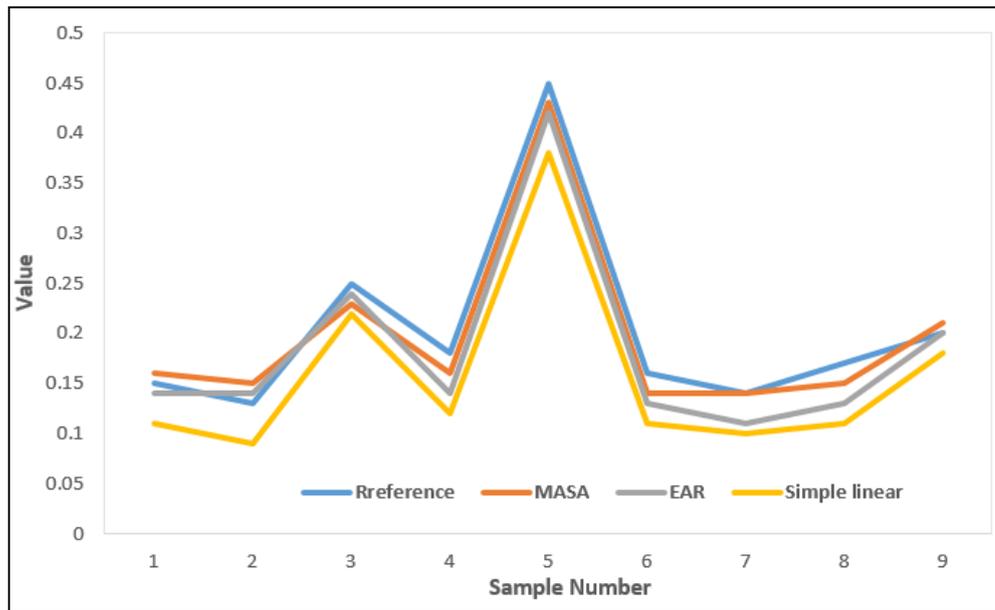


Figure 5.23: Comparison between EAR, MASA and simple SMA modelled weed fractions with a reference from the Ground reference data for the in plot A, recorded on September 29<sup>th</sup> for the cotton field.

The results show that all the three techniques underestimated the weed fraction. However, the underestimation was higher the simple linear spectral un-mixing (SLSMA). MASA profile is closely related to the reference fraction though some points (2 and 9), it over-estimated the weed fraction.

#### 5.4.5. What is the relationship between the modelled weed fraction and the WV-VI?

A regression analysis was done to show the relationship between the WV-VI and the modelled weed fraction. Both SLSMA and MESMA (MASA and EAR weed fractions) were correlated with the WV-VI. The results of the regression analysis are presented in Table 5.13.

Table 5.10: The correlations results between the WV-VI and the derived weed fractions for the Cotton, maize and millet fields.

Weed and WV-VI correlation		
Field	Method	R <sup>2</sup>
Cotton	EAR	68
	MASA	72
	SLSMA	58
Millet	EAR	65
	MASA	69
	SLSMA	52
Maize	EAR	67
	MASA	71
	SLSMA	59

The use of MASA to model all the three fields show higher correlations with the vegetation indices followed closely by EAR. In linear spectral un-mixing, the correlation with the Vis is relatively lower. This indicates that more weed fraction as modelled by MASA correlates well with the WV-VI while less weed modelled by SLSMA has a lower correlation with SLSMA.

### 5.5. What are the pattern and spatial distribution of weeds in the crop fields?

The selected minimum EAR endmembers and MASA were used to model the WV-2 images. In the classification stage; images comprising of both weed and crops were constructed from the fraction images. Secondly, a shade normalization of the fraction images was performed by dividing each endmember by the total % of all the non-shade endmembers in each pixel. This suppressed the shade fraction so that more information on the relative abundance of non-shade endmembers could be obtained.

A crop and weed class maps resulting from the minimum RMSE and the minimum spectral angle derived from four endmember model is shown in the Figures 5.24-5.26. It is evident that there was a slight difference between the MASA and EAR endmember classification maps. The red represents the weed class, and green is the crop class. The crop is shown as the dominant vegetation type throughout the crop fields and in all the dates, but weeds are much more widely spread in the November images when the crop is drying up as shown earlier in the field data.

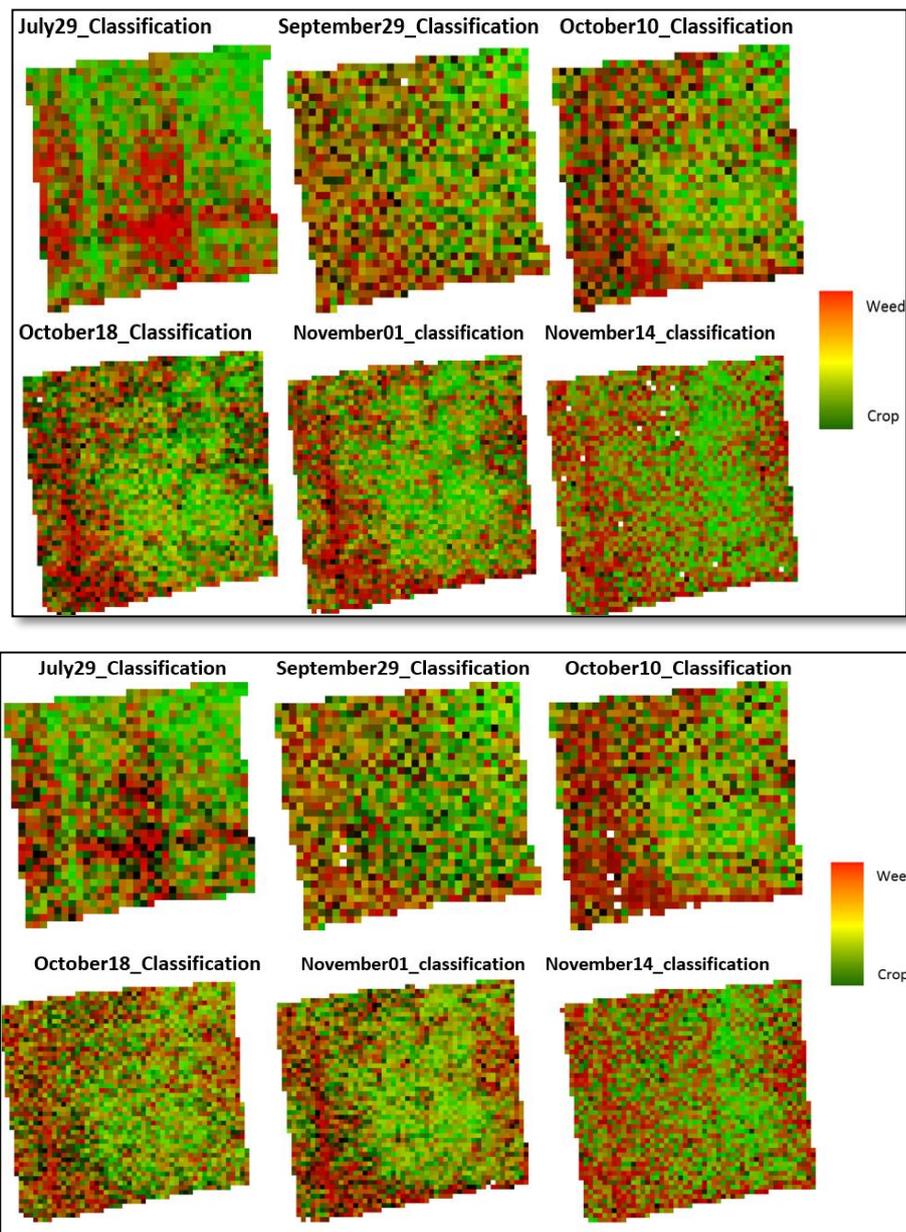


Figure 5.24: The EAR classification (top) and MASA classification (bottom) image per date for the cotton field

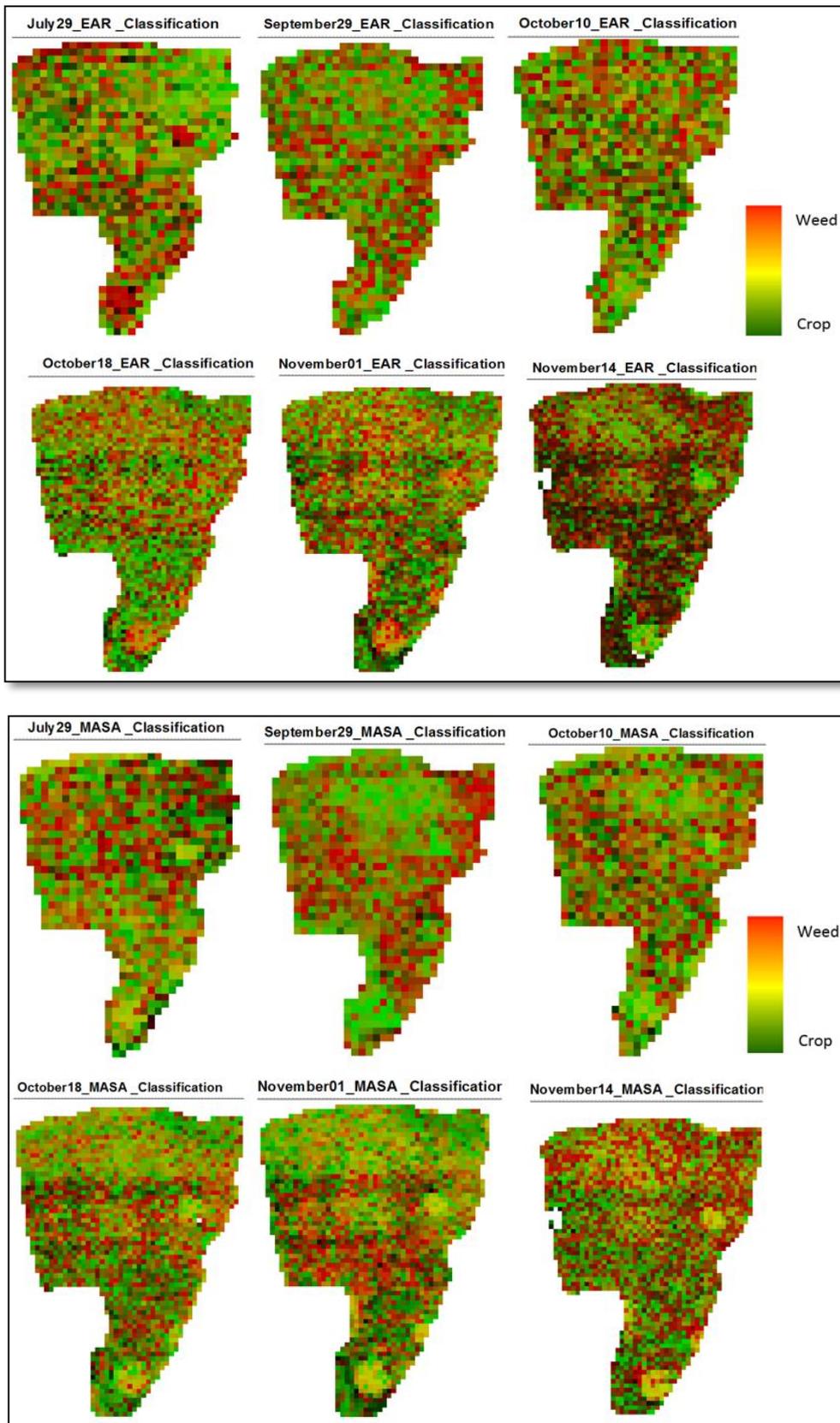


Figure 5.25: The EAR classifications (top) and MASA classification (bottom) image per date for the maize field

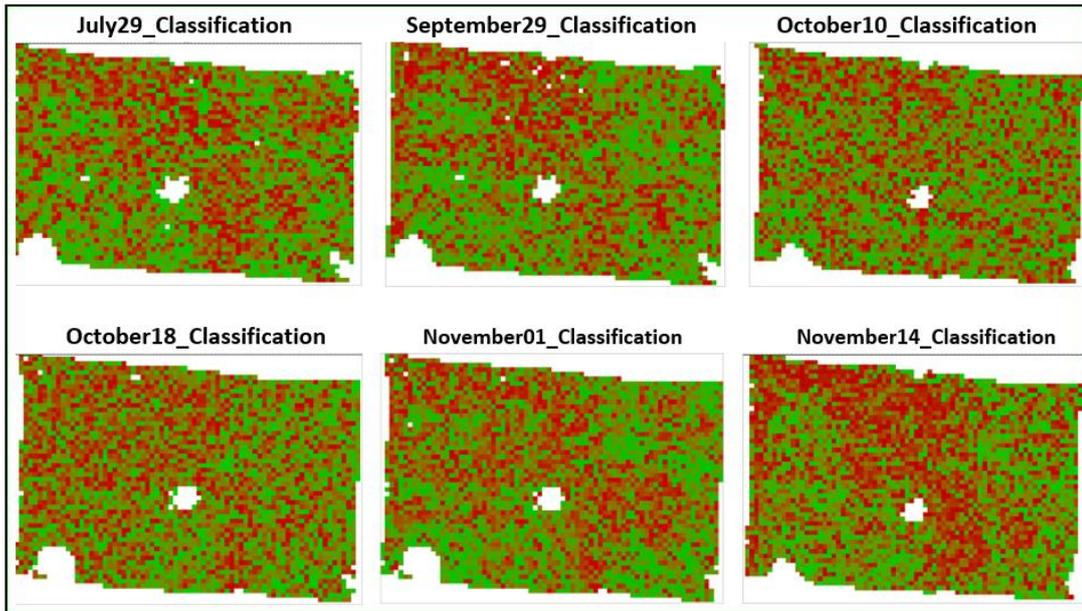


Figure 5.26: The MASA Classification images per date for the millet field

The classes demonstrated temporal changes between crops and weeds. Qualitatively, the classification maps are a good approximation of the distribution of the crop and weed classes as shown in the comparison of the classification map with the Vis images in Figures 5.27-5.28.

**5.5.1. What is the qualitative comparison between the classification maps with the vegetation indices?**

Qualitatively, it is observed that high weed fractions coincide with high values of the vegetation cover as measured by WV-VI. The Figures 5.25-26 shows a relationship between the MASA classification results (weed + crop) and the WV-VI. The vegetation fraction cover shows similarities. Qualitatively, it is observed that high weed fractions coincide with high values of the vegetation cover as measured by WV-VI. Visual comparison of the of the classification results (both weed and crop) with the vegetation index maps. Image captured on June 26<sup>th</sup> was left out during the classification since 95 % of the image was covered by soil

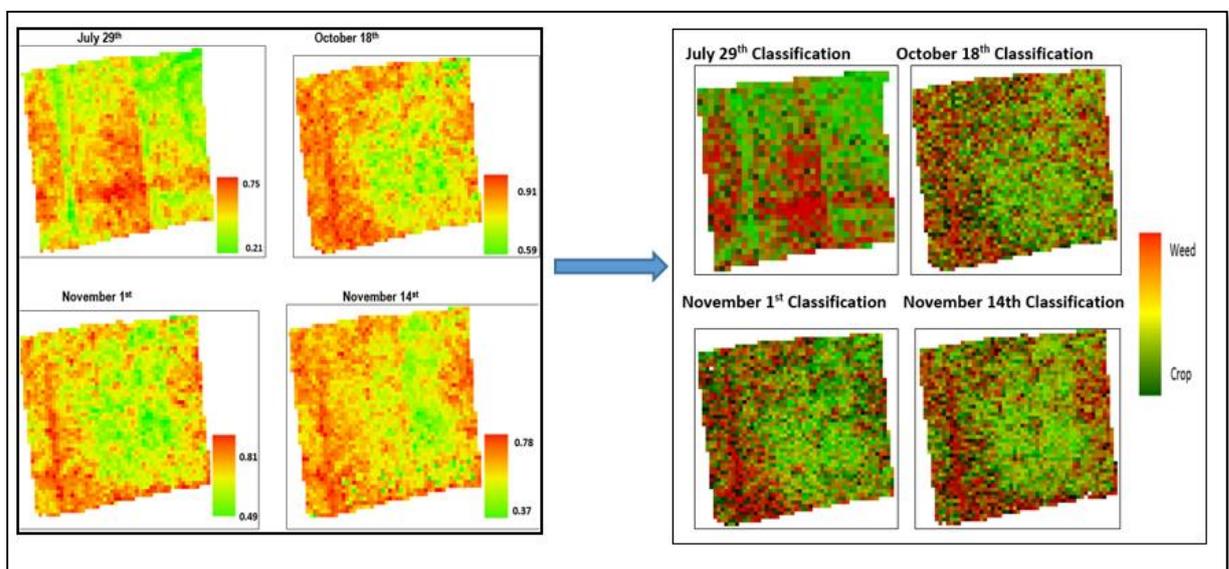


Figure 5.27: The comparison between the MESMA (weed and crop) classification image with WV-VI for the cotton field with reference to date using the MASA modelled fractions.

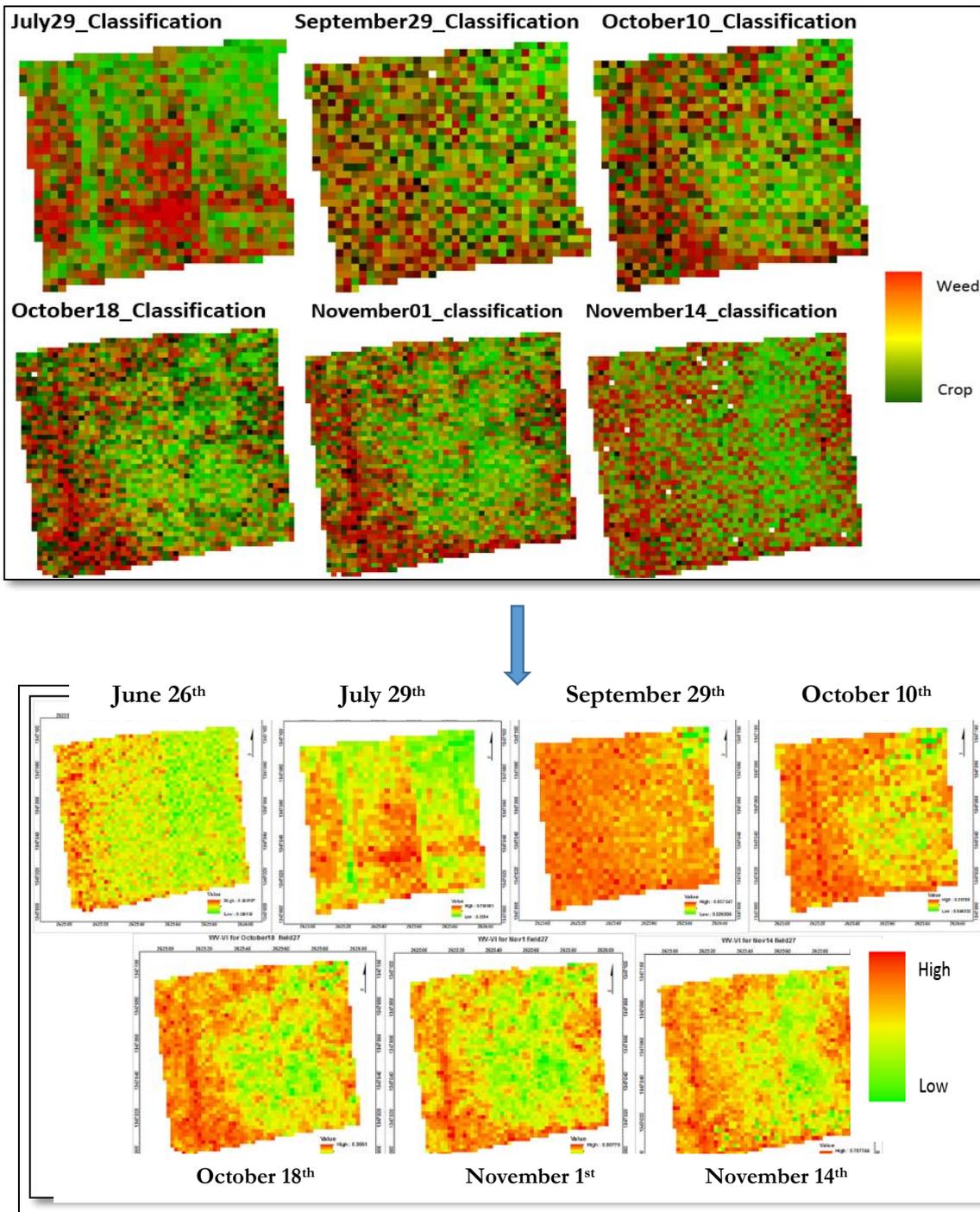


Figure 5.28: The comparison between the SLSMA (weed and crop) classification image (top) with WV-VI (bottom) for the cotton field with reference to date.

Evaluating vegetation fraction (weed and crops) estimates can be challenging due to the difficulty of obtaining reference data. The above ground vegetation biomass recorded from the field was not sufficient for the comparison with the modelled vegetation fraction but instead, Fcover was used for the analysis. The R-squared ( $R^2$ ), root mean square error (RMSE) and Mean error (SE) were computed for the modelled vegetation (weed and crop) fraction and the Fcover.

5.5.2. What is the comparison between Fcover and MESMA results?

The MASA- endmember modelled weed and crop fractions were summed up the same was done for the EAR-endmember modelled vegetation fractions. The results were then correlated through a regression analysis with the fcover as shown in Figure 5.29 for the cotton field while the result of the other fields is presented Table 5.14.

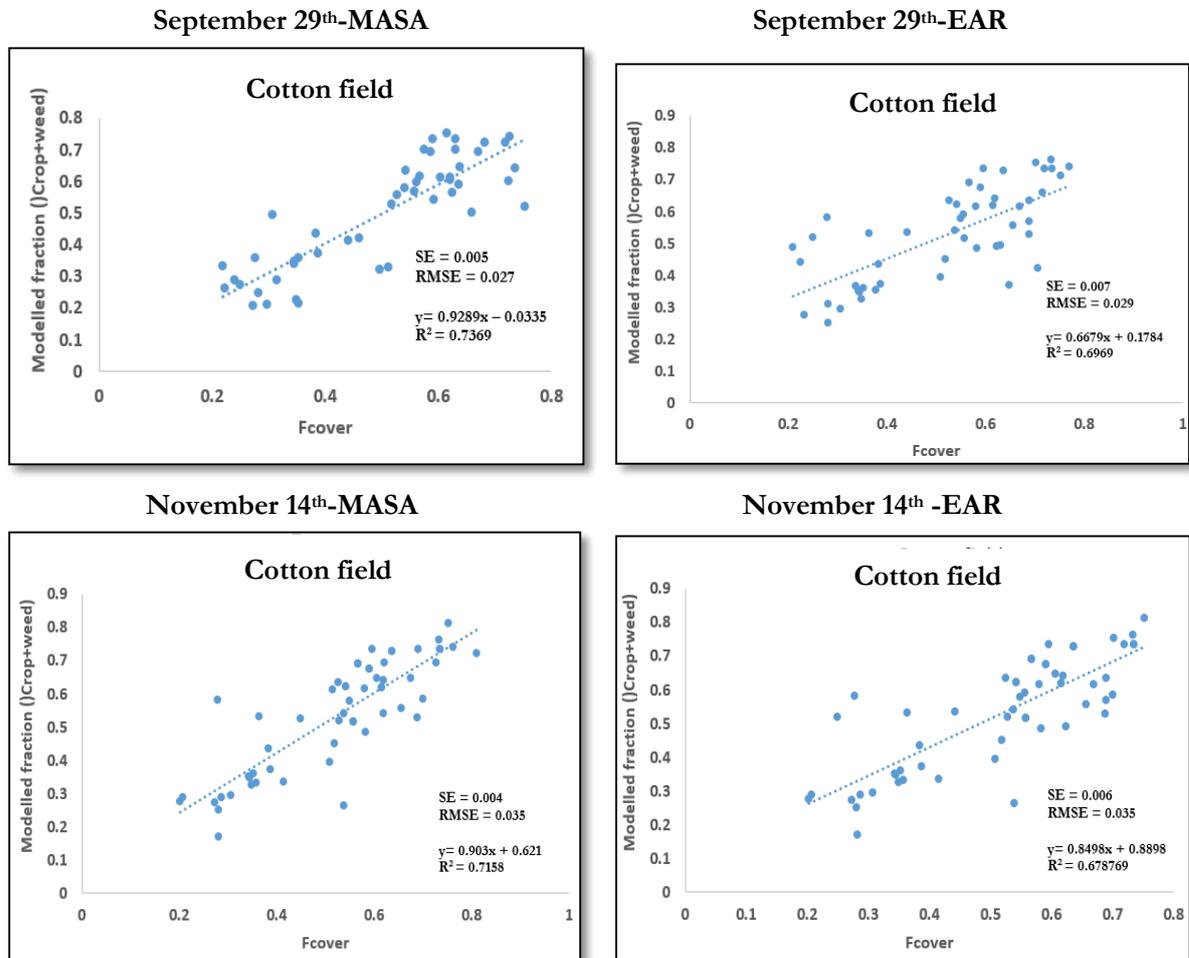


Figure 5.29: The comparison between MESMA (EAR and MASA) modelled vegetation (weed + crop) and the Fcover for the cotton, millet and the maize fields.

The Fcover recorded from the field shows a positive correlation with the modelled vegetation index. As shown in the Table 5.14, the modelled fractions with a corresponding field data for accuracy assessment had a higher accuracy and vice versa. EAR- modelled vegetation fraction has a lower R-squared than the MASA-modelled vegetation fraction. This could be attributed to the number of spectral endmembers selected by each technique as well as the **percentage** of the pixels modelled explained earlier in this research. Higher RMSE and Mean error means that the image was not modelled well by the selected spectra. Table 5.14 shows the results of all the field.

Table 5.11: Evaluation of the modelled vegetation fraction in comparison to Fcover

Field 27							
	MASA				EAR		
	R2	SE	RMSE		R2	SE	RMSE
29-Jul	0.682	0.003	0.021		0.655	0.004	0.026
29-Sep	0.716	0.004	0.035		0.679	0.006	0.035
10-Oct	0.681	0.002	0.048		0.669	0.003	0.042
18-Oct	0.624	0.001	0.042		0.597	0.003	0.056
1-Nov	0.669	0.006	0.047		0.633	0.001	0.047
14-Nov	0.716	0.002	0.056		0.686	0.002	0.056
Field 26							
29-Jul	0.662	0.002	0.026		0.633	0.003	0.027
29-Sep	0.737	0.005	0.027		0.697	0.007	0.029
10-Oct	0.691	0.004	0.038		0.689	0.002	0.021
18-Oct	0.652	0.001	0.048		0.632	0.002	0.048
1-Nov	0.681	0.003	0.027		0.673	0.001	0.047
14-Nov	0.703	0.002	0.042		0.697	0.006	0.056
Field 2							
29-Jul	0.652	0.011	0.042		0.641	0.014	0.052
29-Sep	0.676	0.016	0.056		0.665	0.011	0.048
10-Oct	0.645	0.002	0.056		0.632	0.016	0.056
18-Oct	0.596	0.011	0.042		0.584	0.016	0.062
1-Nov	0.659	0.016	0.048		0.643	0.011	0.049
14-Nov	0.686	0.012	0.056		0.665	0.012	0.063

5.5.3. What is the comparison between the Fcover and with Simple linear un-mixing vegetation results

The linear spectral unmixing vegetation results (crop and weeds) were summed. The sum was then correlated through a regression analysis with the fcover as presented in Table 5.15.

Table 5.12: Comparison between the Fcover and with modelled vegetation using SLSMA

Date	Cotton field			Millet field			Maize field		
	R2	SE	RMSE	R2	SE	RMSE	R2	SE	RMSE
29-Jul	0.593	0.016	0.057	0.563	0.017	0.048	0.571	0.014	0.048
29-Sep	0.617	0.011	0.039	0.597	0.019	0.056	0.585	0.009	0.036
10-Oct	0.641	0.011	0.042	0.607	0.012	0.046	0.602	0.006	0.048
18-Oct	0.528	0.014	0.048	0.637	0.012	0.024	0.614	0.019	0.021
1-Nov	0.571	0.011	0.021	0.649	0.011	0.028	0.639	0.008	0.028
14-Nov	0.652	0.0016	0.067	0.657	0.016	0.049	0.668	0.009	0.039

The September and November images show a higher correlation since the field data collection dates were almost the same as the image acquisition dates. For the image dates with no corresponding field data, the correlation is low. When comparing the correlation coefficients between MESMA and SLSMA techniques used for estimating the vegetation fraction (Fcover), MESMA has a higher correlation across all the fields as graphically shown in Figure 5.30.

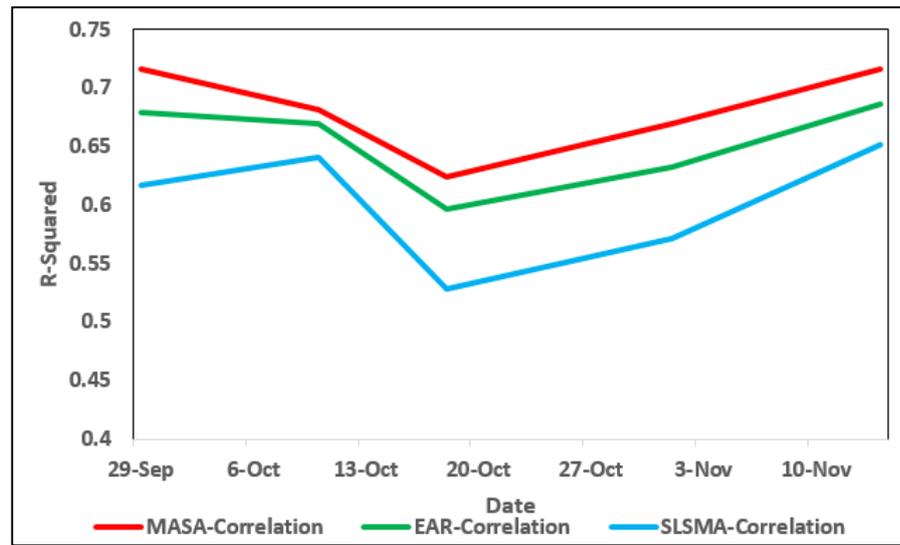


Figure 5.30: The comparison of the R-squared coefficients of the (modelled vegetation in relation to the Fcover) between MESMA (EAR and MASA) and SLSMA with reference to time.

## 5.6. Discussion

Although there are no studies that use MESMA-based fraction images to estimate weed infestation levels in small-holder farms, this method has proven to be efficient in detecting weed percentage per field level regardless of the crop type. A very careful selection of endmembers plays an important role in the MESMA approach (Bateson and Curtiss 1996; Dennison and Roberts 2003b; Roberts et al. 1998; Roberts and Dennison 2003; Roth et al., 2012; Somers et al. 2011). The determination of endmembers in this study was a critical step to estimating fractional covers accurately and prevented the use of non-representative endmembers which could have yielded inaccurate estimates of endmember fractions as explained in Li, et al. (2005).

In this research, incorporating several endmembers to calculate pixel fractions was critical as this improved the discrimination between the crop, soil and weeds. Furthermore, this enabled us to account for spectral variability within an endmember class. Further analysis found that the subpixel weed fractions based on the simple LSMA approach had a slightly larger root-mean-squared error than those of the MESMA approach.

The target Crop fields, maize, cotton and millet (field 27, 26 and 2) were each characterized by within-field spectral variability and therefore, the discrimination between the crop and weeds became difficult when analysing their spectral properties. To overcome this problem, use of multi-temporal data was important as spectral similarities and heterogeneity is solved with time (Apan et al., 2002 & Dennison and Roberts, 2003b). Use of MESMA subpixel analysis as compared to simple linear spectral un-mixing have proven advantageous to this study because it is able to incorporate the spectral variability within a crop field. In simple linear spectral mixture analysis (SLSMA), only a single suite of endmembers were applied to the entire image. In contrast, MESMA allowed the number and type of the endmembers to vary on a per pixel basis within an image as in Roberts et al. (1998).

Spectral heterogeneity of weeds, soil and crops classes due to variations in farming practices may lead to inaccuracies in fraction estimation of these classes. However, the use of multi-temporal imagery has proven very effective in mapping these classes as minor spectral changes could be detected with time during the crop phenological stages.

Spectral heterogeneity within weed and crop classes was commonly observed in this study as shown in Photo 19. Within-class spectral variation in the maize field is observed, using a single endmember to model this maize field would have led to underestimation of the maize fraction as shown by the LSMA results.



Photo 19: Photograph showing spectral heterogeneity within a maize field. Source: (STARS&ICRISAT 2014)

Accuracy assessment of the unmixed weed fraction showed that MESMA could effectively improve the weed information accuracy derived from a pixel. MESMA weed fractions provided a scientific base for a higher-precision weed fraction extraction in small holder farms. A relationship between the modelled and the observed weed fractions was observed, however, the correlation was not very strong due to factors such as insufficient reference data and miss-classification and the presence of the outliers.

Two MESMA endmember selection techniques used in this study enabled the selection most appropriate endmembers to build the spectral libraries. EAR (Dennison and Roberts 2003b) and MASA (Dennison et al., 2004) results were compared using regression analysis, it is evident that MASA technique that uses spectral angle error metric in the selection of the endmembers gave the most accurate results across all fields and all the dates.

During the endmember selection, the weed class showed the most spectral variability with RMSE and spectral angle having high values and this is attributed to different weed species observed in the fields. Endmembers selected for the crop class showed the least amount of spectral variability, with relatively low RMSE and spectral angles. MASA included a slightly higher number of spectra than EAR. Presumably, the higher number of spectra used in MASA approach led to relatively higher accuracies of MESMA un-mixing using MASA selected endmembers. Though the results were strongly correlated with the EAR results this demonstrates that EAR error metric (RMSE) and MASA error metric (spectral angle) were not equivalent, but were very closely related. For further discussion on the differences between the errors, metrics see Dennison et al. (2004).

Fractions generated from the WV-2 multi-temporal imagery on a pixel-by-pixel basis were prone to geometric registration errors. A small mismatch in the registration could have led to large errors (Dai & Khorram, 1998) the authors further suggested that, generally, the geometric registration accuracy can rarely be better than 0.5 pixels Dai & Khorram (1998) and that the impact of registration error on the fraction estimates could be as high as 75 percent. Despite image geometric correction being done, slight image mismatch was observed between the temporal images and this could have contributed to inaccuracies in the class fraction estimates.

As explained in Zwiggelaar (1998), the reflectivity of green leaves/canopy is affected by species-related factors such as morphology, geometry and chemistry as well as the environmental and weather conditions

such as moisture stress, nutrient deficiency, soil toxicity, diseases, the crop/weed phenology among others. It was therefore very unlikely that weeds could be distinguished from the growing crops only the basis of a simple difference in green. Hence, the need for the use of spectral mixture analysis.

The number (how many times), timing (when crops are in early development) and the extent (completed or incomplete) of the weeding are important factors in weed detection and analysis. Different weed infestation levels were observed using the temporal images which indicated that different weed fractions exist during different times of the crop phenology. The first hand-weeding was done in June and no weeding was recorded for the subsequent dates. The steep fluctuations in weed fractions across all the fields could be presumably attributed to weeding, plant canopy closure preventing underneath weed from direct sunlight and therefore, eventual death or irrigation where weeds could not tolerate very wet grounds and can only be shown growing within the crop row and not between the wet rows. This can be shown as shown in the Photo 20.



Photo 20: Photographs showing causes of reduced weed fractions. Top: weeding, middle row, canopy closure, bottom: irrigation/flooding - killing weeds between crop rows. Source: (STARS&ICRISAT 2014)

#### **5.6.1. Spectral variability and separability between Crops and weeds**

Weeds and crop occur in the mixture as explained earlier in this research. Spectral overlaps between crops and weeds are very common to agricultural fields (Apan et al. 2002). Apparently, there were some overlapping instances found in the classification. It was anticipated that there were some overlaps between the grassy weeds and the cereal crops because samples from the same family tend to possess similar signatures as in Wang et al. (2014) because both weeds and crop classes co-existed in some pixels. Overlap reduced the classification accuracy.

The results of separability analysis indicated that WV-2 data and MESMA approach could provide an adequate spectral discrimination of crop and weeds. Using EAR, MASA endmember selection techniques. However, spectral separability for the simple linear spectral endmembers was low across all the dates. This could be attributed to spectral similarities between the weeds and the crop creating an overlap between them. By the use of RMSE and spectral angle error metrics in endmember selection, the spectra differences could be detected therefore creating unique and accurate endmembers for the un-mixing process.

The discrimination between weeds and crops is higher during the early and the late crop development. The separability results compare to the studies by Apan et al. (2002) & Wilson et al., (2014) which show similar separability properties.

The crop-weed separability is affected by the crop phenology whereas, during the early growth period and the late crop growth periods, the separability is high (Apan et al. 2002; Bochow 2005; Dennison and Roberts 2003b; Joseph and Subramoniam 2013; Rolfson 2010). It had been reported that weed detection was easier at the flowering stage (Lass and Callihan 1997). However, in their studies, Cardina et al. (1995) showed that, weeds clustering at given location over time were more separable in relation to the crop. According to Cardina et al. (1995), the recognition of weeds in crop fields was attributed to differences in chlorophyll content, the color and the leaf area. These differences were not consistent throughout the entire maize, cotton and millet fields and were dependent on the crop type and the phenological stage.

Varying conditions in nature inevitably lead to spectral misclassification of poorly defined classes (Apan et al., 2002). For instance, while a cotton crop is spectrally distinct from the weeds, the presence of cotton bolls may result in significant classification errors. The results of this study had two advantages that yielded the un-mixing accuracy. The study fields are relatively small (below 2ha) characterised by homogenous biophysical conditions such as soil and topography. Secondly, the crops were planted at almost the same dates (early June) and therefore, the weed species across the field are relatively the same and the spectral heterogeneity within a class is minimized. This indicates that this method could be applied not only per field but also across all the fields provided there is an accurate selection of the endmembers.

### **5.6.2. Vegetation indices**

Vegetation indices were computed for the WV-2 image. The indices varied across the dates across all study fields as shown in Table 5.1. The analysis of the vegetation index temporal profile enhanced the vegetation (crop and weed) discrimination. Temporal patterns of weed/crop endmembers spectral data represent the growth cycle of a crop/weed. Vegetation time series charts were used in this research to monitor crop-weed vegetation biomass. A field with weeds looked denser than a weeded field due to high NIR reflectance values. Some indices provided a higher value than others based on the vegetation spectral characteristics as well as the field conditions. The VI images were used to monitor the spatial distribution of crop/weeds for a selected time period though visual observation and comparisons with the fcover and MESMA classified results.

The results during the anthesis (when a flower is fully open and functional) in September and senescing canopies (November) were different with more vegetation reported in September (0.42-0.86) as compared to reduced vegetation reported in November (0.21-0.68) in the maize field, September (0.4-0.76) as compared to reduced vegetation reported in November (0.22-0.53) in the millet field September (0.52-0.93) as compared to reduced vegetation reported in November (0.37-0.69) in the cotton field. The differences above were based on a selected WV-VI (As compared to the NDVI, the WV-VI is known to improve on the low vegetation soil sensitivity and high vegetation saturation). The reduction in VI is presumably as a results of the drying up of the crop.

A relationship was observed between  $F_{cover}$  and VIs. The relationship using different VIs resulted in  $r^2$  values in excess of 0.73, and several of them, including the WV-VI and SARVI resulted in  $r^2$  values above 0.88. These results also made it clear that some indices were more highly correlated to the  $F_{cover}$  than others and therefore selection of an appropriate vegetation index for each crop field was important. The high VIs observed in September could be attributed to increased green vigour of the crops and weeds due to high rainfall occurring in August (Traore et al. 2013). WV-VI was selected as the most suitable vegetation index for crop/weed mapping since it had the highest correlation coefficient for the September WV-VI results.

In time series analysis of WV-VI, profiles of the three crops were derived. These profiles indicated the variation of WV-VI throughout the crop phenology (from sowing to harvest). In all the three crop fields, there existed different types of crops and growing conditions leading to different types of WV-VI profiles as also observed in Payero et al. (2004). This explains why we can detect the growing status of weed and crops using time series.

Weather is also a key factor that may affect the weed. Deep-rooted weeds have a higher tolerance during the dry periods while shallow-rooted weeds will just wither off.

This method is applicable not only on per field level but also on vast homogenous crop areas with different crops provided a careful selection of endmembers representing these crops is done and the weed species per field properly identified. Moreover, Object-based multiple endmember mixture analysis developed by Zhang et al. (2014) could be applied for weed mapping where the image is first segmented into the field objects based on the field boundaries then, the spectral mean of each class in the field is extracted for endmember selection and object-based multiple endmember spectral un-mixing.

## **5.7. Limitation of the study**

- The MESMA selection techniques were observed to have potential shortcomings. The endmembers that were selected with low average RMSE representing a weed class may have had a low average RMSE for modelling crop class as well. This is because EAR endmember selection approach does not account for spectral similarities (Dennison and Roberts 2003a) between classes.
- Another limitation was that, the extreme endmembers were not accounted for in MESMA and these endmembers might have had a great contribution in modelling the image and leaving the brighter pixels in the image un-modelled. EAR-RMSE depends on the spectral shape as explained in Franke et al. (2009) which is the criterion on which EAR selection is based.
- MESMA technique was computationally demanding. Every image pixel required to be modelled which resulted in a large number of fraction images representing different endmembers. This reduced computation efficiency and increased the complexity of the model output.
- The lack of field data corresponding to the field dates was a major setback for this research. The field data provided was also not entirely adequate for the evaluation of the SMA models.
- García-Haro et al. (2005) described multiple scattering and directional effects as being a setback for most SMA techniques and the methods used here are not an exception. To help solve this, a careful selection of endmembers was done in order to obtain improved cover fractions.
- Another potential challenge is the fact that little is known about the impact that soil roughness and background reflectance from crop residues in different tillage systems have on weed detection capabilities.

**Multiple Endmember Spectral Mixture Analysis (MESMA) on multi-temporal VHR images for weed detection in smallholder farms.**

## 6.0 CONCLUSION AND RECOMMENDATIONS

### 6.1. Conclusion

The main objective of this study was to improve weed detection using temporal and spectral characteristics of weed. Temporal properties of the weeds and crops were intended to be used in addition to their spectral properties, to improve the classification accuracy of the weeds and crops. The spectral characteristics of the weeds and crops were not very distinct from each other. This spectral similarity made the classification accuracy relatively low due to low-class separability. It was presumed that this problem could be overcome by incorporating spectral variation for each class differently per date. It was confirmed that spectra from classes relate differently with each other during the crop phenology. As seen in the temporal-NDVI profiles for millet, cotton and the maize crops, there was a clear pattern and no overlaps among the crops when WV-VI was analysed.

A significant correlation was found between Fcover and vegetation indices. The result of this research showed that WV-VI had the most significant correlation with Fcover and was selected as the most appropriate vegetation index. These study fields most likely had a large amount of photosynthetically active vegetation and WV-VI was more sensitive to the contrast between red and infrared2 reflectance hence WV-VI was more highly correlated with Fcover as compared to other vegetation indices.

The ultimate goal for endmember extraction was to find the number of each spectrally unique material from the images. Identifying optimal endmembers was a challenge. The SMACC approach with constraints required positive abundances and constraints on the maximum number of endmembers for a pixel model (Aggarwal & Garg, 2015). The 30 endmembers were extracted and matched with the reference spectra, many endmembers allowed for a spectral variability within a single class. As compared to other automatic endmember extraction techniques, SMACC was not limited by the number of bands in the multispectral image.

In overall, the modelled cover fractions using MESMA were relatively higher when the statistical comparisons with SLSMA fractions were done. The weed fractions modelled by the EAR and MASA endmembers derived a higher weed percentage than that of the SLMSA with the MASA approach resulting in a more accurate weed fraction estimation as compared to EAR fraction. MASA was therefore selected as the most appropriate technique for weed detection.

EAR and MASA allowed an optimal selection of definitively used endmembers. A four-endmember model (crop, weed, soil and shade) led to the most accurate weed fraction estimates. EAR and MASA values were almost balanced however MASA was the most accurate technique for endmember selection as compared to EAR. A careful selection of endmembers that represent the cover classes of the study fields plays an important role in the MESMA-based approach. In our study, the definitive spectral library comprised both image and reference endmembers. We conclude that the MESMA-based method may help to provide a better understanding of weed infestation levels and patterns that can be helpful to weed management in precision farming.

The implementation of MESMA to high resolution multi-temporal WV-2 images in this research greatly improved the detection of weeds and crops. Previous studies (Dennison and Roberts 2003b; Okin 2007; Small 2012) have shown that multi-temporal data improves classification accuracy based on the crop type,

the fraction of weeds and the crop phenology (Apan et al., 2002). Statistical analysis indicated that MASA selected endmembers to have good potential for discriminating between weeds and crops. Thus, the study clearly indicates the potential of multi-spectral WV-2 satellite imagery for the detection of weed infestation in maize, crop and cotton fields. The fields are characterized by differences in weed species as well as weed infestation levels. More field data, experiments and a better spectral and spatial resolution, are needed to confirm and improve upon these results.

The study results shows that MESMA may be used to derive fraction images from which weed estimation can be done accurately and this further extends the applicability of MESMA. Linear spectral un-mixing (both MESMA and SLSMA) were applied for this study. The study fields have a within class variation creating a highly heterogeneous scene. Quantitative information from SLSMA un-mixing is not satisfactory because of the inability of the method to detect variability within weed and crop classes. The class separabilities were very low leading to low weed fraction estimates.

Spectral heterogeneities within a crop field as well as spectral similarities between the crop and weed classes produced significant classification errors as indicated by the SLSMA fraction. This was overcome by the use of MESMA approach that incorporated endmember variability in the un-mixing process thereby yielding more accurate results. Another alternative for solving this limitation was the use of larger-scale aerial photographs. In this study, it was concluded that MESMA technique was most accurate method for weed mapping. Based on the result obtained, it can also be concluded that the success of MESMA approach could be highly variable and requires to be carefully handled especially in regard to endmember selection. The accuracy of the MESMA-based weed estimate was higher as compared to LSMA estimated showing that MESMA is a more reliable technique for weed mapping. The mixtures decomposed by MESMA were not only from three different land-cover types, but also from different signatures within the same land-cover type. Therefore, this method can handle the variability in the same class in high-spatial-resolution multispectral images. The un-mixing results demonstrated that MESMA outperformed the SLSMA.

It was the purpose of this study to determine if the MESMA approach can provide accurate and reliable weed fractions as compared to the simple linear un-mixing results. Four-endmember models provided enough flexibility to account for some of the complexity of this environment, but may not be appropriate everywhere. Allowing the number of endmembers to vary across the crop fields allows us to more accurately model the class variation on the crop fields. The abundance of weed in the later stages of crop development is higher as compared to mid dates in this scene which is largely a result of rainfall and fertilizer applications leading to spectral variability caused by short-term climate fluctuations.

The bidirectional reflectance distribution functions (BRDF) of the cover classes and solar zenith angles may have affected the selected endmembers from one image date to the next and therefore prompting the use of a single date spectral instead of a multi-date spectra and this improved the results of the un-mixing per image.

## **6.2. Recommendations**

The results of this study indicated that spectral-temporal profiles and the fraction values derived from the SMA un-mixing have a positive relationship with the crop calendar data/ field data. Furthermore, the behaviour of multi-temporal fraction profiles for different crops was analysed to determine the temporal fraction change per date. The expectation was that the multi-temporal fraction profile of each class would indicate a relationship with the respective crop's phenological cycle.

Only 85 % of the images was modelled, by devising a new technique that would incorporate the extreme endmembers or create a hybrid (MASA and EAR) endmember selection approach would greatly improve the number of pixels modelled by the MESMA technique. A hybrid endmember selection approach would incorporate both the spectral angle and the root RMSE, this would for an improved endmember selection.

Upscaling from multispectral imagery to hyperspectral image data would be another option for improved crop-weed discrimination. Also, use of high-resolution UAV imagery at a higher resolution could be used for weed species discrimination or even a sensor integration of different sensors, for example, WV-3 +WV-2+UAV for weed discrimination could enhance the weed fraction results.

Collect field data that correspond to image date acquisition would greatly improve the assessment of the classification accuracy.

Extensive understanding of and experience with the input data sets is required to obtain reliable MESMA results. Future work should focus on the development of techniques to objectively select bands for inclusion in the MESMA analysis.



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